

MARICOPA COUNTY SHERIFF'S OFFICE
Bureau of Internal Oversight
Audits and Inspections
#BI2016-0039

Inspection focus: Employee E-Mails

Date Inspection Started: April 1, 2016

Date Completed: April 25, 2016

Timeframe Inspected: March 2016

Assigned Inspector: Sgt. M. Rodriguez A9047

I have reviewed this inspection report.

A handwritten signature in black ink, appearing to read "Dave Munley", followed by the number "#777".

Captain Dave Munley
Division Commander
Audits and Inspections

4/26/16

Date

A handwritten signature in black ink, appearing to read "Bill Knight", followed by the number "#1011".

Deputy Chief Bill Knight
Bureau Commander
Bureau of Internal Oversight

4/26/16

Date

MARICOPA COUNTY SHERIFF'S OFFICE

Memorandum



Joseph M. Arpaio, Sheriff

To: Captain D. Munley S0777
Division Commander
Audits and Inspections Unit
Bureau of Internal Oversight

From: Sergeant M. Rodriguez A9047
Audits and Inspections Unit
Bureau of Internal Oversight

Subject: Summary of Findings Report
Inspection #BI2016-0039 with a focus on Employee
E-mail Accounts - March 2016

Date: 4/26/16

Summary:

Between April 1st and April 25th of 2016, the Bureau of Internal Oversight (BIO), Inspections and Audits Unit (IAU) conducted an inspection of employee e-mails sent and received during the month of March 2016. The purpose for the inspection was to determine if e-mail accounts were being used in compliance with Office policies and in support of the Melendres Order.

Authorities:

MCSO Policy CP-2, *Code of Conduct*
MCSO Policy CP-8, *Preventing Racial and Other Biased-Based Profiling*
MCSO Policy GM-1, *Electronic Communications and Voice Mail*
MCSO Policy GH-4, *Bureau of Internal Oversight*
Melendres Order (Paragraph 23)

Procedure:

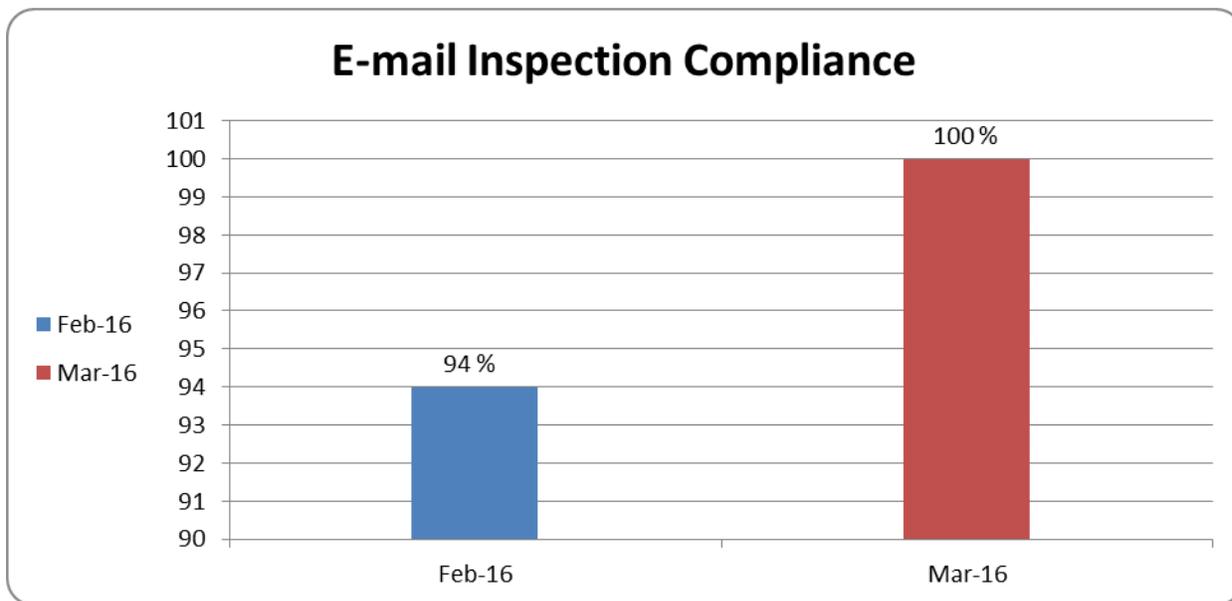
An Excel spreadsheet was initially obtained from the MCSO Human Resources Bureau which contained the names of all the current Office Employees for the month of March 2016. The list had 3415 names. Utilizing the obtained list, each employee name was individually numbered from one to 3415.

Utilizing a randomizing program (www.Randomizer.org), one percent (1%) of the 3415 employees were selected as the sample for inspection. A randomized total of 35 employees were identified to have their e-mail accounts inspected. The list of selected employees was forwarded to the Maricopa County Office of Enterprise and Technology (MCOET) to obtain a copy of the e-mail accounts for each selected employee. Once the e-mail accounts for the selected employees were received, the updated "*E-mail Inspection Matrix*" was utilized to ensure consistent inspection of each individual e-mail account.

This Inspection found:

The 35 email accounts totaled 12,542 e-mails; however, only 7,103 e-mails were reviewed due to the elimination of normal MCSO business related e-mails such as; training announcements, administrative broadcasts, system generated e-mails, and e-mails from professional organizations.

The inspection found that **100%, of the inspected e-mails were in compliance** with Office Policies GM-1, *Electronic Communications and Voice Mail*, CP-2, *Code of Conduct* and CP-8, *Preventing Racial and Other Biased-Based Profiling*.



Supplemental Permanent Injunction/Judgment Order, Paragraph 23:

Section V. **Policies and Procedures** of the October 2, 2013, “*Supplemental Permanent Injunction/Judgment Order*,” reads in part:

a. Policies and Procedures to Ensure Bias-Free Policing

Paragraph 23. “Within 30 days of the Effective Date, MCSO shall modify its Code of Conduct to prohibit MCSO Employees from utilizing County property, such as County e-mail, in a manner that discriminates against, or denigrates, anyone on the basis of race, color, or national origin.”

Statistical information relevant to Order/Paragraph 23:

The results of this inspection found that **100% of the inspected e-mails were in compliance** with MCSO Policy CP-2, *Code of Conduct* and MCSO Policy CP-8, *Preventing Racial and Other Biased-Based Profiling*.

Recommendations:

1. It is recommended that supervisors continue to provide mentoring to employees and review MCSO Policies CP-2 and CP-8, specifically emphasizing the prohibition of employees from using county property, such as e-mail, in any manner that discriminates or denigrates anyone on the basis of race, color, national origin, age, religious beliefs, gender, culture, sexual orientation, veteran status, or disability. Continue to encourage and reinforce the need for employees to report any violations of Policy CP-2 or CP-8 immediately to a supervisor
2. It is recommended that supervisors continue to provide mentoring to employees and review MCSO Policy GM-1, specifically emphasizing the authorized/unauthorized contents of e-mails and provide informal Microsoft Outlook program training to employees so that they better manage their Microsoft Outlook e-mail accounts, specifically in the areas of archiving/saving emails and emptying “Deleted Items” and “Junk Email” folders.

Action Required:

With the resulting 100% compliance, *Inspection #BI2016-0039* will not require submittal of BIO Action Forms.

- The MCSO Bureau of Internal Oversight will conduct future inspections of employee e-mail accounts within the next thirty days.

Notes:

All supporting documentation (working papers) is included in the inspection file number **BI2016-0039** and contained in IA Pro.