

**MARICOPA COUNTY SHERIFF'S OFFICE**  
**November 2016 Traffic Stop Data Inspection**



**Audit and Inspections Unit**  
**Inspections Report**  
**Traffic Stop Data**  
**Date: December 22, 2016**  
**Inspection #2016-0155**



Joseph M. Arpaio, Sheriff

**To:** Lt. R. Morris S1014  
Commander  
Audit and Inspections Unit

**From:** Sergeant T. Brice S1767  
Inspections Sergeant  
Audit and Inspections Unit

**Subject:** November 2016 Inspection of Traffic Stop Data  
#BI2016-0155

**Date:** December 22, 2016  
Report Period:  
11-1-2016 to 11-30-  
2016

The Audit and Inspections Unit inspects Traffic Stop Data collected in the TraCS system on a monthly basis. The purpose for the inspection is to ensure compliance with office policies, promote proper supervision, and support compliance with the Melendres Order. The Court Monitors will determine a random pull for final inspection. To achieve inspection results the auditor will utilize the TraCS system, JWI, I-Net Viewer, body camera video (www.Evidence.com) and Communication Recordings. These entries will be uniformly inspected utilizing a matrix developed by the Bureau of Internal Oversight. Additionally, a JWI inquiry will be made on all deputies' involved in the traffic stop to determine if a license and/or warrants check was run during the stop. The following procedures and Briefing Boards will be used in the (BIO) Matrix, which include but are not limited to EA-3, EA-11, EB-1, EB-2 EB-11, GJ-3, GJ-4 GJ-35, GF-3, CP-1 CP-2, CP-5 CP-8, CP-8.1.A & .5, Briefing Board Numbers 09-31, 13-31 14-12, 14-28, 14-33 14-66, 14-67, 14-68, 15-01, 15-04

**Matrix Procedures:**

- Verify all information on traffic stop data forms match , respectively
- Verify all information on traffic stop data forms match CAD
- Verify all information on traffic stop data forms match body camera video
- Determine if all license and warrant checks were documented
- Determine if the name of any individuals from a license and/or warrant check (including subject's surname) are documented
- Confirm the name, serial number, and unit of all involved are documented
- Verify the license plate state and number are documented
- Confirm the total number of occupants are documented
- Verify the pre-stop and post-stop subjective perceived race, ethnicity, and gender of the driver and any passengers are documented
- Determine if contact was made with any passengers, the nature of the contact, and the reasons for such contact are documented
- Confirm if the reason for the stop was recorded with a description of the traffic or equipment violation observed, if any, prior to contact with the occupants, and any indicators of criminal activity developed before or during the stop
- Verify the time the stop began, time any citations were issued, time releases were made without citation, time any arrest were made, and time the stops/detentions were concluded and documented
- Confirm whether any inquiry as to immigration status was conducted and whether ICE/CBP was contacted, and if so, the facts supporting the inquiry or contact with ICE/CBP, the time Supervisor approval was sought, the time ICE/CBP was contacted, the time it took to complete the immigration

- status investigation or receive a response from ICE/CBP, and whether ICE/CBP ultimately took custody of the individual and documented
- Determine whether any individual was asked to consent to a search (and the response), whether a probable cause search was performed on any individual, or whether a pat-and-frisk search was performed on any individual and documented
- Verify if any contraband or evidence was seized from any individual, and if the nature of the contraband or evidence seized was documented
- Confirm the final disposition of the stop, including whether a citation was issued or an arrest was made or a cite and release was made
- Confirm the city location of stop was documented on traffic stop data forms
- Verify a receipt contained a signature or acknowledgment that the subject was served and if not there was a documented reason
- Verify video was available
- Determine if video recorded the Traffic Stop in its entirety
- Review incident video footage to ensure deputies adhere to all MCSO Policies

**Criteria:**

- Melendres Order, paragraphs 54 – 59
- MCSO Policy
- MCSO Policy EB-1, Traffic Enforcement, Violator Contracts, and Citation Issuance
- MCSO Policy EB-2, Traffic Stop Data Collection
- MCSO Policy GJ-35 Body Worn Cameras

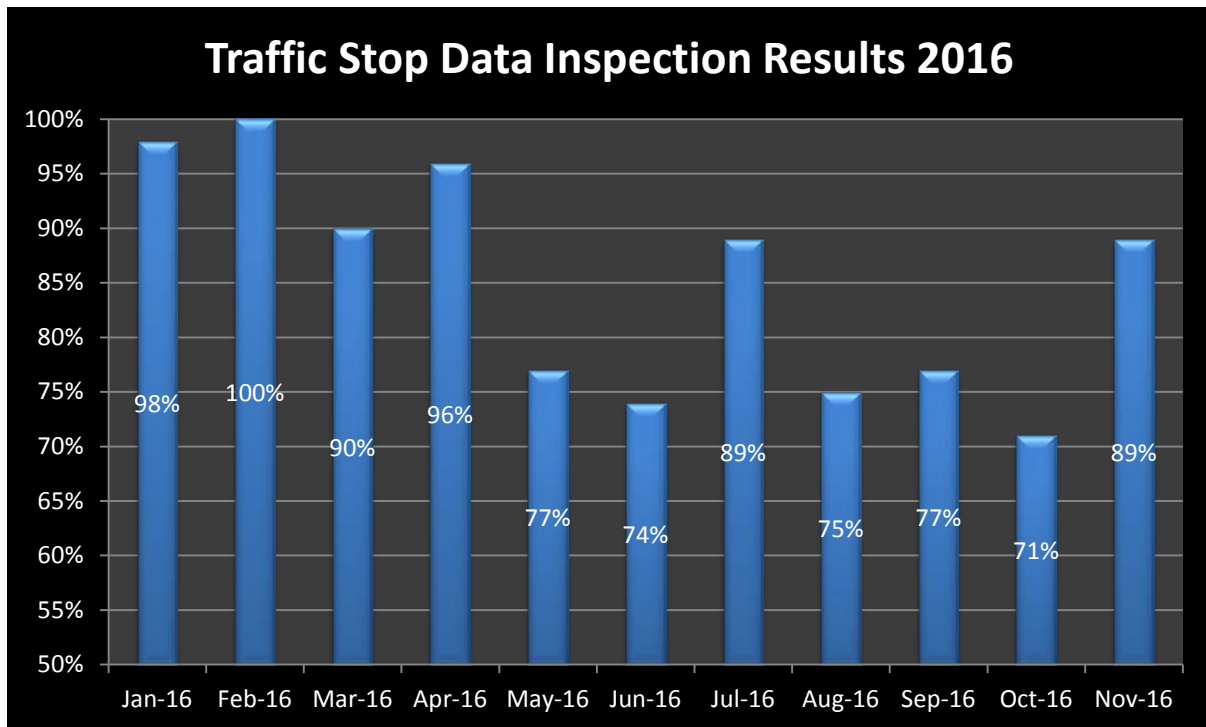
**Conditions:**

The MCSO assigned Court Monitors selected for review 35 out of 1776 traffic stops made for the month of November 2016 and 1 traffic stop from the month of September 2016. Additionally, 10 of the 35 from November as well as the 1 from September were selected for body camera review. These traffic stops included 0 DUI's (692's), 0 Reckless Driving (693's), and 0 Aggressive Driving (693R's). The auditor reviewed the traffic stops and determined that 89% or 32 out of the 36 traffic stops had no deficiencies (32 ÷ 36 = or 0.889 or 89%) This is an 18% increase from the October inspection. The auditor found the following deficiencies during the inspection:

**The following deficiencies shall require a Bio Action Form. A total of 4 Bio Action Forms (one for each deficiency) will be required within 30days.**

District/ Division	Comments
District 3	MC16294380: Wrong vehicle plate listed on MCSO Contact Form and Warning
District 3	MC16234255: Incidental Contact Form not provided to passenger after being identified and a 27/29 being performed.
District 3	MC16310237: No MCSO Contact Form completed

District/ Division	Comments
District 7	MC16302667: Wrong Unit/Call Sign




As of December 22, 2016 at 1000 hours, a review of Tracs showed no open forms for the month of November.

**A total of 4 Bio Action Forms are due from the deficiencies detailed from the traffic stop inspection**

**Inspection focus:** November 2016 Traffic Stop Data Inspection  
**Date Inspection Started:** December 15, 2016  
**Date Completed:** December 22, 2016  
**Timeframe Inspected:** November 1<sup>st</sup> thru 30<sup>th</sup>, 2016  
**Assigned Inspectors:** Sergeant T. Brice S1767

I have reviewed this inspection report.



Lieutenant R. Morris S1014  
Division Commander  
Audits and Inspections

December 22, 2016  
Date



Deputy Chief B. Knight S1011  
Bureau Commander  
Bureau of Internal Oversight

December 22, 2016  
Date