


MARICOPA COUNTY SHERIFF'S OFFICE
May 2016 Traffic Stop Data Inspection



Bureau of Internal Oversight
Audits/Inspections Report
Date: June 16, 2016
Audit/Inspection #2016-0070

MARICOPA COUNTY SHERIFF'S OFFICE

Memorandum

 Joseph M. Arpaio, Sheriff	To: Lt. R. Morris S1014 Division Commander Bureau of Internal Oversight	From: Sergeant T. Brice S1767 Bureau of Internal Oversight
	Subject: May 2016 Inspection of Traffic Stop Data #BI2016-0070	Date: 06/16/2016 Report Period: May 1-31, 2016

Background:

The Bureau of Internal Oversight (BIO) inspects Traffic Stop Data collected in the TraCS system on a monthly basis. The purpose for the inspection is to ensure compliance with office policies, promote proper supervision, and support compliance with the Melendres Order. The Court Monitors will determine a random pull for final inspection. To achieve inspection results the auditor will utilize the TraCS system, JWI, I-Netviewer, body camera video (www.Evidence.com) and Communication Recordings. These entries will be uniformly inspected utilizing a matrix developed by the Bureau of Internal Oversight. Additionally, a JWI inquiry will be made on all deputies' involved in the traffic stop to determine if a license and/or warrants check was run during the stop. The following procedures and Briefing Boards will be used in the (BIO) Matrix, which include but are not limited to EA-3, EA-11, EB-1, EB-2 EB-11, GJ-3, GJ-4 GJ-35, GF-3, CP-1 CP-2, CP-5 CP-8, CP-8.1.A & .5, Briefing Board Numbers 09-31, 13-31 14-12, 14-28, 14-33 14-66, 14-67, 14-68, 15-01, 15-04

Matrix Procedures:

- Verify all information on traffic stop data forms match , respectively
- Verify all information on traffic stop data forms match CAD
- Verify all information on traffic stop data forms match body camera video
- Determine if all license and warrant checks were documented
- Determine if the name of any individuals from a license and/or warrant check (including subject's surname) are documented
- Confirm the name, serial number, and unit of all involved are documented
- Verify the license plate state and number are documented
- Confirm the total number of occupants are documented
- Verify the pre-stop and post-stop subjective perceived race, ethnicity, and gender of the driver and any passengers are documented
- Determine if contact was made with any passengers, the nature of the contact, and the reasons for such contact are documented
- Confirm if the reason for the stop was recorded with a description of the traffic or equipment violation observed, if any, prior to contact with the occupants, and any indicators of criminal activity developed before or during the stop
- Verify the time the stop began, time any citations were issued, time releases were made without citation, time any arrest were made, and time the stops/detentions were concluded and documented
- Confirm whether any inquiry as to immigration status was conducted and whether ICE/CBP was contacted, and if so, the facts supporting the inquiry or contact with ICE/CBP, the time Supervisor approval was sought, the time ICE/CBP was contacted, the time it took to complete the immigration status investigation or receive a response from ICE/CBP, and whether ICE/CBP ultimately took custody of the individual and documented
- Determine whether any individual was asked to consent to a search (and the response), whether a probable cause search was performed on any individual, or whether a pat-and-frisk search was performed on any individual and documented
- Verify if any contraband or evidence was seized from any individual, and if the nature of the contraband or evidence seized was documented
- Confirm the final disposition of the stop, including whether a citation was issued or an arrest was made or a cite and release was made

- Confirm the city location of stop was documented on traffic stop data forms
- Verify a receipt contained a signature or acknowledgment that the subject was served and if not there was a documented reason
- Verify video was available
- Determine if video recorded the Traffic Stop in its entirety
- Review incident video footage to ensure deputies adhere to all MCSO Policies

Authorities:

Melendres Order, paragraphs 54 – 59
 MCSO Policy
 MCSO Policy EB-1, Traffic Enforcement, Violator Contracts, and Citation Issuance MCSO Policy EB-2, Traffic Stop Data Collection
 MCSO Policy GJ-35 Body Worn Cameras

Observations:

The MCSO assigned Court Monitors selected 35 out of 2507 traffic stops made for the month of May 2016 and selected 10 out of the 35 for dispatch audio and body camera review. These traffic stops included 0 DUI's (692's), 0 Reckless Driving (693's), and 0 Aggressive Driving (693R's). The auditor reviewed the traffic stops and determined that 77% or 27 out of the 35 traffic stops had no deficiencies. The auditor found the following deficiencies during the inspection:

District/ Division	Comments
District 1	MC16126711 - MCSO Contact Form lists Posseman P13056 as having a body camera active.

District/ Division	Comments
District 2	MC16115122 - MCSO Contact Form list City/Area as "Pima," when it was the city of Gila Bend.

District/ Division	Comments
District 3	MC16102215 Deputy 1: Did not complete "Additional Unit Camera Log" in Tracs. Deputy 2: Did not provide incidental contact form to passenger after performing a 27/29.
District 3	MC16116425 – Driver searched, but did not document on the MCSO Contact Form.
District 3	MC16116576 – Traffic stop: Started body camera recording as he was exiting the vehicle (should start as soon as the decision to stop a vehicle is made).
District 3	MC16128321 – License plate listed on the Tracs forms (citation and MCSO contact form) does not match what was provided to dispatch or what plate was seen on the vehicle when reviewing body camera footage.

District/ Division	Comments
Lakes	MC16109456 – MCSO Contact Form lists Post Stop Perceived Race/Ethnicity of driver as White. Subject is Asian.
Lakes	MC16128447 – Passenger contact is not documented on the MCSO Vehicle Stop Contact form.

In addition, as of June 16, 2016 at 1030 hours, there are currently in the TraCS system 4 open, non-validated forms from the date range May 1, 2016 to May 31, 2016. Each of these forms needs to be addressed. To find forms in TraCS with a status of open the user simply selects open from the status field in the search tool and runs the search. This will return all forms showing a status of “Open.” Deputies can only see their forms created in the LOW ORG they are assigned to. Sergeants and above can view the forms for their entire LOW ORG. Additionally, there is a new Instructional document (Deputy Open Form Search Creation.pdf) specifically related to creating a TraCS search for open forms. That document can be located on the U:drive under “TraCS Training.” **The following deficiencies shall require an action form be completed.**

District/ Division	Comments
District 1	Written Warning

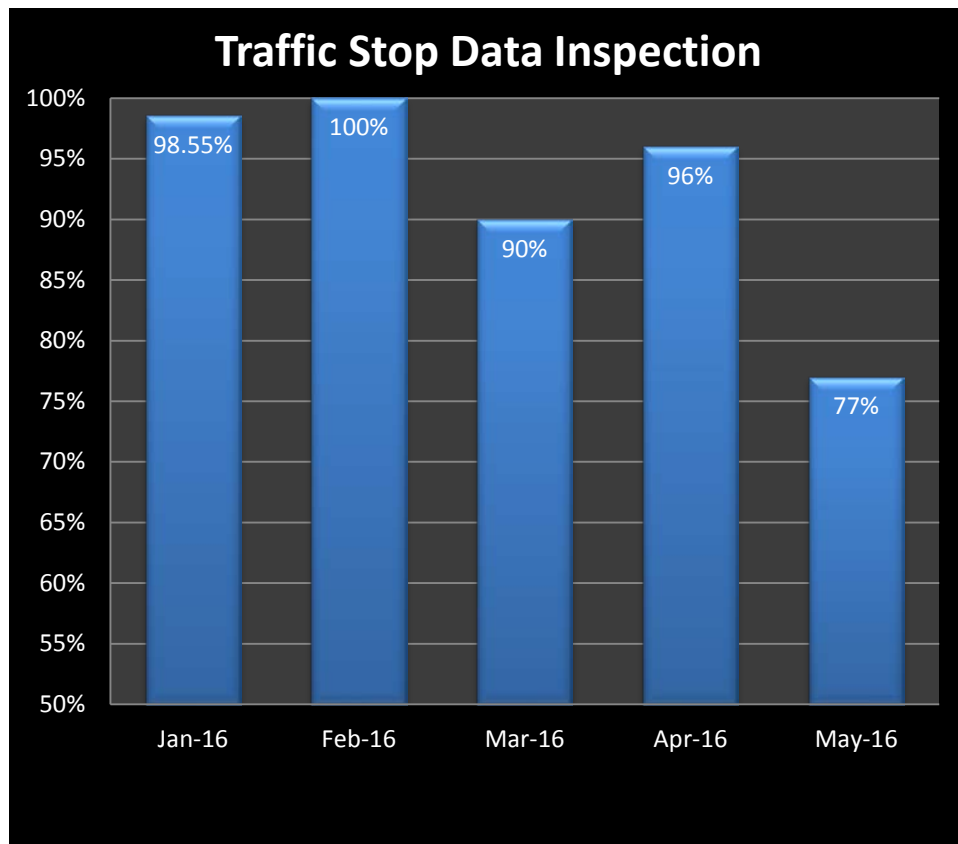
District/ Division	Comments
District 5	MCSO Contact Form

District/ Division	Comments
District 7	MCSO Contact Form

District/ Division	Comments
PSB	Citation

Findings:

Following the Bureau of Internal Oversight’s traffic stop data inspection for the month of May 2016, it was determined that MCSO had a **77%** compliance rate with a decrease of 19% from the April 2016 inspection, as illustrated in the graph below:




Recommendations:

Supervisors have been provided a supervisory review process for Tracs data outlined in Administrative Broadcast 16-56. It is recommend Supervisors work with and adopt this process as a tool to fix errors prior to the auditing process and discovering and remedying the root cause of the issues in a timely fashion. Questions, assistance or suggestions can be addressed to the Bureau of Internal Oversight auditors.

Also, it is recommended supervisors discuss with their employees what errors are being identified in this audit and ways they can avoid the same mistakes. For example, ensure all employees are aware, if they assist on a traffic stop they need to complete the Tracs form Additional Deputy Camera Log. This log serves as the documentation the Deputy was recording or was not recording and why.

Inspection focus: May 2016 Traffic Stop Data Inspection
Date Inspection Started: June 7th, 2016
Date Completed: June 16th, 2016
Timeframe Inspected: May 1st thru 31th, 2016
Assigned Inspectors: Sergeant T. Brice S1767

I have reviewed this inspection report.



Lieutenant Rick Morris S1014
Division Commander
Audits and Inspections

06/16/2016
Date



Deputy Chief Bill Knight
Bureau Commander
Bureau of Internal Oversight

06/16/2016
Date