Atomistic study of diffusion-mediated plasticity and creep using phase field crystal methods

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The nonequilibrium dynamics of diffusion-mediated plasticity and creep in materials subjected to constant load at high homologous temperatures is studied atomistically using phase field crystal (PFC) methods. Creep stress and grain size exponents obtained for nanopolycrystalline systems, $m \simeq 1.02$ and $p \simeq 1.98$, respectively, closely match those expected for idealized diffusional Nabarro-Herring creep. These exponents are observed in the presence of significant stress-assisted diffusive grain boundary migration, indicating that Nabarro-Herring creep and stress-assisted boundary migration contribute in the same manner to the macroscopic constitutive relation. When plastic response is dislocation-mediated, power-law stress exponents inferred from dislocation climb rates are found to increase monotonically from $m \simeq 3$, as expected for generic climb-mediated natural creep, to $m \simeq 5.8$ as the dislocation density $\rho_d$ is increased beyond typical experimental values. Stress exponents $m \gtrsim 3$ directly measured from simulations that include dislocation nucleation, climb, glide, and annihilation are attributed primarily to these large $\rho_d$ effects. Extrapolation to lower $\rho_d$ suggests that $m \simeq 4-4.5$ should be obtained from our PFC description at typical experimental $\rho_d$ values, which is consistent with expectations for power-law creep via mixed climb and glide. The anomalously large stress exponents observed in our atomistic simulations at large $\rho_d$ may nonetheless be relevant to systems in which comparable densities are obtained locally within heterogeneous defect domains such as dislocation cell walls or tangles.

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I. INTRODUCTION

The tendency of a solid material to gradually and irreversibly deform or even flow under low loads at high temperatures is termed creep deformation [1–6]. Low loads and high temperatures in this context are $\sigma \lesssim \sigma_y$ and $T \gtrsim T_m/2$, respectively, where $\sigma_y$ is the yield stress and $T_m$ is the equilibrium melting temperature of the material. This type of slow plasticity not only alters material microstructure, shape, and properties over extended time periods, but is also a primary cause of mechanical failure in materials such as gas turbine blades that operate in high-temperature load bearing environments [1–3].

The plastic flow that occurs during creep can involve conservative defect evolution mechanisms, such as dislocation glide and grain boundary sliding, but is generally facilitated by thermally activated defect motion along local stress and/or chemical potential gradients, and is therefore inherently diffusive in nature. Vacancy diffusion in particular tends to be a central facilitator of deformation, either directly during diffusional creep or indirectly during dislocation or power-law creep, as discussed further in the following. Moreover, it is the collective evolution of different defect populations over multiple length and time scales that leads to the observed diverse macroscopic phenomenology of creep. If the characteristic
II. CREEP PHENOMENOLOGY

The macroscopic plastic response of a material undergoing creep deformation can generally be characterized by an equation of the form [1–3]

\[
d\epsilon/dt \sim \left(\frac{\sigma}{\mu}\right)^m \left(\frac{1}{d}\right)^p,
\]

where \(\epsilon\) is total plastic strain, \(t\) is time, \(\mu\) is shear modulus, \(d\) is grain size, and \(m\) and \(p\) are stress and grain size exponents, respectively. In laboratory experiments, a constant stress is typically applied and the resulting macroscopic deformation is measured as a function of time \(t\). The total strain can be decomposed into elastic and plastic contributions, \(\epsilon(t) = \epsilon^{\text{el}} + \epsilon^{\text{pl}}(t)\). A rapid elastic deformation is thus observed, followed by an initial regime of nonsteady plastic flow called primary creep. Plastic flow eventually reaches a steady-state during the so-called secondary creep regime, such that the strain rate is constant in time and Eq. (1) is applicable.

Diffusional creep, characterized by a stress exponent \(m \approx 1\), is often observed at low \(\sigma\) in such experiments. At sufficiently high \(T\), bulk vacancy diffusion is the dominant flow mechanism and a grain size exponent \(p \approx 2\) results (Nabarro-Herring creep [5]). At lower \(T\), vacancy diffusion along grain boundaries is the dominant flow mechanism and a grain size exponent \(p \approx 3\) results (Coble creep [6]). Previous atomistic studies have addressed aspects of diffusional creep. Coble creep has been reproduced in molecular dynamics (MD) simulations of bcc [12,13] and fcc [14] nanopolycrystals, for example. This is feasible since vacancy diffusion rates can be relatively rapid within loosely packed high-angle grain boundaries. Signs of non-negligible Nabarro-Herring creep contributions have been reported as the grain size is increased [13], but a clear transition to dominant Nabarro-Herring creep has not been observed in MD simulations to our knowledge.

Deviations from the idealized descriptions of Nabarro-Herring and Coble creep are known to occur in systems with nontrivial microstructures. For example, when all grains in a polycrystal have the same shape and size, the idealized models of diffusional creep predict a symmetric and affine elongation of all grains. However, the presence of a distribution of grain sizes has been shown to lead inevitably to stress-assisted grain growth, the shrinkage of some grains and growth of others, during diffusional creep [7]. Thus, when grain boundaries are sufficiently mobile, idealized diffusional creep behavior can be significantly modified by the simultaneous effects of stress-assisted grain boundary migration. Consequences include nontrivial, nonaffine evolution of grain morphology and the suppression of grain elongation for sufficiently large grain boundary mobilities and low stresses [8]. These effects have been examined with both MD [9] and mesoscale simulation methods [8].

At applied stresses higher than those at which diffusional creep is typically observed, significant dislocation motion begins to occur as vacancy fluxes around dislocation cores and rates of stress-assisted thermally activated motion increase. A transition from diffusional to \(m > 1\) or power-law creep then occurs, such that \(m \approx 3–10\), with the precise value depending on a variety of material and microstructural properties, many of which are not well understood. Simultaneous interactions involving vacancies, dislocations, grain boundaries, and other defects can lead to complex behaviors such as climb-assisted obstacle bypass [15] on atomistic scales and collective dislocation patterning [16] on mesoscopic scales. All manner of such processes may contribute to macroscopic power-law creep rates.

The simplest description of dislocation-mediated power-law creep, \(m = 3\) natural creep [3], is arrived at by assuming a linear relation between the steady-state dislocation velocity and stress, \(v_{ss} \sim \sigma\), and by also employing a phenomenological relation between dislocation density \(\rho_d\) and stress (Taylor’s relation), \(\rho_d \sim \sigma^2\). Orowan’s equation for plastic strain then specifies \(\dot{\epsilon}^{\text{pl}}(t) = \frac{1}{2} \sum_{i=1}^{N} (b_i \ell_i \sigma \delta x_i)\), where \(V\) is the system volume, \(N\) is the number of mobile dislocations, \(b_i\) is the magnitude of the Burgers vector of dislocation \(i\), \(\ell_i\) is its length, and \(\delta x_i\) is the distance that it has traversed at time \(t\). The corresponding incremental plastic strain rate is \(\dot{\epsilon}^{\text{pl}}(t) = \frac{1}{V} \sum_{i=1}^{N} (b_i \ell_i v_i)\), where \(v_i\) is the velocity of dislocation \(i\) at time \(t\). Substituting \(v_i \rightarrow v_{ss} \sim \sigma\) and \(N/V = \rho_d \sim \sigma^2\) gives \(\dot{\epsilon}^{\text{pl}} \sim \sigma^m\) or the natural creep exponent \(m = 3\). Larger stress exponents \(m \approx 4–6\) are often observed even in pure metals, and are generally believed to be associated with significantly more complex processes than those underlying natural creep.

Atomistically informed kinetic Monte Carlo (kMC) simulations have been used to study dislocation climb and power-law creep in highly deformed bcc Fe [17]. Steady state climb velocities computed from such simulations were found to be highly nonlinear in stress, increasing as \(v_{ss} \sim \sigma^q\) with \(q \approx 3–5\) for large dislocation densities, \(\rho_d \approx 10^{15}–10^{17} \text{ m}^{-2}\). This strong nonlinearity was found to arise from enhanced vacancy diffusion rates at large \(\rho_d\) as well as from the assumed vacancy supersaturation, applicable to highly deformed metals. Then, by applying Orowan’s equation, \(\dot{\epsilon}^{\text{pl}} = \rho_d \nu_{ss}\), and assuming that Taylor’s relation holds in such systems, \(\rho_d \sim \sigma^2\), the authors estimated power-law creep exponents directly from the measured climb velocities as \(m \approx 5–5.5\). This result essentially describes a modified form of natural creep, wherein large \(\rho_d\) and a steady supersaturation of vacancies increase the “inherent” dislocation climb stress exponent from \(q = 1\) to \(q \gtrsim 3\).

Clouet [18] has connected the atomistic modeling of Ref. [17] to classical mesoscale descriptions of climb, and found that extrapolation of their results to more physically relevant dislocation densities leads to stress exponents \(m \approx 3\), as generally expected for climb-assisted natural creep in metals. The additional effects of glide, at minimum, must also apparently be considered if experimental stress exponents \(m \gtrsim 4.5\) are to be reproduced.

Other mesoscale descriptions have been employed to study creep deformation. Recent discrete dislocation dynamics (DDD) simulations that incorporate both glide and climb have reproduced responses consistent with both diffusional and power-law creep in fcc metals [19]. It therefore appears that the length scales, dislocation densities, and underlying mechanisms relevant to some forms of power-law creep may be accessible with this type of coarse grained description. A difficulty lies in the absence of atomistic detail, which
III. PFC APPROACH

PFC models contain atomistic detail but describe time scales in crystals near and beyond that of the characteristic vacancy diffusion time [20–24]. Both conservative and nonconservative dislocation evolution processes are captured for arbitrary crystal structure, orientation, morphology, and applied stress [25–31]. PFC simulations of diffusion-accommodated plastic flow under creep conditions may therefore reveal previously inaccessible information about the atomistic mechanisms that control diffusional and power-law creep.

A general PFC free energy functional can be written

\[ F = \int d\vec{r} \left( \frac{1}{2} n^2(\vec{r}) - \frac{w}{6} n^3(\vec{r}) + \frac{u}{12} n^4(\vec{r}) \right) - \frac{1}{2} \int d\vec{r} d\vec{r}' n(\vec{r}) C_2(|\vec{r} - \vec{r}'|) n(\vec{r}'), \]

where \( F = \int (k_B T \rho_i) \), \( k_B \) is Boltzmann’s constant, \( \rho_i \) is a constant reference density, \( n(\vec{r}) = \rho(\vec{r}) / \rho_i - 1 \) is the scaled time-averaged atomic density field, \( \rho(\vec{r}) \) is the unscaled time-averaged atomic number density field, \( w \) and \( u \) are free coefficients, and \( C_2(|\vec{r} - \vec{r}'|) \) is the two-point direct correlation function of the fluid, assumed isotropic. \( n(\vec{r}) \) may assume nonzero average values \( n_0 \). We utilize the structural or XPFC class of functionals [32,33], for which the Fourier transformed correlation function can be written as

\[ \hat{C}_2(k) = e^{-\langle k, k \rangle^2 / (2a^2)} e^{-\sigma_i^2 k^2 / (2n_0^2 \rho_i)}, \]

where \( k \) denotes a family of lattice planes at wave number \( k_i \) and \( \sigma_i \) is a constant width which sets the Gaussian width of the Planck-Klein factor.

The standard stochastic nonlinear diffusion equation is employed for \( n(\vec{r}) \) dynamics,

\[ \frac{\partial n(\vec{r})}{\partial t} = \nabla^2 \left( \frac{\delta F}{\delta n(\vec{r})} \right) + \eta(\vec{r}, t), \]

where \( \eta(\vec{r}, t) \) is a Gaussian stochastic noise variable with \( \langle \eta(\vec{r}, t) \rangle = 0 \) and \( \langle \eta(\vec{r}_1, t_1) \eta(\vec{r}_2, t_2) \rangle = -2k_B T \nabla^2 \delta(\vec{r}_1 - \vec{r}_2) \delta(t_1 - t_2) \). PFC simulations of mechanical properties often employ an equation of motion with an additional inertial or wave-like term, \( \partial^2 n / \partial t^2 \), to facilitate rapid elastic relaxations [26]. Since the diffusive component of the mechanical response is our primary interest in this work, and since we focus on the low-stress and low steady-state strain rate regime in which inertial effects should be secondary, we employ the simpler diffusive form of Eq. (4). No qualitative differences in terms of the creep-type stress response outlined in the following sections were observed in comparative simulations employing inertial dynamics.

We further define \( M = 2k_B T \) and use this variable in place of \( k_B T \) to specify a scaled temperature. This description thus contains two explicit temperature parameters, \( \sigma_T \) and \( M \), as well as any implicit temperature dependencies of the other parameters in Eqs. (2) and (3). For simplicity and following convention, it is assumed that any implicit \( T \) dependencies in these latter scaled parameters can be neglected to lowest order. \( M \) and \( \sigma_T \) can thus be varied simultaneously to control the physical temperature \( T \) in the vicinity of the melting temperature \( (T_m) \), though either parameter has the same basic effect independently for our purposes. For simplicity, we therefore control \( T \) by varying only \( M \) while holding \( \sigma_T \) fixed, unless noted otherwise. All simulations in this study were performed in 3D using a pseudo-spectral algorithm with semi-implicit time stepping and periodic boundary conditions.

Within standard PFC descriptions, the explicit evolution of vacancies is interpreted as being coarse grained into the structure of \( n(\vec{r}) \) and its diffusive evolution. One question that we wish to address is whether this coarse grained description of vacancy diffusion can reproduce Nabarro-Herring and Coble creep mechanisms, which involve only the strain-induced flow of vacancies.

It is also currently unclear, in terms of power-law creep, whether the length scales, dislocation densities, and collective defect kinetics relevant to \( m \gtrsim 3 \) kinetics can be reached by PFC-type models. The case of natural creep, as outlined in Sec. II, provides an illustration of this issue. Since the kinetics of climb in PFC models have been shown to follow \( \dot{\gamma}_s \sim \sigma \) for small dislocation density [25], it stands to reason that a realization of Taylor’s relation would lead directly to \( m = 3 \) natural creep, or potentially \( m = 4.5 \) creep when glide is also considered [35]. It has not yet been demonstrated that the system sizes and dislocation creation-annihilation kinetics needed to self-consistently reproduce phenomena such as Taylor’s relation can be simulated by PFC models. Though we do not attempt to simulate large 3D systems with multiple slip systems in which Taylor’s relation generally emerges, analogous behaviors in quasi-2D systems are examined further in the following.

We numerically investigate the response of bcc crystals and polycrystals to creep-type deformation as follows. As in typical creep experiments, deformation is applied under constant stress conditions, using a newly developed stress-controlled PFC simulation method. At each evolution iteration \( \Delta t \), the grid spacing \( \Delta x \) is homogeneously varied in one or more directions \( i \), depending on the particular choice of
boundary constraints, until a specified stress $\sigma_A$ is achieved. We consider quasi-2D system geometries with columnar grains aligned along the $x$ axis and strain $\epsilon$ applied along the $y$ and $z$ axes (see Fig. 1), and further employ a fixed $y$-$z$ area constraint [36] with $\sigma_{xx} = 0$. $\Delta_x$ and $\Delta_z$ are simultaneously varied as $\Delta_x = \Delta^i_x(1 + \epsilon)$ and $\Delta_z = \Delta^i_z(1 + \epsilon)$, where $\Delta^i_x$ is the initial grid spacing along $i$, until the condition $\partial \tilde{f} / \partial \epsilon_x = \sigma_A$ is achieved, where $\tilde{f} = \tilde{f}/V$ is the mean total free energy. $\Delta_x$ is then similarly varied until $\partial \tilde{f} / \partial \Delta_x = 0$, though generally $\Delta_x \simeq \Delta^i_x$ at all times for the columnar geometry employed. This method is therefore effectively equivalent to a constant volume pure shear deformation with fixed $\sigma_A = \sigma_{yy} + \sigma_{zz}$.

We have confirmed that the total strain generated versus $\sigma_{zz}$ for the case $\sigma_{xx} = \sigma_{yy} = 0$ reproduces the correct linear stress-strain relation of the perfect one-mode bcc crystal for $\epsilon \lesssim 0.02$. As expected, modest deviations from linearity are observed for $\epsilon \gtrsim 0.02$ when nonlinear elastic effects become appreciable. We have also verified that the one-mode bcc Poisson ratio $\nu = 1/3$ is realized at low $\sigma_{zz}$ in these simulations, again with nonlinear effects causing a modest gradual decrease to $\nu \simeq 0.31$ by $\epsilon = 0.08$.

IV. DIFFUSIONAL CREEP AND STRESS-ASSISTED GRAIN BOUNDARY MIGRATION IN NANOPOLCRYSTALS

An idealized columnar grain structure with initially uniform grain shapes and sizes was used to examine diffusional creep in bcc nanopoly crystals. Periodic systems with either four or six hexagonal grains per repeat unit were chosen, with various symmetric and asymmetric combinations of grain rotations and grain boundary tilt angles. High-angle boundaries were examined in asymmetric four grain systems with grain rotations of $(0^\circ, 45^\circ, \pm 22.5^\circ)$ or $(\pm 12.5^\circ, \pm 37.5^\circ)$. The former configuration contains four $45^\circ$ and eight $22.5^\circ$ boundaries, while the latter contains two $15^\circ$, two $25^\circ$, and eight $40^\circ$ boundaries, as shown in Figs. 1(a) and 2(c), respectively. Symmetric six grain systems with grain rotations of $(0^\circ, \pm 30^\circ)$ or $(45^\circ, \pm 15^\circ)$ were also examined. These configurations contain eighteen $30^\circ$ boundaries, as shown in Figs. 2(a) and 2(b). Low-angle grain boundaries were examined using a similar asymmetric six grain configuration with grain rotations of $(0^\circ, \pm 5^\circ)$. This system contains twelve $5^\circ$ boundaries and six $10^\circ$ boundaries [see Fig. 5(a) ahead]. The columnar axis is along $\vec{x} = [100]$ in all cases, and a system thickness $L_x = 1a$ was used in this direction (no changes were observed for $L_x = 10a$). Grain sizes $d = 25.4a$, $50.8a$, $76.3a$, $89.0a$, $101.7a$, $114.4a$, $127.1a$, and $152.5a$ were studied, where $d$ is the diameter of the smallest circle that encloses the hexagon. Unless specified otherwise, model parameters $w = 1.4$, $u = 1$, $n_0 = 0$, $\alpha_1 = 1$, $\sigma_T = 0.1$, $\rho_1 = 1$, $\beta_1 = 8$, and $M = 0$ are used with $\Delta^i_0 \simeq a/12$ and $\Delta t = 0.01$, where $a = 0.81675$.

After equilibration of each system at $\sigma_{xx} = \sigma_{yy} = \sigma_{zz} = 0$, simulations were conducted at a range of $\sigma_A$ values, and the subsequent deformation $\epsilon(t) = [L_x(t) - L_x(0)]/L_x(0)$ was monitored as a function of time. Analysis of creep dynamics is restricted to stresses above $\sigma_-$, the threshold for observable plasticity ($\sigma_- \simeq 0.0006$) and below $\sigma_+$, the threshold for dislocation nucleation from grain boundaries ($\sigma_+ \simeq 0.06$). The absence of lattice dislocations or other defects in the grain interiors allows us to isolate and characterize grain boundary-mediated plasticity mechanisms without complications from collective dislocation processes, for example. Plastic flow in these grain geometries generally requires a significant amount of nonconservative grain boundary motion, i.e., vacancy-mediated migration and climb.

Even though all grains are initially identically hexagonal, their different orientations and boundary structures create small asymmetries upon equilibration, which grow when stress...
is applied. The result is an initial delta-function grain size distribution that broadens with time due to stress-assisted grain boundary migration or grain growth. The symmetric six grain geometry described above has been used in MD to avoid precisely this effect [13], but it will be shown in the following that the mobility of grain boundaries in our PFC simulations is large enough to significantly impact the measured steady-state creep rates. In discussing evolution of the grain size distribution, we distinguish between net grain growth, an increase in the average grain size, and differential growth, a broadening of the grain size distribution without any increase in average size. Net growth is observed only at very late times when entire grains are eliminated, after the steady-state creep regime has ended, and therefore does not influence our results. Differential growth does occur during the steady-state creep regime.

### A. High-angle grain boundaries

Representative creep curves obtained for the asymmetric four grain system with \((0^\circ, 45^\circ, \pm 22.5^\circ)\) rotations are shown in Fig. 1(b) for \(d = 50.8a\). After an initial elastic strain \(\epsilon^{el}\) and a brief regime of plastic flow onset (primary creep), an extended regime of linear steady-state creep (secondary creep) is observed for all \(\sigma_- < \sigma_A < \sigma_+\). The slope of the best linear fit to this regime gives the steady-state creep rate \(\dot{\epsilon}^{ss}\) for a given \(\sigma_A\). The steady-state creep regime terminates in one of two ways. For \(\sigma_A \lesssim 0.06\), differential grain growth proceeds until two grains eventually consume the others at late times (net grain growth), leaving two \(45^\circ\) boundaries along \(y\) that no longer migrate in response to \(\sigma_A\). Creep therefore ceases and all strain energy is absorbed elastically. For \(\sigma_A \gtrsim 0.06\), dislocations eventually nucleate from the grain boundaries or homogeneously from the grain interiors at late times. This leads to unbounded plastic flow, a divergence in the strain rate, and loss of mechanical integrity.

The extracted steady-state creep rates \(\dot{\epsilon}^{ss}\) for four values of \(d\) are plotted as a function of \(\sigma_A\) in Fig. 3(a). The best fit slopes of these data for \(\sigma_- < \sigma_A < \sigma_+\) (dashed lines) indicate that the bare stress exponents are \(m = 1.12 \pm 0.02\). Taking the effect of \(\sigma_-\) into account by substituting \(\sigma_A - \sigma_-\) for \(\sigma_A\) produces \(m = 1.02 \pm 0.02\) (solid lines and inset). The dependence of \(\dot{\epsilon}^{ss}\) on \(d\) for five representative values of \(\sigma_A\) is plotted in Fig. 3(b). All data sets are well fit by a grain size exponent \(p = 1.98 \pm 0.05\).

Very similar values for the exponents \(m\) and \(p\) are obtained for the other high-angle grain boundary configurations shown in Fig. 2. The morphology of the grain structures, on the other hand, does vary with the details of the initial configuration. For example, the initial systems shown in Figs. 2(a) and 2(b) are identical except for a rotation of all grains by \(45^\circ\) about the \(x\) axis, but their structures evolve quite differently. Whereas the two \(0^\circ\) grains in Fig. 2(a) grow nearly radially while the \(\pm 30^\circ\) grains shrink, all six grains in Fig. 2(b) elongate and coherently translate nearly uniformly along \(\bar{y}\). In the asymmetric 4-grain systems shown in Figs. 1(a) and 2(c), a relatively fixed \(30^\circ\) orientation is maintained along the boundaries that do not lie parallel to \(\bar{y}\). These boundaries translate nearly uniformly along \(\bar{y}\) until two of the four grains are eliminated. The causes of these differences are examined...
Further at the end of this section. We also note that grain rotations are observed in coordination with differential grain growth, and are a consequence of nonconservative boundary migration. Interestingly, we observe a transition from \( m \simeq 1 \) and \( p \simeq 2 \) behavior to \( m \simeq 1 \) and \( p \simeq 3 \) as the stochastic temperature \( M \) is raised to very near melting. The effects of \( M \) on grain boundary structure and the corresponding grain size exponents \( p \) are shown in Fig. 4. There is a clear correlation between the increase in \( p \) from \( \sim 2 \) to \( \sim 3 \) and the effective liquification of the grain boundaries. The observed exponents are consistent with those of Coble creep, which is typically dominant only at relatively low \( T \). Our findings therefore indicate that grain boundary premelting in the presence of low applied stresses can result in a re-entrant Coble creep regime at \( T \) sufficiently near the equilibrium melting point.

**FIG. 3.** (Color online) Creep exponents of the configuration shown in Fig. 1. (a) Compilation of steady-state creep rates vs \( \sigma_A \) at various grain sizes \( d \). The dashed lines are fits to Eq. (1), the solid lines are fits to Eq. (1) with \( \sigma_A \) replaced by \( \sigma_A - \sigma_m \). The resulting creep rate stress exponents \( m \) indicated in the figure are those of the solid lines, while the corresponding values for the dashed lines are \( m = 1.11, 1.10, 1.14, \) and \( 1.13 \), respectively. The inset shows the same data plotted vs \( \sigma_A - \sigma_m \) with the same solid line fits. (b) Dependence of \( \dot{\epsilon}_{ss} \) on grain size \( d \) at various \( \sigma_A \). Solid lines are fits to Eq. (1) with variable grain size exponent \( p \). See Ref. [37] for animations of the four simulations at \( \sigma_A = 0.01 \).

**B. Low-angle grain boundaries**

The six grain low-angle grain boundary configuration evolves as shown in Fig. 5(a). Since the Burgers vectors of the grain boundary dislocations can be clearly identified, the direction of the driving force for each boundary under \( \sigma_{zz} \) and \( \sigma_{zy} \) is readily known [white arrows in Fig. 5(a)]. Indeed, the motion of each boundary is consistent with that expected from its Burgers vector. Deviations from the exact direction expected are due to forces exerted by neighboring boundaries, as discussed further in the following subsection. Motion of the dislocations within boundaries aligned with \( \tilde{y} \) occurs purely by climb, while motion of all other boundary dislocations occurs via a mixture of climb and glide. The stress exponent \( m \) obtained during the regime of morphologies roughly corresponding to those shown in Fig. 5(a) is \( m \simeq 1.19 \) [Fig. 5(b)]. Eventually, boundaries begin to meet and both the dislocation density and strain rate decrease at later times as dislocations annihilate. Figure 5(c) shows the grain-size dependence of \( \dot{\epsilon}_{ss} \), from which an exponent \( p \simeq 1.68 \) is obtained.

**C. Discussion**

The creep exponents \( m \simeq 1 \) and \( p \simeq 2 \) obtained in the preceding simulations are consistent with those of Nabarro-Herring creep, grain redistribution mediated by bulk vacancy diffusion. As discussed in Sec. II, idealized diffusional creep would be expected to generate a nearly affine deformation morphology, such that no significant structural changes would be observed upon normalization of the system configuration by strain \([y \rightarrow y(1 + \epsilon), z \rightarrow z/(1 + \epsilon)]\), no matter the initial grain orientations and patterns. This is clearly not the case in any of the present simulations. The grain deformation seen in Fig. 2(b) is the closest to affine, but some elongation along \( \tilde{y} \) is observed as well a significant amount of collective translation in this direction. During the primary and steady...
The low-angle grain boundary simulations are perhaps most easily understood from the viewpoint of stress-assisted migration. The expected climb direction of each dislocation/boundary, based on its Burgers vector and the direction of applied stress, is indicated by a white arrow in Fig. 5(a).

The general agreement with the observed migration directions indicates that the applied stress directly induces dislocation climb and therefore boundary migration. The entire structure then evolves collectively in response to these climb forces, while the topological constraints of the boundary network transmit additional network forces to each boundary. For example, the dislocations within the upper left boundary of the central 0° grain migrate in the −z direction due to the climb force generated by \( \sigma_{yy} \), but they also migrate in the +y direction due to the motion of the neighboring vertical boundary in this direction. The net result is a mixed climb-glide migration nearly along the vector \( \hat{y} - \hat{z} \). The two 10° vertical boundaries translate only along \( \hat{y} \) by pure climb, as the forces due to neighboring boundaries balance along \( \hat{z} \). The four 5° vertical boundaries climb similarly along \( \hat{y} \), but also experience a net torque about \( \hat{x} \) due to the opposing migration directions of their neighbors along \( \hat{y} \) and \( -\hat{y} \), respectively.

By tracking the evolution of individual dislocations, we have quantified the amount of plastic strain relief due to dislocation motion. We find that this mode of strain relief accounts for nearly all of the applied system-wide strain, confirming that dislocation/boundary migration is the primary mechanism of plasticity in this case.

Though high-angle grain boundaries cannot be analyzed in terms of individual dislocations, the relatively similar differential grain growth morphologies and creep exponents observed across low- and high-angle systems suggest that no qualitative change in plasticity mechanisms occurs with angle. The probability of conservative grain boundary sliding should nonetheless become more significant as the boundary angles increase. Visual inspection of \( n(\vec{r}) \) during high-angle boundary migration reveals that density peaks are created within boundaries under tension (positive mass flux) and destroyed within boundaries under compression (negative mass flux), while no signs of significant boundary sliding have been observed. This flow of mass is consistent with the basic Nabarro-Herring process. It is therefore perhaps not surprising that the Nabarro-Herring exponents, \( m = 1 \) and \( p = 2 \), are observed even though the grain morphology does not remain affine during concurrent stress-assisted migration.

These findings suggest that plasticity in the present PFC simulations is mediated by the inherently coupled processes of vacancy diffusion and grain boundary migration, in the following general manner. Applied stress generically induces vacancy flow out of grain boundaries under tension and into those under compression. These flows should in turn be capable of driving both the grain redistribution of diffusional creep and the nonconservative defect evolution of stress-assisted boundary migration. In our simulations, diffusion apparently occurs primarily through the grain interiors, in accord with idealized Nabarro-Herring creep. Rather than simply depositing onto nontensile boundaries such that affine grain redistribution and elongation occurs, the vacancies directly facilitate climb-mediated grain boundary migration. This migration is possible because of the large nonconservative

state deformation regimes, the summed grain boundary length and the number of grains within each system remain fixed, but the grain size distributions broaden. Differential grain growth or stress-assisted grain boundary migration therefore clearly influences the measured creep rates. In this section, we attempt to characterize the relative degrees to which Nabarro-Herring creep and stress-assisted grain boundary migration mediate plastic flow in these simulations, and to explore connections, similarities, and differences between these two related mechanisms.

FIG. 5. (Color online) Diffusional creep in bcc nanopowders with only low-angle grain boundaries. (a) Strain normalized system configurations for \( \sigma_0 = 0.03 \) and \( d = 101.7a \) at times \( 0, 40, 100, \) and 180. Burgers vectors of dislocations along each boundary are indicated with white \( \perp \) symbols, along with the subsequent climb directions expected upon deformation. (b) Steady state creep rates vs \( \sigma_0 \) at \( d = 101.7a \). The dashed line is a fit to Eq. (1) with \( m = 1.19 \). (c) (Left) Creep curves for the data shown in (b). \( \dot{\varepsilon} \) vs time is shown at various \( \sigma_0 \) (color legend). The dashed black lines are linear fits. (Right) Dependence of \( \dot{\varepsilon}^{\infty} \) on grain size \( d \) at \( \sigma_0 = 0.01 \) and 0.03. Solid lines are fits to Eq. (1) with grain size exponent \( \rho = 1.68 \).
to the inherent diffusive time scales of vacancies in this description. The subsequent directions of grain boundary migration are determined at the atomic level by the dislocation orientations and/or grain boundary topologies. These should generally exhibit no predisposition for affine morphologies, as is clear for the case of Fig. 5(a). The symmetry of the grain shapes is thus broken, a distribution of sizes emerges, and differential growth proceeds, driven by the stress-induced vacancy fluxes. The correspondence in terms of underlying mechanism suggests that this type of creep plasticity in the large boundary mobility regime may reasonably be expected to produce the same stress and grain size exponents as those of idealized Nabarro-Herring creep, though with very different effects on grain morphology, particularly for nanoscale grains.

The nonaffine morphologies appear to emerge here largely from atomistic effects, many aspects of which would therefore be difficult to predict without atomistic insight. First, variation of grain boundary structure with angle leads to breaking of the perfect hexagonal symmetry under zero stress. Second, the preferred and realized kinetics of boundary migration under stress are determined by a number of potential effects with atomic-scale origins. For example, the stress dependence of the grain boundary mobilities and their preferred migration directions are linked to grain boundary/dislocation structure. The driving force for migration may also be influenced by grain orientation if elastic anisotropy is sufficiently large. Further investigation is needed to determine which of these or other factors most influence the nature of this type of stress-assisted boundary migration, particularly for high-angle boundaries, but over the range of parameters and deformation conditions investigated, our results indicate that it can be a significant contributor to creep flow in the regime of large boundary mobilities.

V. DISLOCATION OR POWER LAW CREEP

To examine the transition to dislocation-mediated plastic flow and potentially power-law creep, we must, at minimum, introduce dislocation sources into our simulations. Naturally emerging steady-state $\rho_d$ and $\dot{\epsilon}$ values involving primarily dislocation climb and glide would then signal a physically meaningful power-law creep regime. In the following section, we begin by examining a simple controlled geometry in which the number of mobile dislocations $N$ does not depend significantly on $\sigma_A$, and a stress exponent $m = 1$ is therefore expected (this is still technically dislocation, not diffusional, creep). It will be shown that $m \gg 1$ can nonetheless arise due to additional factors associated primarily with large dislocation densities. Other scenarios are then considered in which $N$ does increase with $\sigma_A$ and larger stress exponents are thus expected, as in the case of natural creep. Connections are drawn between the subsequent power-law stress exponents and the dislocation density effects identified in the constant $N$ case.

A. Dislocation nucleation, climb, and pile-up

To begin examining the effects of dislocation climb on PFC creep rates, we first characterize a simple system geometry consisting of a perfect bcc crystal with one central dislocation source, as shown in Fig. 6. The simulation cell and crystallographic directions are the same as those of the previous section, as is the system thickness of $L_x = L_y = L_z = 1 a$. The dislocation source is a cylindrical region of radius $R = 10 a$ in which $n(\vec{r})$ is slaved to a homogeneous penalty function [27]. Though the model is capable of spontaneously generating dislocations from defects such as sessile dislocation loops and grain boundaries [30], cylindrical sources are input here "by hand" primarily for convenience. They facilitate relatively controlled dislocation nucleation behavior at stresses below the homogeneous nucleation threshold and from sources small enough to introduce several within a single simulation cell. The cylinder acts as a rigid, uniform body similar to a large glass bead in a colloidal crystal or an incoherent inclusion in an atomic crystal. When a sufficiently large stress $\sigma_A$ is applied as previously discussed, the cylinder becomes a site for heterogeneous nucleation of $b = a(100)$ dislocation pairs or sets of orthogonal pairs (see Ref. [37] for animation). Since the stresses are axial, these dislocations nucleate and translate by climb alone. We can therefore characterize the creep response due to purely diffusion-mediated dislocation motion. In the case shown in Fig. 6, hard wall boundaries are also employed by slaving $n(\vec{r})$ near the system edges to the perfect bcc solution [27].

If the strain decomposition $\epsilon(t) = \epsilon^d + \epsilon^p(t)$ is employed once more for the constant $\sigma_A$ condition, then $\epsilon^p(t)$ can be quantified using the Orowan equation when the only plastic strain relief mechanism is dislocation motion, as noted previously. If $N$ and $\ell_i$ are both constant and the average steady-state dislocation velocity can be written $v_A \sim \sigma_A^q$, then $\epsilon^p = \sigma_A^q$. For pure climb, $q = 1$ is generally assumed and has been verified to hold in PFC simulations [25]. Thus we expect $m = q = 1$ in constant stress experiments for which the dislocation density $\rho_d = N/V$ is small and constant and the overall stresses and dislocation velocities are low and linear, respectively. This should approximately be the case between nucleation of the first and second waves of dislocation pairs in large $L_x, L_y, L_z$ systems. Analyses and comparisons of observed nucleation rates and pile-up spacings with those predicted by continuum descriptions are outlined in Appendices A and B, respectively. The agreement is good in both cases.

Figure 7(a) shows the measured dependence of $m = q$ on system size or dislocation density $\rho_d$. The exponent appears roughly to approach 1 as $\rho_d \to 0$, though system size limitations prevent us from accessing very low $\rho_d$ values. For easily accessible $\rho_d$, these simple dislocation creep simulations exhibit $m \gtrsim 2$. The deviation from $m = 1$ appears to have two primary causes. The first, as already noted, is large $\rho_d$. For a lattice constant of 0.33 nm (as assumed in the following), the densities simulated correspond to $\rho_d \approx 10^{13} - 10^{16}$ m$^{-2}$, which is near or above the highest average values typically observed in experiments ($\sim 10^{12}$ m$^{-2}$). Our simulations therefore indicate that collective interactions between defects at large $\rho_d$ can significantly accelerate plastic flow relative to that expected for isolated dislocations. A total $\rho_d \approx 10^{12}$ m$^{-2}$ should therefore ideally be maintained in such simulations, though large local densities $\rho_d \approx 10^{12}$ to $10^{16}$ m$^{-2}$ may have direct physical relevance in some cases.
The other source of $m > 1$ is associated with nonlinear acceleration of $v_{ss}$ at large $\sigma_A$, as shown in Fig. 7(b). This acceleration correlates with the onset of significant nonlinear elasticity and can be avoided for $\sigma_A \lesssim 0.05$, but the existence of a finite stress barrier $\sigma_N$ for dislocation nucleation ($\sigma_N \simeq 0.035$ in this case) also limits the explorables range at low $\sigma_A$.

If we follow the analysis of Ref. [17] by applying Taylor’s relation directly to our measured climb velocities, then the predicted creep rates would follow $\dot{\epsilon} \sim \rho_d b v_{ss} \sim \sigma^{2+[1.8,3.8]} \sim \sigma^{3.8}$ for the values of $\rho_d$ examined. These values are comparable to those obtained from the atomistically informed kMC simulations of Ref. [17], $m \simeq 5–5.5$, which were conducted at dislocation densities near the high end of our $\rho_d$ range. The underlying causes of these large exponents were identified in their study as nonlinear vacancy-dislocation core interactions and a non-negligible dependence of vacancy concentration on stress. Such mechanisms are not explicitly considered in PFC descriptions, but nonlinearities near dislocation cores are naturally captured by $n(\mathbf{r})$, the local peaks of which decrease in amplitude and increase in width as the core is approached. These variations signify local changes in vacancy concentration and migration barriers, which appear to produce qualitatively similar nonlinearities in climb rates with increasing $\rho_d$ to those observed in the atomistic simulations of Ref. [17].

For sufficiently low $\rho_d$ ($\lesssim 10^{13}$ m$^{-2}$), our PFC description appears to converge toward the standard description of purely climb-mediated creep in which $q = 1$ and thus $m = 3$. The noted nonlinearities caused by interacting dislocation strain fields apparently become negligible at and below typical physically relevant dislocation densities. This finding is in accord with Clouet’s analysis [18] of the results of Ref. [17], wherein $m \simeq 3$ is obtained for experimentally relevant conditions. It therefore appears that much larger, less controlled system configurations in which dislocations may more realistically nucleate, climb, glide, annihilate, etc., must be examined to obtain a more meaningful description of power-law creep. The required length scales are not yet accessible with PFC simulations, but we initiate steps in this direction in the following section. We note nonetheless that the overall consistency of our results with those of atomistic and mesoscale descriptions indicates that both the atomistic mechanisms and long-range interactions that underlie dislocation climb are qualitatively captured by PFC models.
1.0

As shown in Fig. 8(a). This configuration produces a crossover m from ρd
cation creep vs dislocation density ρd. Steady-state dislocation velocity v
is unchanged from that outlined in Sec. IV for diffusional 
±⃗m rate data from which 
cally introduced into the hexagonal grain structures of Sec.IV,
descriptions. As a first case, dislocation sources are symmetri-
generate power-law creep behavior within atomistic PFC
systems examined. The red lines are fits to the first pair of nucleated dislocations that climb along ±⃗z
[see Fig. 6(a)].

B. Power-law creep

We next examine somewhat less idealized microstructures
to determine whether more varied modes of dislocation
creation, interaction, and annihilation can self-consistently
generate power-law creep behavior within atomistic PFC
descriptions. As a first case, dislocation sources are symmetri-
ically introduced into the hexagonal grain structures of Sec. IV,
as shown in Fig. 8(a). This configuration produces a crossover from m ≃ 1 diffusional creep for σA < σN to m ≃ 2.8 for 
σA > σN, as shown in Fig. 8(b) (see Ref. [37] for animation). Below σN, dislocations do not nucleate and the response is unchanged from that outlined in Sec. IV for diffusional
creep and stress-assisted grain boundary migration. Above σN, dislocations nucleate from the sources and the response therefore transitions toward a dislocation mediated power-law-type regime. This change in strain relief mechanisms above σN is clearly visible, with a crossover to larger but still nearly linear steady-state strain rates after dislocations become active.
The mobile dislocation density becomes large during this regime, on the order of ρd ≃ 10^{15} m^{-2}, and increases roughly as ρd ∼ σA. The observed exponent m ≃ 2.8 therefore seems to result primarily from the combined effects of this ρd ∼ σA dependence and the large ρd and nonlinear vs effects, as illustrated in Fig. 7. Glide is active in these systems, but does not appear to contribute significantly to the plastic flow in this idealized system geometry.

A second system configuration containing 16 randomly positioned sources with Lx = Ly = Lz = 352a and 4 hard wall boundaries is shown in Fig. 9 (see Ref. [37] for animation). Such systems nucleate waves of dislocations when σA > σN, and between early waves generally reach temporary steady-states in terms of ρd and εss. Between the first and second waves of dislocation nucleation, for example, when ρd ≃ 3 × 10^{-15}/m^{2} (at all stresses) we obtain m ≃ 4.2 [Fig. 9(b)]. This value is larger than that expected from large ρd and nonlinear vs effects, which as quantified in Fig. 7 would be expected to produce m ≃ 3.0 ± 0.4. Similar simulations with two rather than four hard wall boundaries produce m ≃ 4.0. The excess m in these simulations may be due to the contribution of dislocation glide.

If we extrapolate these results to lower ρd where q = 1 is expected to be realized (decrement measured m by ∼2), and further assume that Taylor’s relation holds (increment measured m by 2), a power-law creep stress exponent of m ≃ 4.0−4.2 is implied. This value approaches but is smaller than typical experimental results for pure metals, m > 4.5, and is approximately consistent with previous mesoscopic

FIG. 7. (Color online) (a) Stress exponent m for simple dislocation creep vs dislocation density ρd. (Inset) Steady-state strain rate data from which m values were determined (L = Lx = Ly = Lz). (b) Steady-state dislocation velocity vss vs applied stress σA for the first six dislocation pairs in the lowest ρd system examined. The red lines are fits to the first pair of nucleated dislocations that climb along ±⃗z [see Fig. 6(a)].

FIG. 8. (Color online) Combined dislocation and 22.5°/45° grain boundary creep at d = 152.5a with 1 central dislocation source per grain. (a) System representation at σA = 0.04 and t = 160, with colors as in Fig. 6. (b) Steady state strain rate data and stress exponents m. (Inset) The corresponding creep curves at various σA (color legend).
descriptions that consider both climb and glide ($m = 4.5$) \[35\]. These findings, though not obtained directly at the system sizes and dislocation densities ultimately sought, do indicate that physically meaningful behavior is being captured.

As noted previously, the power-law exponents obtained here at large $\rho_d$ may also have some limited direct physical relevance based on the known spatial heterogeneity of $\rho_d$ in many systems. Even though average values of $\rho_d \gtrsim 10^{-5}/a^2 \simeq 10^{14} \text{ m}^{-2}$ simulated here are not typically encountered in real materials, significant spatial heterogeneity in $\rho_d(\vec{r})$ is often observed. Dislocation clustering at cell walls, tangles, obstacles, and even low-to-medium angle grain boundaries, for example, have been estimated \[38\] to produce local values of $\rho_d \sim 10^{16} \text{ m}^{-2}$, as large as any considered here. Though these heterogeneous domains of very large $\rho_d$ are separated by domains of much lower $\rho_d$ ($\sim 10^{13} \text{ m}^{-2}$ in Ref. \[38\]), they may nonetheless locally induce strong deviations from the $q = 1$ climb kinetics commonly assumed for individual dislocations, based on the simulations of Sec. \ref{sec:Atomistic Study of Diffusion-Mediated Diffusional Nabarro–Herring Creep}. Apparent power-law creep behavior may therefore be observed in such systems, even in the absence of any particularly complex defect reactions or mesoscale patterning.

### VI. CONCLUSIONS

We have studied the nonequilibrium kinetics of creep deformation and diffusional defect migration in atomistic crystalline and nanopoly crystalline systems using phase field crystal modeling. A method for conducting constant stress PFC simulations, the first such method to our knowledge, was developed and utilized throughout this study. The characteristic stress and grain size exponents quantified for symmetric nanopoly crystals, $m \simeq 1.02$ and $p \simeq 1.98$, respectively, closely match those expected for idealized diffusional Nabarro-Herring creep, $m = 1$ and $p = 2$. We find that a significant portion of the plastic flow in these systems is associated with nonaffine grain boundary motion, suggesting that concurrent stress-assisted diffusive boundary migration does not necessarily alter diffusional creep exponents in the regime of large boundary mobilities. Exponents consistent with diffusional Coble creep ($m = 1$, $p = 3$) were observed only in the presence of stochastic thermal noise amplitudes $M$ large enough to induce grain boundary melting or premelting. This suggests that a re-entrant transition to Coble creep may occur in weakly stressed systems that exhibit significant premelting near $T_m$.

In simulations of dislocation-mediated plastic flow (Sec. \ref{sec:Atomistic Study of Diffusion-Mediated Diffusional Nabarro–Herring Creep}), nucleation rates and pile-up spacings were shown to be well described by predictions of continuum elastic dislocation theory, Eqs. (A3) and (B1), respectively. At numerically accessible dislocation densities, strong nonlinear interactions were found to produce “inherent” dislocation climb exponents $1.8 \lesssim q \lesssim 3.8$ and extrapolated creep stress exponents $3.8 \lesssim m \lesssim 5.8$ for $\rho_d \sim 10^{14}/a^2$ to $10^{16}/a^2$. These results are in general agreement with previous atomistic \[17\] and mesoscale studies \[18\], and further highlight the need to access lower dislocation densities in atomistic simulations. Extrapolated climb rates appear to approach $q \simeq 1$ near typical experimental dislocation densities ($\rho_d \sim 10^{12}/a^2$), indicating that PFC models will reproduce climb-mediated natural creep behavior with $m = 3$ when Taylor’s relation holds.

In systems with slightly less idealized grain and dislocation source structures (Sec. \ref{sec:Atomistic Study of Diffusion-Mediated Diffusional Nabarro–Herring Creep}), stress exponents $m \simeq 2.8–4.2$ were directly measured, but these values cannot be straightforwardly compared to experimental results due to the known influence of large $\rho_d$ effects. Anomalously large stress exponents associated with the kinetic climb rate nonlinearities observed at large $\rho_d$ may nonetheless have relevance to power-law creep in systems that exhibit comparable dislocation densities locally within dislocation tangles and cell walls, for example. Extrapolation of the simulation results of Sec. \ref{sec:Atomistic Study of Diffusion-Mediated Diffusional Nabarro–Herring Creep} to physically relevant dislocation densities leads to predicted exponents of $m \simeq 4.0–4.2$, nearly consistent with mesoscale predictions for systems in which both climb and glide are active ($m = 4.5$), but still somewhat smaller than typical experimentally measured values in pure metals ($m \gtrsim 4.5$).

The results of this work indicate that PFC-type approaches are capable of capturing the essential physics of nonconservative defect evolution and dislocation-mediated creep at
atomistic length scales and diffusive time scales. The system sizes required to describe typical experimentally relevant dislocation densities, though not yet accessible, may be realizable within the next decade or potentially sooner with further development of computationally efficient, coarse grained complex amplitude expansions of PFC models [39–42]. Potential points of shorter-term study include investigation of fully 3D systems with more realistic microstructures and a distribution of dislocation source activation stresses, as well as development of constant stress deformation methods that impose fewer constraints on the shape of the polycrystalline domain.

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APPENDIX A: DISLOCATION NUCLEATION RATES

As dislocation pairs sequentially nucleate in the simulations of Sec. VA, the dependence of $\rho_d$ on time and $\sigma_A$ can be understood as follows. Upon nucleation of the first dislocation pair at $t_1$, the local strain around the source is reduced by $\epsilon_{zz} = b/L_z$. For $\sigma_A \approx \sigma_N$, this amount of strain must be reapplied to nucleate another pair, and the time required to do so, $t_2 - t_1$, is equal to the time it takes the climbing dislocation pair to plastically relieve this same amount of strain. For a constant steady-state climb velocity, $t_2 - t_1 = \frac{b}{L_z \epsilon_{zz}} = \frac{L_y}{2v_{ss}}$. (A1)

At $t_2$, there are four mobile dislocations, so the time required to nucleate the third pair is halved, and so on. In general, $t_i - t_{i-1} = \frac{b}{L_z \epsilon_{zz}} = \frac{L_y}{2(i-1)v_{ss}}$, (A2) and the time required to generate $i$ pairs of dislocations is

$$t_i = t_1 + \frac{L_y}{2v_{ss}} + \frac{L_y}{4v_{ss}} + \cdots + \frac{L_y}{2(i-2)v_{ss}} + \frac{L_y}{2(i-1)v_{ss}}$$

$$= t_1 + \frac{L_y}{2v_{ss}} \left(1 + \frac{1}{2} + \cdots + \frac{1}{i-2} + \frac{1}{i-1}\right)$$

$$= t_1 + \frac{L_y}{2v_{ss}} \frac{1}{n} \sum_{n=1}^{i-1} \frac{1}{n}$$

(A3)

where $c \approx 6.59$ is the constant prefactor given in Fig. 7(b). Equation (A3) is a harmonic series, which grows faster than logarithmically, implying that $\rho_d$ diverges faster than exponentially when annihilation and/or immobilization do does not occur [43]. This would be the case, for example, in an infinitely large system. Since our simulations are finite and also employ hard wall boundaries, dislocations become effectively immobile when they reach a wall. Nucleation of a new pair tends to occur roughly when the previous pair reaches the hard wall boundary, such that the number of mobile dislocations $N$ remains nearly constant with time. For this type of fixed $N$ scenario, Eq. (A3) predicts that periodic waves of dislocation nucleation will occur every $L_y/(2v_{ss})$ such that the total number of mobile plus immobile dislocations increases linearly with time at a rate proportional to $\sigma_A/L_y$. These relations are indeed found to describe the first few waves of nucleation quite well for all system sizes studied with hard wall boundaries.

APPENDIX B: DISLOCATION PILE-UP SPACINGS

Figure 10 shows the linear dislocation density $\rho_p(z) = \int_{-d z}^{+d z} N(z')dz'/(2d z)$ as a function of distance from the source for pile-ups such as that seen in Fig. 6(c). $\rho_p(z)$ is defined such that the number of dislocations between $z - dz$ and $z + dz$ is $2dz\rho_p(z)$. The solid line is a best fit to the prediction of continuum elasticity theory [44] for a double 1D pile-up along $z$,

$$\rho_p(z) = \frac{2(1-v)\sigma_A}{\mu b} \frac{z}{\sqrt{(L_z/2)^2 - z^2}}$$

(B1)

where $z$ is the distance from the center of the pile-up. This equation with $v = 1/2$ (as imposed by the fixed area, constant $\sigma_A$ algorithm), $\sigma_A = 0.045$, $\mu = 1$, and $b = \sqrt{2/3}$ accurately describes the simulation results for $z$ sufficiently far from the source. This further confirms that the long-range elastic interactions between PFC dislocations agree with continuum elastic descriptions, such that nontrivial multidislocation, multisteobstrate interactions are captured as well.


For simplicity, a $k = 0$ mode is not considered, although we do not expect its effect to change any of the physics reported herein.


If a constant area or volume constraint is not used, then one must continuously adjust the total system number density $n_0$ in inverse proportion to the volume change, such that the total number of particles remains fixed. It is not clear whether this can be done consistently with a PFC description, as an exact relation between $n_0$ and number of particles is in general not known. If $n_0$ is not adjusted with changes in volume, the volume can change unphysically without bound or penalty as local density peaks or lattice sites are simply created or removed to compensate for the volume change.

See Supplemental Material at http://link.aps.org/supplemental/10.1103/PhysRevB.92.134103 for animations of (i) hexagonal grain system evolution at various grain sizes (S1), (ii) dislocation nucleation, climb, and pile-up from a single source (S2), (iii) hexagonal grain system evolution with dislocation sources (S3), and (iv) dislocation nucleation, climb, pile-up, and polygonization from multiple sources (S4).

M. Mughrabi, Dislocation wall and cell structures and long-range internal stresses in deformed metal crystals, Acta Metall. 31, 1367 (1983).


[43] Similar arguments applied to nucleation and growth of dislocation loops in 3D lead to $t_i - t_{i-1} \simeq \sqrt{L_x L_y/[2\pi(i-1)v_{ss}]} - \sum_{j=1}^{i-2} r_j (t_{i-1})/[2(i-1)v_{ss}]$. This in general indicates an even faster $\rho_d$ divergence than in 2D, as loops relieve strain more rapidly than straight lines, especially for large radii $r$.