

MARICOPA COUNTY SHERIFF'S OFFICE
October 2016 Traffic Stop Data Inspection



Audit and Inspections Unit
Inspections Report
Traffic Stop Data
Date: November 29, 2016
Inspection #2016-0129



Joseph M. Arpaio, Sheriff

To: Lt. R. Morris S1014
Commander
Audit and Inspections Unit

From: Sergeant T. Brice S1767
Inspections Sergeant
Audit and Inspections Unit

Subject: October 2016 Inspection of Traffic Stop Data
#BI2016-0129

Date: November 29, 2016
Report Period:
October 1-31, 2016

The Audit and Inspections Unit inspects Traffic Stop Data collected in the TraCS system on a monthly basis. The purpose for the inspection is to ensure compliance with office policies, promote proper supervision, and support compliance with the Melendres Order. The Court Monitors will determine a random pull for final inspection. To achieve inspection results the auditor will utilize the TraCS system, JWI, I-Net Viewer, body camera video (www.Evidence.com) and Communication Recordings. These entries will be uniformly inspected utilizing a matrix developed by the Bureau of Internal Oversight. Additionally, a JWI inquiry will be made on all deputies' involved in the traffic stop to determine if a license and/or warrants check was run during the stop. The following procedures and Briefing Boards will be used in the (BIO) Matrix, which include but are not limited to EA-3, EA-11, EB-1, EB-2 EB-11, GJ-3, GJ-4 GJ-35, GF-3, CP-1 CP-2, CP-5 CP-8, CP-8.1.A & .5, Briefing Board Numbers 09-31, 13-31 14-12, 14-28, 14-33 14-66, 14-67, 14-68, 15-01, 15-04

Matrix Procedures:

- Verify all information on traffic stop data forms match , respectively
- Verify all information on traffic stop data forms match CAD
- Verify all information on traffic stop data forms match body camera video
- Determine if all license and warrant checks were documented
- Determine if the name of any individuals from a license and/or warrant check (including subject's surname) are documented
- Confirm the name, serial number, and unit of all involved are documented
- Verify the license plate state and number are documented
- Confirm the total number of occupants are documented
- Verify the pre-stop and post-stop subjective perceived race, ethnicity, and gender of the driver and any passengers are documented
- Determine if contact was made with any passengers, the nature of the contact, and the reasons for such contact are documented
- Confirm if the reason for the stop was recorded with a description of the traffic or equipment violation observed, if any, prior to contact with the occupants, and any indicators of criminal activity developed before or during the stop
- Verify the time the stop began, time any citations were issued, time releases were made without citation, time any arrest were made, and time the stops/detentions were concluded and documented
- Confirm whether any inquiry as to immigration status was conducted and whether ICE/CBP was contacted, and if so, the facts supporting the inquiry or contact with ICE/CBP, the time Supervisor approval was sought, the time ICE/CBP was contacted, the time it took to complete the immigration

- status investigation or receive a response from ICE/CBP, and whether ICE/CBP ultimately took custody of the individual and documented
- Determine whether any individual was asked to consent to a search (and the response), whether a probable cause search was performed on any individual, or whether a pat-and-frisk search was performed on any individual and documented
- Verify if any contraband or evidence was seized from any individual, and if the nature of the contraband or evidence seized was documented
- Confirm the final disposition of the stop, including whether a citation was issued or an arrest was made or a cite and release was made
- Confirm the city location of stop was documented on traffic stop data forms
- Verify a receipt contained a signature or acknowledgment that the subject was served and if not there was a documented reason
- Verify video was available
- Determine if video recorded the Traffic Stop in its entirety
- Review incident video footage to ensure deputies adhere to all MCSO Policies

Criteria:

- Melendres Order, paragraphs 54 – 59
- MCSO Policy
- MCSO Policy EB-1, Traffic Enforcement, Violator Contracts, and Citation Issuance
- MCSO Policy EB-2, Traffic Stop Data Collection
- MCSO Policy GJ-35 Body Worn Cameras

Conditions:

The MCSO assigned Court Monitors selected for review 35 out of 1629 traffic stops made for the month of October 2016. Additionally, 10 of the 35 were selected for dispatch audio and body camera review. These traffic stops included 0 DUI's (692's), 0 Reckless Driving (693's), and 0 Aggressive Driving (693R's). The auditor reviewed the traffic stops and determined that 71% or 25 out of the 35 traffic stops had no deficiencies ($25 \div 35 = 71\%$) This is a 6% decrease from the September inspection. The auditor found the following deficiencies during the inspection:

The following deficiencies shall require a Bio Action Form. A Total of 10 Bio Action forms (one for each deficiency) will be required within 30days.

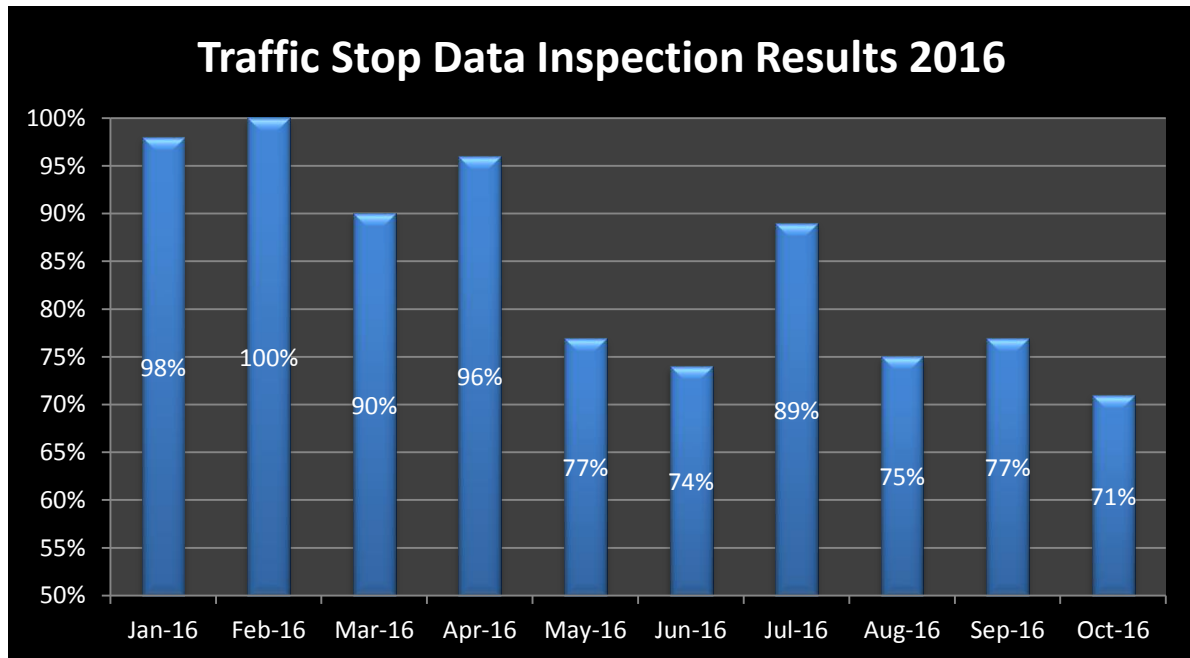
District/ Division	Employee	Supervisor	Comments
District 1	Dep.	Cpt.	MC16283930: No 27/29 as required

District/ Division	Employee	Supervisor	Comments
District 2	Dep.	Capt.	MC16279876: L234 listed on MCSO Contact Form as 2 nd person in L235's vehicle.
District 2	Dep.	Capt.	MC16284243: Wrong license plate listed on MCSO Contact Form and Citation.

District/ Division	Employee	Supervisor	Comments
District 3	Dep.	Capt.	MC16275922: Wrong MC# on Contact Form and Citation (MC16275895)
District 3	Dep.	Capt.	MC16289099: Additional Deputies on scene not documented on Contact Form.

District/ Division	Employee	Supervisor	Comments
District 6	Dep	Capt.	MC16273361: Did not complete a 10-29 check (warrant check) of driver.
District 6	Dep.	Capt.	MC16260478: Camera not activated when decision to stop was made.
District 6	Dep.	Capt.	MC16263804: License plate seizure not documented on Contact Form.
District 6	Dep	Capt.	MC16279135: No 27/29 as required.

District/ Division	Employee	Supervisor	Comments
Lakes	Dep.	Capt.	MC16285783: Wrong MC# on Contact Form and Citation (MC16285753).



In addition, as of November 29, 2016, at 0930 hours, there are currently in the TraCS system 4 open forms from the date range October 1, 2016 to October 31, 2016. Each of these forms needs to be addressed. To find forms in TraCS with a status of open the user simply selects open from the status field in the search tool and runs the search. This will return all forms showing a status of "Open." Deputies can only see their forms created in the LOW ORG they are assigned to. Sergeants and above can view the forms for their entire LOW ORG. Additionally, there is a new Instructional document (Deputy Open Form Search Creation.pdf) specifically related to creating a TraCS search for open forms. That document can be located on the U:drive under "TraCS Training."

District/ Division	Employee	Supervisor	Comments
Lakes	Dep.	Capt.	MC16272491: Open accident report
Lakes	Dep.	Capt.	MC16287215: Open accident report
Lakes	Dep.	Capt.	MC16284259: Open tow sheet

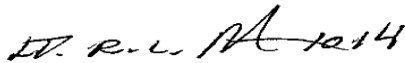
District/ Division	Employee	Supervisor	Comments
Lakes	Sgt.	Capt.	Open Citation (no MC#).

A total of 10 Bio Action Forms are due from the deficiencies detailed from the traffic stop inspection.

A total of 2 Bio Action Forms are due from the deficiencies detailed from the open Tracs documents inspection.

Inspection focus: October 2016 Traffic Stop Data Inspection
Date Inspection Started: November 21, 2016
Date Completed: November 29, 2016
Timeframe Inspected: October 1st thru 31st, 2016
Assigned Inspectors: Sergeant T. Brice S1767

I have reviewed this inspection report.



Lieutenant R. Morris S1014
Division Commander
Audits and Inspections

November 29, 2016
Date



Deputy Chief B. Knight S1011
Bureau Commander
Bureau of Internal Oversight

November 29, 2016
Date