



**National Tribal Gaming Commissioner's Regulatory Academy
Tachi Palace & Casino November 4-7, 2019**

We invite you to join us for our second regulatory academy. The NTGCR Board, Conference Committee and staff have worked for the past year to develop and implement a Regulatory Academy based on the needs of Gaming Commissioners and staff.

We offer over 26 hours of comprehensive regulatory training in a four day academy. Our speakers are selected from experts in the Indian Gaming industry and experienced Commissioners from throughout the country.

Due to the scope and nature of this academy, **space is limited to 70 people**. Participants who wish to attend must submit their registration form as soon as possible. You will receive a confirmation or denial letter based on availability.

Upon completion of this academy, you will receive a Certificate certifying your successful completion of the Regulatory Academy authorized by the National Tribal Gaming Commissioners & Regulators Association.

Hotel/Airport

**Tachi Palace & Casino
1-800-615-8030**

Code: National Tribal Gaming
Commissioners

Cut Off: October 23, 2019

Airport Location: Fresno, CA

Code: FAT

Contact Hotel for Shuttle
Information

November 3rd – Sunday

5:00 PM - Early Registration
7:00 PM

November 4th – Monday

7:30 AM – Registration
8:30 AM Continental Breakfast provided

8:30 AM - Welcome – Chairman Hummingbird
8:45 AM

8:45 AM – Session 1 – 1.5 hours
10:15 AM History of Indian Gaming (IGRA)

10:15 AM – Break 1
10:30 AM

10:30 AM- Session 2 – 2 hours
12:30 PM Tribal Gaming Regulatory Authority Operations vs Casino Responsibilities -

12:30 PM – Provided Networking Lunch - (Required Event)
2:00 PM

2:00 PM – Session 3 – 1.5 hours
3:30 PM Gaming & Gaming Related Systems

3:30 PM – Break 2
3:45 PM

3:45 PM – Session 4 – 1.5 hours
5:15 PM Gaming System/Equipment Review & Certification

November 5th - Tuesday

8:00 AM Continental Breakfast Provided

8:30 AM - Session 5 – 1.5 hours
10:00 AM Gaming Law

10:00 AM – Break 1
10:15 AM

10:15 AM – Session 6 – 1.5 hours
11:45 AM Tribal/State Compacts

11:45 AM – Lunch (on your own)
1:00 PM

1:00 PM – 2:00 PM	Session 7 – 1 hour Environmental Public Health & Safety (EPH&S)
2:00 PM – 2:15 PM	Break 2
2:15 PM – 3:45 PM	Session 8 – 1.5 hours Live Demo of EPH&S Facility Checks/Audits
3:45 PM – 4:00 PM	Break 3
4:00 PM – 5:30 PM	Session 9 – 1.5 hours Patron Disputes vs Tort Claims
7:00 PM- 9:00 PM	Academy Mixer

November 6th - Wednesday

8:00 AM	Continental Breakfast Provided
8:30 AM - 10:00 AM	Session 10 – 1.5 hours Investigations and Record Retention –
10:00 AM – 10:15 AM	Break 1
10:15 AM – 11:45 AM	Session 11 – 1.5 hours Tribal Ordinances and Commission Regulations
11:45 AM – 1:00 PM	Lunch (on your own)
1:00 PM – 2:30 PM	Session 12 – 1.5 hours License and Background Investigations
2:30 PM – 2:45 PM	Break 2
2:45 PM – 4:45 PM	Session 13 – 2 hours Enforcement & License Actions – Mock Hearing
5:30 PM	Board Meeting

November 7th - Thursday

8:00 AM	Continental Breakfast Provided
8:30 AM - 10:00 AM	Session 14 – 1.5 hours TGRA Internal Audit
10:00 AM –	Break 1

10:15 AM

10:15 AM –
11:15 AM

Session 15 – 1 hour
Preparing and Completing an Internal Audit

11:15 AM –
12:30 PM

Lunch (on your own)

12:30 PM –
2:00 PM

Session 16 – 1.5 hours
Tribal Gaming Regulatory Authority Surveillance Operations

2:00 PM –
2:15 PM

Break 1

2:15 PM –
3:15 PM

Session 17 – 1 hour
National Indian Gaming Commission (NIGC) – invited

3:15 PM –
4:15 PM

Session 18 – 1hour
Future of Indian Gaming Regulation –

4:15 PM –
5:00 PM

Awards and Closing – NTGCR Board



Regulatory Academy - Certification Outline

History of Indian Gaming (IGRA)

Duration: 1.5 Hours

This class will provide the history of Indian Gaming and how it has evolved. It will introduce the first laws and how the National Indian Gaming Commission was created. The class will take you through the timeline of from the first gaming establishments to generate profits to present day establishments. This class is important to establish the importance and basis for Indian Gaming.

Tribal Gaming Regulatory Authority (TGRA) vs Casino Responsibilities

Duration: 2 Hours

This class will provide an overview of TGRA regulatory authority and Casino responsibilities that the TGRA ensures are met. This training will also explore the types of staffing plans in use at different TGRAs. It will explain the importance of the duties of each department and staffing needed to run such department. Training is very important and something that is required for a TGRA. This class will provide examples of the types of training available and what training can be most beneficial for tribal gaming regulatory authorities.

Gaming and Gaming Related Systems

Duration: 1.5 Hours

This class will walk through the types of gaming and gaming related equipment/systems being used by the gaming operation. Examples of checklist will be provided to help guide the attendee in the best direction for reviews. It will discuss the types of interfaces these systems have with the games and/or financial systems.

Gaming System/Equipment Review & Certification

Duration: 1.5 Hours

This class will walk through the types of gaming and gaming related equipment that must receive approval from a tribal gaming regulatory authority. Examples of equipment and checklist will be provided to help guide the attendee in the best direction for this type of review. It will also provide steps for ensuring gaming activities such as, promotions and tournaments, are being reviewed and conducted fair and equitable.

Gaming Law

Duration: 1.5 Hours

Gaming law is becoming more and more important every day. This class will provide the principles of gaming law and the history behind it. Topics will range from past issues to present day issues and cases that have impacted tribal gaming. It will introduce the potential for the future concerns as technology evolves.

Tribal-State Compacts

Duration: 1.5 Hours

The attendee will be well informed of the current states that offer a compact with the tribes. This class will provide a history behind compacts and the types of involvement to expect from a state compliance group. Provide examples of state compacts and any other jurisdiction requirements.

Environmental Public Health and Safety (EPH&S)

Duration: 1 Hour

This course will teach the importance of EPH&S. It will provide materials needed to complete checklist and inspections. The types of inspections and reviews will be discussed along with what types of training is needed to ensure EPH&S.

Live Demo of EPH&S Facility Checks/Audits**Duration: 1.5 Hours**

This course will address how the TGRA verifies the information needed to submit an attestation letter and facility license to NIGC as required in Title 25, §559.4. We will focus on the basic safety audit (photos, report writing, listing your tribal, local, county, state, national, and Federal codes, policies, standards or procedures applicable to each gaming facility). Then move on to what type of documents you need to verify the facility meets all construction and maintenance codes. We will end the course with samples of the "new facility" and "renewal" attestation letters

Patron Gaming Disputes vs. Tort Claims**Duration: 1.5 Hours**

The gaming operation has claim processes for the different types of claims that can be filed. This class will teach the difference between a class II, class III, promotional, OTB and tort claim. It will provide examples of compact requirements for different regions and samples of regulations from tribes. Examples of dispute resolutions from various regions will be provided.

Investigations and Record Retention**Duration: 1.5 Hours**

Attendees will learn the basics of a regulatory investigation and the processes in place for the tribal regulatory authority. This class will walk you through the different types of investigations that a regulatory body will perform. It is important to understand record retention and evidence preservation. This class will provide for guidance to ensure proper record retention and preservation.

Tribal Ordinances and Commission Regulations**Duration: 1.5 Hours**

This class will explain the importance and requirements for a tribal ordinance and internal controls. This class will provide guidance on creating tribal internal controls. It will discuss the different types of controls needed and the laws and regulations required by tribal, state, and federal agencies. Examples of tribal internal controls will be provided to assist with the process of creation or comparison of your own. It will also provide guidance for implementing new tribal internal controls and what steps need to be taken.

Licensing and Background Investigations**Duration: 1.5 Hours**

This class will discuss and provide an overview of how commissions are required to perform background investigations on Key and Primary management officials to meet requirements that the NIGC requires tribes to perform investigations and issuance of licenses. We will discuss the process and different requirements and definitions of a Key and Primary Management Official.

Enforcement Actions and Hearings**Duration: 2 Hours**

This class provides the types of enforcement actions that can be taken by a TGRA. It will provide the processes needed to ensure a fair and proper hearing is conducted. These two types of items go hand in hand to ensure the TGRA and the Operation is protected. It will prepare the attendee for hearings/court proceedings. It will provide examples of tribal enforcement regulations to assist TGRAs with creating their own regulations. A mock hearing will take place to demonstrate the process for hearings and prepare the attendee for the types of actions that may arise.

TGRA Internal Audit**Duration: 1.5 Hours**

This class will explain the importance and requirements for a TGRA Internal Audit program. This class will provide guidance on requirements for creating an Internal Audit department. It will discuss the different types of controls needed and the laws and regulations required by tribal, state, and federal agencies.

Preparing and Completing an Internal Audit**Duration: 1 Hour**

This class will explain how to prepare for an internal audit, how an internal audit is completed, and provide examples for audit reports and audit findings.

Tribal Gaming Regulatory Authority Surveillance Operations

Duration: 1.5 Hours

This class will teach the importance of the Surveillance department under the tribal gaming regulatory authority. It will explain the types of activities, reviews, and reporting that is completed by the surveillance department. The surveillance department must have the ability to report all known and potential infractions to the tribal gaming regulatory authority without any influence by the gaming operation.

National Indian Gaming Commission (NIGC)

Duration: 1.5 Hours

It is important to understand the responsibilities and accountability of the NIGC. This class will walk you through how the NIGC functions and what responsibilities and services they provide to the tribes.

**Safe travels home. We hope you will join us at our Spring 2020 Conference
to be held at:**

**Sycuan Casino Resort
El Cajon, CA**

March 10-12, 2020

Watch for more information on our website at: www.ntgcr.com

NOTE: In order to receive your Award onsite, please register by October 14, 2020. Otherwise, your award will be shipped to you. Registration will be open until all spaces are filled and you received a confirmation. Space is limited to 70 attendees.

Tribes, Commission or Company: _____

Street: _____

City, State & Zip: _____

Phone: () _____ Email to send receipt: _____

Name	Position	Email

Academy Pricing - All prices are per person

Due to the Limited Amount of Participants for the Academy, **acceptance** into the academy will be on a first come first serve basis. **You will receive an email confirmation or denial based on availability.** Please return your form to email listed below. If paying by check please note this on form and email form to hold your spot.

___ **\$600** Member Registration - Your Gaming Commission or Vendor is a member of the NTGCR
___ **\$700** Non-Member Tribe or Organization

Number of People Attending: _____ x \$ _____ per person = \$ _____

Method of Payment

If paying by Credit Card we are utilizing: Square. Please provide the following information:

Please charge my credit card \$ _____ for _____ person/people.

VISA MC AE Exp. Date: _____ CCV code (on back): _____

Card # _____ Zip Code: _____

Name as it appears on the card: _____ Signature: _____

Email address for receipt from Square: _____

If paying by Check, please complete the following:

Enclosed is a check for \$ _____ for _____ people.

Please make checks payable to: **National Tribal Gaming Commissioners & Regulators** and send to:

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Oneida, WI 54155

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