Private: Client's Investor Profile (CIP)

In order to be classified as **Experienced Investor**, Prediction GmbH ("Prediction") must be satisfied on reasonable grounds that you have sufficient experience in using financial services and investing in financial products. It is essential that we have a common understanding of your attitudes towards investment risk and your primary investment objectives for your account(s) with Prediction. Please complete this CIP on the basis of the investment objectives, personal loss capacity and risk tolerance.

Where there are multiple signatories or beneficial owners of the account(s) managed by Prediction, all account holder's attitudes towards investments should be taken into account when determining the overall investment profile.

You undertake that the data provided in this CIP is correct. In the event that there is any change in your financial circumstances, your overall attitude to investment risk, or to your primary investment objectives for the account(s) managed by Prediction, you undertake to inform Prediction without delay. Until receipt of such notice, Prediction may refer to this CIP as advised in this form or in any subsequent written communication by Prediction.

Forex Trading Specific Risks

Currency Trading Risk: Currency trading involves significant risks, including market risk, interest rate risk and country risk. Market risk results from the price movement of foreign currency values in response to shifting market supply and demand. Since exchange rate changes can readily move in one direction, a currency position carried overnight or over a number of days may involve greater risk than one carried a few minutes or hours. Interest rate risk arises whenever a country changes its stated interest rate target associated with its currency. Country risk arises because virtually every country has interfered with international transactions in its currency. Interference has taken the form of regulation of the local exchange market, restrictions on foreign investment by residents or limits on inflows of investment funds from abroad. Restrictions on the exchange market or on international transactions are intended to affect the level or movement of the exchange rate. This risk could include the country re-issuing a new currency, effectively making the „old" currency worthless.

Strategy Risk: The technical strategy and judgments about the attractiveness, value and potential appreciation, depreciation of a particular currency may prove to be incorrect and may not produce the desired results, leading to loss for investors.

Broker Risk: The trading strategy makes use of brokers that may default or become insolvent, in which case the whole or part of the amount deposited with such broker may become unavailable or be lost.

Power Cuts and Internet Disruptions: The trading strategy makes use of electronic trading platforms, which rely on a readily available supply of electricity and a stable internet connection, the failure of disruption of which may result in the inability to close a position, to stop further losses or to take a position resulting in a gain.

Risk Categories (A - F)

Prediction uses a risk classification for its investment product(s) and the Client's Investor Profile (CIP) that ranges from A (very low) to F (high). Prediction recommends not to exceed the Client's personal loss capacity as derived from this CIP. Should the selected investment profile/risk tolerance for the offered financial product(s) - such as Forex Managed Account(s) - differ from the Client's financial situation and/or risk-taking capacity, the Client acknowledges that the applicable financial product(s) may not suit the Client's financial situation and/or risk taking capacity.

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Company Number: CHE-162.519.027
Regulated under number 999999 by "PolyReg Allg. Selbstregulierungs-Verein".

Client Information Section

Account Type (FX Broker)	
Account Type	☐ Individual ☐ Corporate

Personal Information	
Title	☐ Mr. ☐ Ms.
First Name	
Family Name	
Marital Status	
Date of Birth (DD/MM/YYYY)	
Nationality	
US Person	☐ Yes ☐ No
Correspondence Address	
Street and Number	
Post Code	
City	
Country	
Contact Details	
Mobile Phone Number	
Phone Number	
Email Address	
Skype ID	

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Employment Data		
Employment Status	☐ Employed ☐ Self-employed ☐ Retired (description of last activity): ☐ Other (please specify):	
Employment Details	Business Sector:	
	Company Name:	
	Website:	
	Position:	
Important Public Function	☐ No ☐ Yes (please specify):	

Provision of Cross-Border Services	
Please confirm you contacted Prediction (www.finbou.com) on your own initiative and without any solicitation by Prediction.	
	☐ I confirm the above is true.
How did you find www.finbou.com/Prediction GmbH?	
	☐ Internet research ☐ Research on www.myfxbook.com ☐ Research on www.fxstat.com ☐ Introducing Broker/Referral (please specify): ☐ Other (please specify):

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Investment Knowledge and Experience					
Experience with Investment Products Please indicate your level of investment knowledge and experience of the asset classes listed below. Without any indication, "None" option will apply by default.					
Investment Type		Knowledge and Experience			
		None	Low	Medium	High
❖ Foreign Exchange (FX)	Spot	☐	☐	☐	☐
	Options	☐	☐	☐	☐
	Forwards, Swaps	☐	☐	☐	☐
❖ Equities	Developed Markets	☐	☐	☐	☐
	Emerging Markets	☐	☐	☐	☐
❖ Fixed Income		☐	☐	☐	☐
❖ Mutual Funds		☐	☐	☐	☐
❖ Structured Products		☐	☐	☐	☐
❖ Derivatives	Futures	☐	☐	☐	☐
	Swaps, other OTC Derivatives	☐	☐	☐	☐
	Options	☐	☐	☐	☐
❖ Commodities		☐	☐	☐	☐
❖ Alternative Investments	Hedge Funds	☐	☐	☐	☐
	Private Equity	☐	☐	☐	☐
	Real Estate (excluding primary residence)	☐	☐	☐	☐
❖ Portfolio Leverage		☐	☐	☐	☐
Number of Investment Products Please indicate the number of financial products currently held.					

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☐ None

☐ Less than 10

☐ 10 - 20

☐ More than 20

Average Holding Time
Please indicate the average holding time of financial products.

☐ Less than 2 Years

☐ 2 - 5 Years

☐ More than 5 Years

Annual Transactions
Please indicate the amount of annual transactions in your portfolio.

☐ Less than 10

☐ 10 - 40

☐ More than 40

Relevant FX Experience/Qualification
Do you have a good understanding of trading or investing in leveraged derivatives (FX Spot, FX Managed Accounts) due to involvement in the financial sector (occupational experience) or relevant professional qualification or education?

☐ No

☐ Yes (please specify):

Investment Objectives and Risk Tolerance

Investment opportunities that offer the potential for high returns usually carry the most risk and, conversely, those products that offer the highest protection tend to offer the lowest return. Risk represents the uncertainty of total return, which is defined as any investment income plus capital gain/loss. An account risk profile will reflect the weighted average of the risk of the underlying portfolio holdings. This approach means that a low-risk portfolio may include high risk products and a high risk portfolio may include low-risk products.
When we talk about risk, we are talking about the risk of loss to your capital and the volatility of returns. Please note that this questionnaire aims to assess your willingness to take on risk. However, you should also bear in mind your capacity to take risk and how any loss could impact your current or future lifestyle and financial commitments.

Risk Profile
Please tick one box below to specify your general attitude to risk in relation to your assets with

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Prediction.	
	☐ Risk Averse The objective is to preserve the original principal over the investment time horizon, with low volatility of principal value and low level of capital risk. You are willing and able to accept low returns in exchange for high liquidity/lower risk.
	☐ Conservative The objective is to provide returns mainly through income with some capital growth. You are willing to forego capital appreciation and to utilize principal (potentially at a loss, if necessary) to fund the desired level of sustained current income. You are willing to take on some risk and are able to suffer some losses in the event of downward markets.
	☐ Balanced The objective is to provide a modest return balanced between the income and growth of principal. You are willing to take risk associated with a higher return and are able to suffer possible substantial losses of principal in volatile conditions.
	☐ Growth The objective is to achieve higher returns than those sought by Balanced risk investors, over time with less focus on income. Some growth holdings may have lower liquidity and/or reduced transparency. You are willing to accept significant risk and are capable of suffering large losses, possibly up to 100% of principal.
	☐ Aggressive The objective is to achieve high growth over the longer term market cycle with little need for current income or liquidity. Holdings may be highly illiquid or have substantially decreased transparency. You are willing to take on extreme risk including high upside and downside volatility and are able to suffer loss of principal beyond the original investment.
Which of the following best describes your investment approach?	
	☐ Liquidity management and preservation of capital. ☐ Income generation and capital preservation. ☐ Moderate capital appreciation with moderate market fluctuations. ☐ Significant long-term growth of capital with potentially high volatility. ☐ Maximize long-term capital growth while not being concerned about volatility.
Which of the following best describes your investments to date?	
	☐ Cash and money market instruments; no experience with investments in other asset classes.

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	☐ Preservation of capital with main focus on income. ☐ Moderately aggressive mix of equities and fixed income bought for the long term. ☐ Rapidly traded portfolio looking for short term gains in one or more asset classes. ☐ Leveraged portfolio, using derivatives for speculative purpose, investing in instruments with limited liquidity and/or transparency.
Annualized Return Which of the following best describes the investment objectives for your account(s) with Prediction?	
	☐ 2% yearly profit ☐ 6% yearly profit ☐ 18% yearly profit ☐ 36% yearly profit ☐ 54% yearly profit
Investment Time Horizon What is the investment time horizon for your portfolio? When would you expect to make the first withdrawal exceeding 15% of your portfolio value?	
	☐ Less than 1 year ☐ 1 - 3 years ☐ 4 - 6 years ☐ 7 - 10 years ☐ Over 10 years
Liquidity Needs What percentage of the portfolio would you like to be able to liquidate immediately in order to meet unexpected liquidity requirements?	
	☐ Low - Less than 15% ☐ Medium - 15 - 50% ☐ High - Over 50%
Source of Money	
	☐ Savings

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	☐ Earned Income or Pension ☐ Inheritance ☐ Trading Gains ☐ Gift ☐ Other (please specify):
Yearly Income	
	☐ Below 75’000 CHF/USD ☐ 75’000 - 100’000 CHF/USD ☐ 100’000 - 150’000 CHF/USD ☐ Over 150’000 CHF/USD
Total Savings (including Real Estate)	
	☐ Below 100’000 CHF/USD ☐ 100’000 - 500’000 CHF/USD ☐ 500’000 – 2’000’000 CHF/USD ☐ 2’000’000 – 5’000’000 CHF/USD ☐ Above 5’000’000 CHF/USD
Total Savings (excluding Real Estate)	
	☐ Below 100’000 CHF/USD ☐ 100’000 - 500’000 CHF/USD ☐ 500’000 – 2’000’000 CHF/USD ☐ 2’000’000 – 5’000’000 CHF/USD ☐ Above 5’000’000 CHF/USD
Number of Children or Persons at Charge	
	☐ None ☐ Number (please specify):

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Place: _____

Date: _____

Print Name: _____

Signature: _____

Post this completed form to: Prediction GmbH, Freiestrasse 34, 8800 Thalwil, Switzerland – **or by email to:** info@finbou.com

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