

Records & Information Management 2018 Spring Seminar

Denver's annual conference for records management and information professionals. Attendees include corporate, government, and non-profit records managers and employees, including IT, compliance, security, information security, legal and eDiscovery counsel, city and county clerks, and independent consultants.

APRIL 24, 2018 7:30 AM TO 5:00 PM

PPA Event Center, 2105 Decatur Street, Denver

Presented by:



SEMINAR SCHEDULE

TIME	SESSION / ROOM	TOPIC
7:30—8:15am	Registration, Breakfast, Business Partner Visits, Silent Auction Bidding	
8:15—8:25 am	Welcome	Seminar Introductions and Announcements
8:25—8:35 am	Chapter Charity	Tennyson Center for Children
8:35—8:45 am	Platinum Sponsor	Total Document Solutions, Inc.
8:45—9:30 am	Aspen	Keynote: Information Security and Data Privacy: Key Information Governance Program Elements—Pat Cunningham
9:40—10:20 am	Session 1, Track 1 Aspen	Blockchain is Way Bigger than the Hype— Randolph Kahn
	Session 1, Track 2 Blue Spruce	Beyond Clay Tablets: Digital Preservation Basics— Lori Ashley
10:30—11:10 am	Session 2, Track 1 Aspen	Evaluating Third Parties Holding Sensitive Information — Pat Cunningham
	Session 2, Track 2 Blue Spruce	Using a Proven Approach to Digital Preservation—Lori Ashley
11:20am—12:00 pm	Session 3, Track 1 Aspen	Through the Looking Glass– The RIM Road Ahead—Andrew SanAgustin
	Session 3, Track 2 Blue Spruce	One-on-One Speakers / Ask the Experts—ARMA Fellows
12:00—12:50 pm	Evergreen	Lunch, Business Partner Visits, Silent Auction Bidding
12:50—1:30 pm	Session 4, Track 1 Aspen	Case Studies in Change Management— Andrew SanAgustin
	Session 4, Track 2 Blue Spruce	Social Media and Records Management Panel Discussion—Panel Discussion Moderated by Lindy Naj
1:40—2:20 pm	Session 5, Track 1 Aspen	Countdown to GDPR Compliance—Silke Sancaktar
	Session 5, Track 2 Blue Spruce	Smart Technology: Government & Business Meet IG, RIM, and Privacy —Susan Goodman
2:30—3:15 pm	Session 6, Track 1 Aspen	Position Yourself as a Business Enabler—Jesse Wilkins
	Session 6, Track 2 Blue Spruce	RIM Talks 1—Business Partners
3:25—4:05 pm	Session 7, Track 1 Aspen	Creating a Culture of Information Management Excellence When the Stakes are High—Russ Stalters
	Session 7, Track 2 Blue Spruce	One-on-One Speakers / Ask the Experts / —ARMA Fellows
4:10—4:45 pm	Aspen	Endnote: The Power of a Superhero Team Up— Sibito Morley
4:45—5:00 pm	Closing Comments, Prize Drawings, Silent Auction	Kay Harrington—Seminar Conclusion, Business Partner Promotions Payment for silent auction items is required for pick-up.
5—7 pm	Networking Event sponsored by Total Documents Solutions, Inc.	<i>Please join us after the seminar for a social event with your fellow attendees. The first drink is complimentary.</i>

Ten minute breaks between sessions. Please visit our exhibitors & silent auction.

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SESSION DESCRIPTIONS

<p>8:45 AM Keynote</p>	<p>Information Security and Data Privacy: Key Information Governance Program Elements Pat Cunningham, CISM, FAI , Manager, Senior Manager, IT Policy Governance, Abbvie, Inc.</p> <p>Information management and information security often seem to be on diverging paths. Many information managers work hard to enable access to information, while information security professionals work to close it down. This presentation will outline the threats against confidential and proprietary information. The presenter will discuss the ways in which information management professionals can work more closely with privacy and information security professionals.</p>
<p>9:40 AM Track 1</p>	<p>Blockchain is Way Bigger than the Hype Randolph Kahn, Esq., President, Kahn Consulting</p> <p>Often new technology is said to “game changing,” but rarely does it live up to its hype. Blockchain is one technology that may be way more important for business than meets the eye. This session explore blockchain and explain how it hold promise for the business and information industries.</p>
<p>9:40 AM Track 2</p>	<p>Beyond Clay Tablets: Digital Preservation Basics Lori Ashley, Industry Market Development Manager, Preservica</p> <p>This session will cover core concepts, principles and practices of long-term digital preservation. The session will include use cases from the public and private sections.</p>
<p>10:30 AM Track 1</p>	<p>Evaluating Third Parties Holding Sensitive Information Pat Cunningham, CISM, FAI, Manager, Senior Manager, IT Policy Governance, Abbvie, Inc.</p> <p>Nearly every organization shares information with business partners to varying degrees. For some organizations, entire business processes have been outsourced to cloud providers, increasing information risks. The technology innovations that enable information to leave the organization’s direct control also dramatically increase the challenges for organizations to ensure the security of that information. Mitigating the risks associated with leveraging third party information processing begins with establishing controls against which potential cloud providers can be assessed.</p>
<p>10:30 AM Track 2</p>	<p>Using a Proven Approach to Digital Preservation Lori Ashley, Industry Market Development Manager, Preservica</p> <p>This session will provide an overview of a web-based self-assessment tool based on the Digital Preservation Capability Maturity Model (DPCMM). This tool is designed to enable records managers, information governance professionals, and technologists to identify gaps in archiving and preservation capabilities, and to learn how to apply standards-based preservation techniques for incremental, sustainable capability improvements.</p>
<p>11:20 AM Track 1</p>	<p>Through the Looking Glass - The RIM Road Ahead Andrew San Agustin, CRA, Corporate Records Program Manager, Microsoft CELA</p> <p>The future state of Records and Information Management from the rear view mirror: where we are, and down the path for future state of the industry. It will be: Mostly electronic centric (with respect to physical as well), Dealing with advanced data governance specific to ML (machine learning); AI (artificial intelligence); In-Place records management; Character/pattern recognition; and, Blockchain technology. The take-away is professional awareness and enterprise readiness of these game changing technologies, usefulness and trends. We will engage potential impacts and benefits to RIM/IG in order for attendees to take a proactive stance for the future wave of managing information and records.</p>
<p>11:20 AM Track 2</p>	<p>One-on-One with Speakers and ARMA Fellows ARMA Fellows</p> <p>Sit down with one of the morning speakers or an ARMA Fellow for a one-on-one conversation to discuss a RIM topic of your choice.</p>
<p style="text-align: center;"><i>Ten minute breaks between sessions. Please visit our exhibitors & silent auction.</i></p>	

[Register at www.ARMADenver.org](http://www.ARMADenver.org)

SESSION DESCRIPTIONS cont.

12:50 PM Track 1	<p>Case Studies in Change Management Andrew San Agustin, CRA, Corporate Records Program Manager, Microsoft CELA</p> <p>User acceptance is necessary for a successful launch of a new solution or spearheading cultural transitions. How do you go about gaining this acceptance? How do you roll-out a new tool, new way of working, or alternative way of thinking? And how do you determine compliance? If approached properly with diligence and careful planning, you'll not only receive overall acceptance, but ensure sustainability in your deliverable.</p>
12:50 PM Track 2	<p>Social Media and Records Management Panel Discussion Panel discussion moderated by Lindy Naj, IGP, CRM, CIP, MLIS - Taxonomy and Metadata Development, OppenheimerFunds</p>
1:40 PM Track 1	<p>Countdown to GDPR Compliance: Preparing for Privacy by Design and Data Management Accountability Silke Sancaktar, Legal Counsel, Transactions, Newmont Mining</p> <p>Navigating the uncertain path of General Data Privacy Regulation (GDPR) compliance is an intimidating process. This session will help illuminate the scope of the GDPR as well as detail some of its nuances and pitfalls in the context of privacy engineering, records retention, data collection and processing, and cross-border transfers.</p>
1:40 PM Track 2	<p>Smart Technology: Government & Business Meet IG, RIM, and Privacy Susan Goodman, FAI, IGP, CRM, CIP, CIPP, MLS, CEO, Infoflo Consulting LLC; Director, ARMA International</p> <p>Entities in all sectors (public, private and non-profit) are developing creative ways harness new, "smart" technologies to better serve their customers, clients and constituencies, decrease cost and increase profit and competitive advantage, as applicable. Amazing feats, for example, have been accomplished by several "Smart Cities." Often, these projects include private-public sector agreements that impact the collection, use and disposition of data. This session will present several exciting use cases, some of the critical IG, Privacy, RIM issues that arise and tips for solving them.</p>
2:30 PM Track 1	<p>Position Yourself as A Business Enabler Jesse Wilkins, Manager, CIP, CIPP/US, CEDS, CRM, IGP, EDP, Director, Professional Development, AIIM International</p> <p>Information is the currency of the modern organization, but who's managing it? In this session we'll explore the skills and knowledge needed to manage information effectively today - and tomorrow. We'll look at how to gain those skills and knowledge and review a number of different sources and options available. Finally, we'll explore the idea of a personal professional development plan and how information professionals can use these to become a business enabler within their organization.</p>
2:30 PM Track 2	<p>RIM Talks Our business partners will present 12 minute presentations on various topics pertinent to the records and information management field.</p>
3:25 PM Track 1	<p>Creating a Culture of Information Management Excellence When the Stakes are High Russ Stalters, CEO, Clear Path Solutions Inc.</p> <p>Everybody talks about change management and how important it is for a successful information governance program. This session will provide practical guidance and steps to create a culture of information management excellence. The speaker will share how to do this in a large enterprise based on experiences while leading information governance initiatives at BP.</p>
3:25 PM Track 2	<p>One-on-One with Speakers and ARMA Fellows ARMA Fellows</p> <p>Sit down with one of the afternoon speakers or an ARMA Fellow for a one-on-one conversation to discuss a RIM topic of your choice.</p>
4:10 PM Endnote	<p>The Power of a Superhero Team Up: Brooding Vigilantes and Plucky Neighborhood Defenders are Better Off on a Good Team Sibito Morley, VP, IT Infrastructure and Operations, DaVita Kidney Care</p> <p>Do you have the right team to achieve your information goals? How do you create the right ensemble cast even when you work with talented but challenging characters? There may not be a secret formula that guarantees a winning team, but there are some things you can do to dramatically improve the odds of success. Learn from one of the country's foremost information lifecycle governance experts who's been on the cutting edge as both a practitioner and consultant.</p>

5:00 PM- Please join us afterwards for a social event with your fellow attendees, sponsored by Total Document Solutions, Inc.
The first drink is complimentary.

ABOUT THE SPEAKERS



Lori Ashley - Industry Market Development Manager, Preservica: Lori joined Preservica in 2017 as Industry Market Development Manager after a successful career as an independent records management and digital preservation consultant. She is responsible for identifying cross-industry and sector requirements and developing compelling use cases to advance digital preservation capabilities and solutions. Lori is a frequent speaker at industry conferences, and co-developer of the Digital Preservation Capability Maturity Model (DPCMM) and self-



Patrick Cunningham, CISM, FAI - Senior Manager, IT Policy Governance, Abbvie, Inc., ARMA Fellow: With over 30 years of experience combining records management, information governance, and information security, Pat joined AbbVie, Inc. as Senior Manager, IT Policy Governance in 2017. His role includes responsibility for IT policies, policy mitigation, IT SOx controls, and IT data privacy. Pat previously was at Motorola Solutions, Inc. for nine years as Senior Director, Information Governance, Risk and Compliance. He has prior experience with employers in the non-profit, government, consulting and financial services sectors, and has a Master of Arts degree in Public History from Loyola University of Chicago. Pat has held ARMA leadership roles at the local and International levels.



Susan Goodman, FAI, IGP, CRM, CIP, CIPP, MLS - CEO, Inflo Consulting LLC; Director, ARMA International: Susan is an experienced information strategist and assists companies and clients develop and implement procedures, methods and plans to better utilize their information. She has over 35 years of experience in the fields of Information Governance (IG) and Records and Information Management (RIM).



Randolph Kahn, Esq. - President, Kahn Consulting: Randolph is a recognized authority on the legal, risk, governance, and compliance issues of information. Mr. Kahn leads a team of consultants who advise business, legal, information management and technology professionals in corporate and governmental institutions across the globe. Mr. Kahn is a professor, award-winning author and acclaimed speaker who has spoken hundreds of times across the world to audiences of a few dozen to tens of thousands.



Sibito Morley - VP, IT Infrastructure and Operations, DaVita Kidney Care: Sylvan "Sibito" is the Vice President of IT Operations and Infrastructure at DaVita Kidney Care and is responsible for improving reliability and performance while also reducing the costs and risks that come from running enterprise IT for the DaVita village. He is the architect and key stakeholder in the village information lifecycle governance initiative, working tightly with his counterparts in legal, privacy, and security. Prior to joining DaVita, Sibito was the Worldwide Director of Information Lifecycle Governance at IBM where he led a global team focused on creating the vision, strategy, and processes necessary to enable their customers' Information Lifecycle Governance programs around the globe.



Lindy Naj, IGP, CRM, CIP, MLIS - Taxonomy and Metadata Development, OppenheimerFunds: Lindy has wide-ranging experience in corporate IT and Information Governance including records and information management and eDiscovery support in financial services, energy, manufacturing, and higher education. Her approach is client-centric and her watchword is "pragmatic." Lindy's areas of expertise also include RIM strategy and roadmap design, business analysis, information architecture, and taxonomy development.



Andrew SanAgustin, CRA -Corporate Records Program Manager, Microsoft CELA: Andrew has been an Information Governance professional for over 25 years. His experience spans various industries with expertise in back-end system development and front-end user interaction, global policy, compliance, solution delivery, process / operations, standardization, change management, and electronic data management. He is the Corporate Records Program Manager at Microsoft Corporate and External Legal Affairs, Office of the General Counsel where he is working to implement global RIM strategies in line with professional business partners, and all up retention management through Office 365



Silke Sancaktar, Legal Counsel, Transactions, Newmont Mining: Silke is Legal Counsel with Newmont Mining Corporation. With a background in corporate securities, energy law, and business transactional law prior to moving in-house, Silke now focuses her attention on matters of privacy law, transactional negotiations, and global import and export matters.



Russell Stalters - CEO, Clear Path Solutions Inc.: Russell has established a reputation as an information governance subject matter expert with real-world experience designing and implementing one of the most critical information and data management programs. A retired Naval Aviator, former software company President, and executive leader while at BP, he was hand-selected to lead the strategy and build the team to manage all the data from the BP Deepwater Horizon Oil Spill crisis. A regular industry speaker, noted author, and thought leader, he presents at national and international conferences. In 2014, he was inducted into the AIIM Company of Fellows.



Jesse Wilkins, CIP, CIPP/US, CEDS, CRM, IGP, EDP - Director, Professional Development, AIIM International: Jesse is the Director, Research & Development for AIIM International. He has worked in the information management industry for more than 20 years as an end user, vendor, consultant, and trainer. His areas of expertise include enterprise content management, electronic records management, email management, document imaging, and social media technologies and governance processes. Jesse is an internationally recognized speaker and author on information management topics and has presented hundreds of keynotes and educational sessions at AIIM, ARMA, MER, and other industry conferences and events since 2002, and an accredited instructor for every course AIIM offers.

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