

MARICOPA COUNTY SHERIFF'S OFFICE
Bureau of Internal Oversight
Audits and Inspections Unit
BI2016-0135



Bureau of Internal Oversight
TraCS Discussion Inspection Report
Date: 11/29/2016
Inspection #BI2016-0135



Joseph M. Arpaio, Sheriff

To: Lt. Morris #S1014
Commander
Audits and Inspections Unit

From: Sgt. Reaulo #S1678
Inspections Sergeant
Audits and Inspections Unit

Subject: TraCS Discussion Inspection Summary,
September 2016 Data
BI2016-0135

Date: November 29, 2016
Report Period:
September 1-30, 2016

The Audits and Inspections Unit (AIU) will be conducting inspections of the supervisory discussion of traffic stops on an on-going basis to ensure compliance with office policies, promote proper supervision, and support the Melendres Order. To achieve this, inspectors will utilize the TraCS System and a sample of randomly selected employees from each district/division for review. These entries will be uniformly inspected utilizing a matrix developed by the Audits and Inspections Unit in accordance with the procedures outlined in policies EA-11, EB-1 and MCSO Administrative Broadcast Number 16-56.

Matrix Procedures:

- Determine what district/division the traffic stop data originated from
- Verify the supervisor individually discussed each traffic stop completed
- Each Traffic Stop inspected will be counted as one inspection

Criteria:

MCSO Policy # EA-11, ARREST PROCEDURES (Section 14, Parts E):

“Supervisors shall take appropriate action to address all violations or deficiencies in investigatory stops or detentions, including non-disciplinary corrective action for the deputy; or referring the incident for administrative review or criminal investigation. Supervisors shall track, through the Early Identification System (EIS), each deputy’s deficiencies or violations and the corrective action taken, in order to identify deputies who need repeated corrective action.”

MCSO Policy # EB-1, TRAFFIC ENFORCEMENT, VIOLATOR CONTACTS, AND CITATION ISSUANCE (Section 16):

“First line supervisors shall individually discuss the traffic stops made by each deputy under their supervision at least one time per month. The discussion shall include whether the deputy detained any individuals and the reason for such detention, and whether any stops involved immigration issues.”

MCSO Administrative Broadcast # 16-56 Discussed with Deputy Indicator and Supervisory Review Process:

“Effective June 1, 2016, the “**Discussed With Deputy**” indicator within TraCS shall be used by all sworn supervisory personnel.”

“These new processes shall be required monthly and shall eliminate the need to document a discussion of traffic stops and a review of collected data in Blue Team Supervisory Notes.”

MELENDRES ORDER, PARAGRAPH #85: states “First-line Supervisors shall be required to discuss individually the stops made by each Deputy they supervise” on a monthly basis, at a minimum.

Conditions:

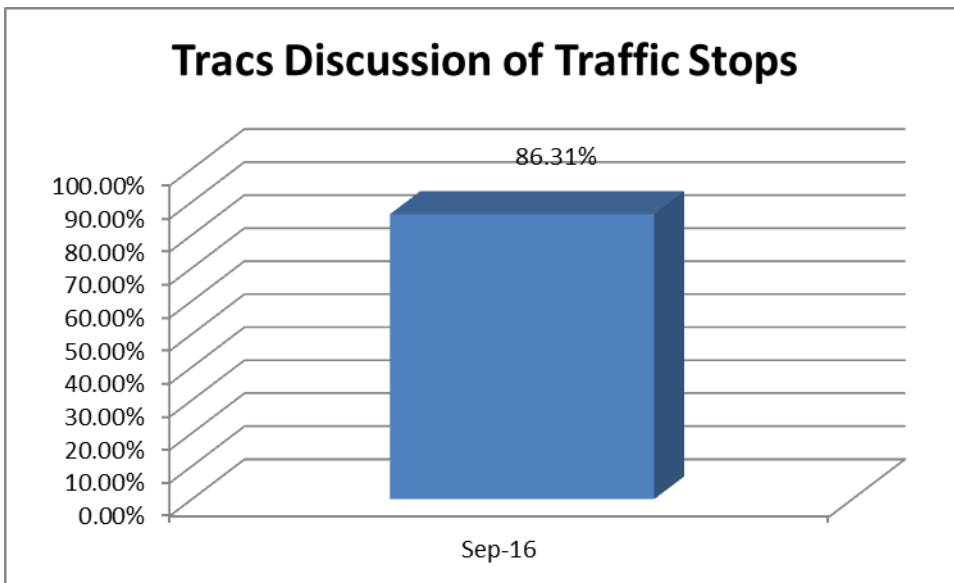
MCSO’s assigned Court Monitors provided a sample of 29 Deputies from all Patrol Districts/Divisions for the TraCS Discussion Inspection covering data from September 2016. The sample of 29 Deputies provided a total of 305 traffic stops available for inspection; 305 or 100% of the traffic stops were inspected. The compliance rate of each division is illustrated in the table below:

<u>District</u>	<u>Compliance Rate</u>	<u>Total Traffic Stops Inspected</u>
1	39.62%	53
2	100.00%	4
3	73.68%	19
4	100.00%	3
5	100.00%	23
6	90.91%	154
7	100.00%	49

It should be noted that the completion of a TraCS Discussion inspection is dependent on when AIU receives the sample from the Court Monitors (which may be 30 or more days in arrears).

MCSO achieved a compliance rate of **86.31%** in the TraCS Discussion of Traffic Stops for the month of September 2016, as illustrated in the graph below:

Note – The overall compliance rate is an average of compliance scores from each division inspected.



The following deficiencies were observed during the inspection period:

Deficiencies				
<u>Dist./ Div.</u>	<u>MC#</u>	<u>Employee:</u>	<u>Commander:</u>	<u>Comment</u>
District One	MC16229922	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District One	MC16229923	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District One	MC16229924	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District One	MC16229925	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District One	MC16229926	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District One	MC16229927	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District One	MC16229928	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District One	MC16229929	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District One	MC16229930	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District One	MC16229931	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District One	MC16229932	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District One	MC16229933	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District One	MC16229934	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District One	MC16229935	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District One	MC16229936	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District One	MC16229937	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District One	MC16229938	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District One	MC16229939	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District One	MC16229940	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District One	MC16229941	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District One	MC16229942	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District One	MC16229943	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District One	MC16229944	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District One	MC16229945	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District One	MC16229946	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District One	MC16229947	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District One	MC16229948	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy

Deficiencies				
<u>Dist./ Div.</u>	<u>MC#</u>	<u>Employee:</u>	<u>Commander:</u>	<u>Comment</u>
District One	MC16229949	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District One	MC16229950	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District One	MC16229951	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District One	MC16229952	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District One	MC16229953	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy

Deficiencies				
<u>Dist./ Div.</u>	<u>MC#</u>	<u>Employee:</u>	<u>Commander:</u>	<u>Comment</u>
District Three	MC16226734	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District Three	MC16226560	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District Three	MC16230764	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District Three	MC16232689	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District Three	MC16232919	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District Three	MC16236800	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District Three	MC16238956	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District Three	MC16239012	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District Three	MC16239094	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District Three	MC16243089	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District Three	MC16243089	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District Three	MC16243248	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District Three	MC16245713	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District Three	MC16245292	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy

Deficiencies				
<u>Dist./ Div.</u>	<u>MC#</u>	<u>Employee:</u>	<u>Commander:</u>	<u>Comment</u>
District Six	MC16230811	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District Six	MC16230812	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District Six	MC16230813	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District Six	MC16230814	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District Six	MC16230815	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy

Deficiencies				
Dist./ Div.	MC#	Employee:	Commander:	Comment
District Six	MC16230816	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District Six	MC16230817	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District Six	MC16230818	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District Six	MC16230819	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District Six	MC16230820	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District Six	MC16230821	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District Six	MC16230822	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District Six	MC16230823	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy
District Six	MC16230824	Deputy	Captain	Supervisor did not discuss Contact Form with Deputy

A total of five (5) BIO Action forms are required from the affected Districts addressing the identified deficiencies. Three (3) BIO Action Forms from District One addressing the lack of traffic stop discussions with the identified deputies. One (1) BIO Action Form from District Three addressing the lack of traffic stop discussions with identified deputy. One (1) BIO Action Form from District Six addressing the lack of traffic stop discussions with the identified deputy.

Please email the BIO Action Forms to BIO@mcs.maricopa.gov within 30 days from the date in which this report is disseminated to the Office.

Recommendations:

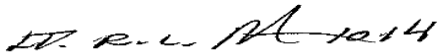
It is recommended that the inspection of discussion of traffic stops continue at the Division level to provide assurance that the compliance rates continue to show improvement and remain constant over time. To accomplish this goal, the following criteria must be met:

1. *On a monthly basis*, supervisors shall be required to discuss individually the traffic stops made by each deputy they supervise, no less than one time per month.

It is also recommended that each division utilize the TraCS system to monitor the discussion status of traffic stops. This can be accomplished by conducting a search of the following fields (1) Location (Lower Org), (2) Form (Contact & Incidental Contact Forms) and (3) Date Range (Example: October 1-31, 2016). The search results will display a list of the contact forms and note "Discussed" under the Deputy Discussion column for those with completed discussions. By also completing the User ID search field supervisors can view the same data for the deputies under their direct supervision by selecting their serial numbers.

Date Inspection Started: 11/22/2016
Date Completed: 11/29/2016
Timeframe Inspected: September 1-30, 2016
Assigned Inspector(s): Sergeant Reaulo S1678

I have reviewed this inspection report.



Lieutenant Rick Morris
Division Commander
Audits and Inspections

11/29/2016
Date



Deputy Chief Bill Knight
Bureau Commander
Bureau of Internal Oversight

11/29/2016
Date