

## **EDGAR 76.400 State procedures for reviewing an application.**

A State that receives an application for a subgrant shall take the following steps:

(a) *Review.* **The State shall review the application.** (*Note: for Federal Statute and State compliance*)

(b) *Approval—entitlement programs.* The State shall approve an application **if:**

(1) The application is submitted by **an applicant that is entitled** to receive a subgrant under the program; and (*Note: This means eligible by a minimum of 40 percent free and reduced lunch in the building and adherence to other federal and state criteria outlined in the application*).

(2) The applicant **meets the requirements of the Federal statutes** and regulations that apply to the program.

(c) *Approval—discretionary programs.* The State **may** approve an application if:

(1) The application is submitted by an **eligible** applicant under a program in which **the State has the discretion to select** subgrantees;

(2) The **applicant meets the requirements** of the Federal statutes and regulations that apply to the program; and

(3) **The State determines that the project should be funded** under the authorizing statute and implementing regulations for the program.

(d) *Disapproval—entitlement and discretionary programs.* **If an application does not meet the requirements** of the Federal statutes and regulations that apply to a program, **the State shall not approve the application.**

(Authority: 20 U.S.C. 1221e-3 and 3474)

## **76.591 Federal evaluation—cooperation by a grantee.**

A grantee shall cooperate in any evaluation of the program by the Secretary.

(Authority: 20 U.S.C. 1221e-3, 1226c, 1231a, 3474, and 6511(a))

## **6.592 Federal evaluation—satisfying requirement for State or subgrantee evaluation.**

If a State or a subgrantee cooperates in a Federal evaluation of a program, the Secretary may determine that the State or subgrantee meets the evaluation requirements of the program.

## **Participation of Students Enrolled in Private Schools**

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### **§76.650 Private schools; purpose of §§76.651-76.662.**

(a) Under some programs, the authorizing statute requires that a State and its subgrantees provide for participation by students enrolled in private schools. Sections 76.651-76.662 apply to those programs and provide rules for that participation. These sections do not affect the authority of the State or a subgrantee to enter into a contract with a private party.

(b) If any other rules for participation of students enrolled in private schools apply under a particular program, they are in the authorizing statute or implementing regulations for that program.

(Authority: 20 U.S.C. 1221e-3 and 3474)

NOTE: Some program statutes authorize the Secretary—under certain circumstances—to provide benefits directly to private school students. These “bypass” provisions—where they apply—are implemented in the individual program regulations.

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### **§76.651 Responsibility of a State and a subgrantee.**

(a)(1) A subgrantee shall provide students enrolled in private schools with a genuine opportunity for equitable participation in accordance with the requirements in §§76.652-76.662 and in the authorizing statute and implementing regulations for a program.

(2) The subgrantee shall provide that opportunity to participate in a manner that is consistent with the number of eligible private school students and their needs.

(3) The subgrantee shall maintain continuing administrative direction and control over funds and property that benefit students enrolled in private schools.

(b)(1) A State shall ensure that each subgrantee complies with the requirements in §§76.651-76.662.

(2) If a State carries out a project directly, it shall comply with these requirements as if it were a subgrantee.

(Authority: 20 U.S.C. 1221e-3 and 3474)

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## **§76.652 Consultation with representatives of private school students.**

(a) An applicant for a subgrant shall consult with appropriate representatives of students enrolled in private schools during all phases of the development and design of the project covered by the application, including consideration of:

(1) Which children will receive benefits under the project;

(2) How the children's needs will be identified;

(3) What benefits will be provided;

(4) How the benefits will be provided; and

(5) How the project will be evaluated.

(b) A subgrantee shall consult with appropriate representatives of students enrolled in private schools before the subgrantee makes any decision that affects the opportunities of those students to participate in the project.

(c) The applicant or subgrantee shall give the appropriate representatives a genuine opportunity to express their views regarding each matter subject to the consultation requirements in this section.

(Authority: 20 U.S.C. 1221e-3 and 3474)

## **76.656 Information in an application for a subgrant.**

An applicant for a subgrant shall include the following information in its application:

- (a) A description of how the applicant will meet the Federal requirements for participation of students enrolled in private schools.
- (b) The number of students enrolled in private schools who have been identified as eligible to benefits under the program.
- (c) The number of students enrolled in private schools who will receive benefits under the program.
- (d) The basis the applicant used to select the students.
- (e) The manner and extent to which the applicant complied with §76.652 (consultation).
- (f) The places and times that the students will receive benefits under the program.
- (g) The differences, if any, between the program benefits the applicant will provide to public and private school students, and the reasons for the differences.

## **§76.659 Use of public school personnel.**

A subgrantee may use program funds to make public personnel available in other than public facilities:

- (a) To the extent necessary to provide equitable program benefits designed for students enrolled in a private school; and
- (b) If those benefits are not normally provided by the private school.

(Authority: 20 U.S.C. 1221e-3 and 3474)

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## **§76.660 Use of private school personnel.**

A subgrantee may use program funds to pay for the services of an employee of a private school if:

- (a) The employee performs the services outside of his or her regular hours of duty; and
- (b) The employee performs the services under public supervision and control.

(Authority: 20 U.S.C. 1221e-3 and 3474)

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## **§76.661 Equipment and supplies.**

(a) Under some program statutes, a public agency must keep title to and exercise continuing administrative control of all equipment and supplies that the subgrantee acquires with program funds. This public agency is usually the subgrantee.

(b) The subgrantee may place equipment and supplies in a private school for the period of time needed for the project.

(c) The subgrantee shall insure that the equipment or supplies placed in a private school:

- (1) Are used only for the purposes of the project; and
- (2) Can be removed from the private school without remodeling the private school facilities.

(d) The subgrantee shall remove equipment or supplies from a private school if:

- (1) The equipment or supplies are no longer needed for the purposes of the project; or
- (2) Removal is necessary to avoid use of the equipment or supplies for other than project purposes.

## **§76.709 Funds may be obligated during a “carryover period.”**

- (a) If a State or a subgrantee does not obligate all of its grant or subgrant funds by the end of the **fiscal year for which Congress appropriated the funds**, it may obligate the remaining funds during a carryover period of one additional fiscal year.

*Note: First Year grantees may use carryover, if they have unspent funds. Note: The federal funding expires before your grant ends and we use new funds to provide contractual obligations. So this is a ONE time event in your first year ONLY.*

(b) The State shall return to the Federal Government any carryover funds not obligated by the end of the carryover period by the State and its subgrantees.

NOTE: This section is based on a provision in the General Education Provisions Act (GEPA). Section 427 of the Department of Education Organization Act (DEOA), 20 U.S.C. 3487, provides that except to the extent inconsistent with the DEOA, the GEPA “shall apply to functions transferred by this Act to the extent applicable on the day preceding the effective date of this Act.” Although standardized nomenclature is used in this section to reflect the creation of the Department of Education, there is no intent to extend the coverage of the GEPA beyond that authorized under section 427 or other applicable law.

(Authority: U.S.C. 1221e-3, 1225(b), and 3474)

[45 FR 22517, Apr. 3, 1980. Redesignated at 45 FR 77368, Nov. 21, 1980, as amended at 45 FR 86296, Dec. 30, 1980. Redesignated at 60 FR 41295, Aug. 11, 1995]

(b) *Other programs—**hearings not required.*** Under other programs covered by this part, a State agency—other than a **State educational agency—is not required to provide an opportunity for a hearing** regarding the agency's disapproval of an application.

(c) **If** an applicant for a subgrant alleges that any of the following actions of a State educational agency violates a State or Federal statute or regulation, the State educational agency and the applicant shall use the procedures in paragraph (d) of this section:

(1) Disapproval of or failure to approve the application or project in whole or in part.

(2) Failure to provide funds in amounts in accordance with the requirements of statutes and regulations.

(d) *State educational agency hearing procedures.* (1) If the applicant applied under a program listed in paragraph (a) of this section, the State educational agency shall provide an opportunity for a hearing before the agency disapproves the application.

(2) If the applicant applied under a program not listed in paragraph (a) of this section, the State educational agency shall provide an opportunity for a hearing either before or after the agency disapproves the application.

**(3) The applicant shall request the hearing within 30 days of the action of the State educational agency.**

(4)(i) Within 30 days after it receives a request, the State educational agency shall hold a hearing on the record and shall review its action.

(ii) No later than 10 days after the hearing the agency shall issue its written ruling, including findings of fact and reasons for the ruling.

(iii) If the agency determines that its action was contrary to State or Federal statutes or regulations that govern the applicable program, the agency shall rescind its action.

(5) If the State educational agency does not rescind its final action after a review under this paragraph, the applicant may appeal to the Secretary. The applicant shall file a notice of the appeal with the Secretary within 20 days after the applicant has been notified by the State educational agency of the results of the agency's review. If supported by substantial evidence, findings of fact of the State educational agency are final.

(6)(i) The Secretary may also issue interim orders to State educational agencies as he or she may decide are necessary and appropriate pending appeal or review.

(ii) If the Secretary determines that the action of the State educational agency was contrary to Federal statutes or regulations that govern the applicable program, the Secretary issues an order that requires the State educational agency to take appropriate action.

(7) Each State educational agency shall make available at reasonable times and places to each applicant all records of the agency pertaining to any review or appeal the applicant is conducting under this section, including records of other applicants.

(8) If a State educational agency does not comply with any provision of this section, or with any order of the Secretary under this section, the Secretary terminates all assistance to the State educational agency under the applicable program or issues such other orders as the Secretary deems appropriate to achieve compliance.

(e) *Other State agency hearing procedures.* State agencies that are required to provide a hearing under paragraph (a) of this section—other than State educational agencies—are not required to use the procedures in paragraph (d) of this section.

NOTE: This section is based on a provision in the General Education Provisions Act (GEPA). Section 427 of the Department of Education Organization Act (DEOA), 20 U.S.C. 3487, provides that except to the extent inconsistent with the DEOA, the GEPA “shall apply to functions transferred by this Act to the extent applicable on the day preceding the effective date of this Act.” Although standardized nomenclature is used in this section to reflect the creation of the Department of Education, there is no intent to extend the coverage of the GEPA beyond that authorized under Section 427 or other applicable law.

(Authority: 20 U.S.C. 1221e-3, 1231b-2, 3474, and 6511(a))

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