

MARICOPA COUNTY SHERIFF'S OFFICE
Bureau of Internal Oversight
Audits and Inspections Unit
BI2016-0108



Bureau of Internal Oversight
TraCS Traffic Stop Review Inspection Report
Date: 9/15/2016
Inspection #BI2016-0108



Joseph M. Arpaio, Sheriff

To: Lt. Morris #S1014
Commander
Audits and Inspections Unit

From: Sgt. Reaulo #S1678
Inspections Sergeant
Audits and Inspections Unit

Subject: TraCS Traffic Stop Review Inspection Summary,
August 2016
BI2016-0108

Date: September 15, 2016
Report Period:
August 1-31, 2016

The Audits and Inspections Unit (AIU) will be conducting inspections of the supervisory review of traffic stops on an on-going basis to ensure compliance with office policies, promote proper supervision, and support the Melendres Order. To achieve this, inspectors will utilize the TraCS System and a sample of randomly selected employees from each district/division for review. These entries will be uniformly inspected utilizing a matrix developed by the Audits and Inspections Unit in accordance with the procedures outlined in policies EA-11, EB-1, and MCSO Administrative Broadcast Number 16-56.

Matrix Procedures:

- Determine what district/division the traffic stop data originated from
- Determine if the supervisor reviewed all completed traffic stop documentation within 72 hours
- Each Traffic Stop inspected will be counted as one inspection

Criteria:

MCSO Policy # EA-11, ARREST PROCEDURES (Section 14, Parts B & E):

“Deputies shall submit documentation of all stops and investigatory detentions to their supervisors by the end of the shift in which the action occurred. Absent exceptional circumstances, within 72 hours of receiving such documentation, supervisors shall independently review the reports. If the incident did not include an arrest or detention, the supervisor shall review the IR within seven calendar days, absent exigent circumstances.”

“Supervisors shall take appropriate action to address all violations or deficiencies in investigatory stops or detentions, including non-disciplinary corrective action for the deputy; or referring the incident for administrative review or criminal investigation. Supervisors shall track, through the Early Identification System (EIS), each deputy’s deficiencies or violations and the corrective action taken, in order to identify deputies who need repeated corrective action.”

MCSO Policy #EB-1, TRAFFIC ENFORCEMENT, VIOLATOR CONTACTS, AND CITATION ISSUANCE (Section 16, Part A):

“Deputies shall submit documentation of all stops and investigatory detentions to their supervisors by the end of the shift in which the action occurred. Absent exceptional circumstances, within 72 hours of receiving such documentation, supervisors shall independently review the reports. If the incident did not include an arrest or detention, the supervisor shall review the IR within seven calendar days, absent exigent circumstances.”

MCSO Administrative Broadcast # 16-56 Discussed with Deputy Indicator and Supervisory Review Process:

“Additionally, effective June 1, 2016, a “**Supervisory Review Process**” shall be used by all sworn supervisory personnel. Sworn supervisors are responsible for reviewing any form that is in pending review status, which shall now include the “Vehicle Contact Form.” Once a review is completed and the form is signed off, that form can no longer be modified or voided due to data being pulled for analysis.”

MELENDRES ORDER, PARAGRAPH #90: states “MCSO Deputies shall submit documentation of all stops and Investigatory Detentions conducted to their Supervisors by the end of the shift in which the action occurred. Absent exceptional circumstances, within 72 hours of receiving such documentation, a Supervisor shall independently review the information.”

Conditions:

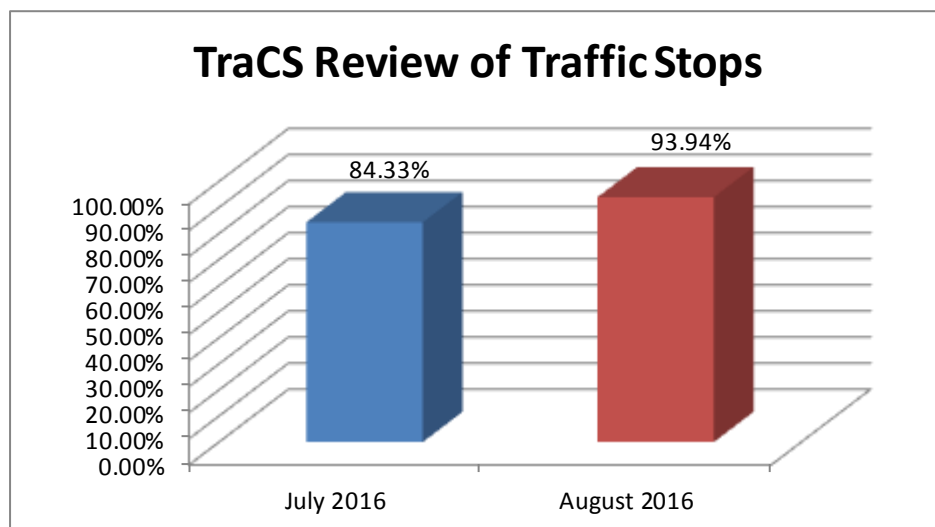
MCSO’s assigned Court Monitors provided a sample of 33 Deputies from all Patrol Districts/Divisions for the August TraCS Traffic Stop Review Inspection. The sample of 33 Deputies provided a total of 202 traffic stops available for inspection; 202 or 100% of the traffic stops were inspected. The compliance rate of each division is illustrated in the table below:

District	Compliance Rate	Total Traffic Stops Inspected
1	100.00%	42
2	100.00%	73
3	84.62%	13
4	100.00%	6
5	78.00%	18
6	95%	22
7	100.00%	28

It should be noted that the completion of a TraCS Traffic Stop Review Inspection is dependent on when AIU receives the sample from the Court Monitors (which may be 30 or more days in arrears).

MCSO achieved an overall compliance rate of **93.94%** in the TraCS Review of Traffic Stops within 72 hours for the month of August 2016, as illustrated in the graph below:

Note – The overall compliance rate is an average of the compliance scores from each division inspected.



The following deficiencies were observed during the inspection period:

Deficiencies				
<u>Dist./ Div.</u>	<u>MC#</u>	<u>Employee:</u>	<u>Commander:</u>	<u>Comment</u>
District Three	MC16218978	Sergeant	Captain	Traffic Stop not reviewed by Supervisor within 72 hours
District Three	MC16219105	Sergeant	Captain	Traffic Stop not reviewed by Supervisor within 72 hours

Deficiencies				
<u>Dist./ Div.</u>	<u>MC#</u>	<u>Employee:</u>	<u>Commander:</u>	<u>Comment</u>
District Five	MC16207762	Sergeant	Captain	Traffic Stop not reviewed by Supervisor within 72 hours
District Five	MC16214184	Sergeant	Captain	Traffic Stop not reviewed by Supervisor within 72 hours
District Five	MC16214236	Sergeant	Captain	Traffic Stop not reviewed by Supervisor within 72 hours
District Five	MC16215209	Sergeant	Captain	Traffic Stop not reviewed by Supervisor within 72 hours

Deficiencies				
<u>Dist./ Div.</u>	<u>MC#</u>	<u>Employee:</u>	<u>Commander:</u>	<u>Comment</u>
District Six	MC16213687	Sergeant	Captain	Traffic Stop not reviewed by Supervisor within 72 hours

A total of three BIO Action forms are requested. One BIO Action Form is requested from each of the affected Districts addressing the identified deficiencies. Please email the BIO Action Forms to BIO@mcs.maricopa.gov within 30 days from the date in which this report is disseminated to the Office.

Recommendation:

It is recommended that the inspection of the review of traffic stops continue at the Division level to provide assurance that the compliance rates continue to show improvement and remain constant over time. To accomplish this goal, the following criteria must be met:

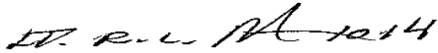
1. **Within 72 hours of completion**, supervisors are responsible for reviewing any form in TraCS that is in pending review status, which shall now include the “Vehicle Contact Form.”

Example: If a deputy issues a violator a Written Warning the assigned supervisor is required to open and review the deputy’s traffic stop data (all forms in the contact). Within 72 hours the supervisor shall review both the Contact Form and Written Warning and document the review in TraCS using the “Supervisor Review” function.

Action Required: With the resulting 93.94% compliance, *Inspection BI2016-0108* **will require submittal of three BIO Action Forms.** The Action Forms will be assigned a return date of 30 days after this inspection is published to Sheriff’s Office personnel.

Date Inspection Started: 9/13/2016
Date Completed: 9/15/2016
Timeframe Inspected: August 1-31, 2016
Assigned Inspector(s): Sergeant Reaulo S1678

I have reviewed this inspection report.



Lieutenant Rick Morris
Division Commander
Audits and Inspections

9/15/2016
Date



Deputy Chief Bill Knight
Bureau Commander
Bureau of Internal Oversight

9/15/2016
Date