CTAS: Funding Opportunities for Healing to Wellness Courts

August 30, 2018

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Tribal Law and Policy Institute
OUTLINE

• Other DOJ Wellness Court Funding Opportunities
• CTAS Overview
Other Wellness Court Grant Opportunities
BJA ADULT DRUG COURT RFP

- Implementation
- Enhancement

To develop drug courts that effectively integrate
  - evidenced-based substance abuse treatment,
  - mandatory drug testing,
  - sanctions and incentives, and
  - transitional services in a judicially supervised court setting with jurisdiction over substance-abusing offenders

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BJA ADULT DRUG COURT: Length and Duration

• **Implementation grant** up to **$500,000** for **48 months**

• **Enhancement grant** up to **$500,000** for **48 months** – operational at least one year and are planning to:
  1. scale up the drug court capacity
  2. enhance court operations, including training, evaluations, and data management
  3. expand or enhance court services, including drug testing, case management, and community supervision
  4. improve services, including treatment, job training, housing, child care, etc.
BJA ADULT DRUG COURT: Tribal Awards

- FY 2012: 1 Tribe
- FY 2013: 3 Tribes
- FY 2014: 1 Tribe
- FY 2015: 6 Tribes
- FY 2016: 2 Tribes
- FY 2017: 1 Tribe
SAMHSA AND OJJDP

- SAMHSA Grants to Expand Substance Abuse Treatment Capacity in Family Treatment Courts

- OJJDP Drug Treatment Courts Program
  - Juvenile Drug Treatment Court
  - Family Drug Court Enhancement
  - Family Drug Court Implementation
BJA Comprehensive Opioid Abuse Site-Based Program

- Diversion and alternatives to incarceration programs that expand outreach, treatment, and recovery efforts to individuals impacted by the opioid epidemic who come into contact with justice system.
- Category 1: First Responder Partnerships
- Category 3: System-Level Diversion Projects
- Category 6: Public Safety, Behavioral Health, and Public Health Information-Sharing Partnerships
COORDINATED TRIBAL ASSISTANCE SOLICITATION
CTAS

• Launched in FY 2010

• Federally-recognized tribes submit a single application for most of DOJ’s Tribal grant programs.

• [www.justice.gov/tribal](http://www.justice.gov/tribal)
GRANTS

The Department of Justice launched its Coordinated Tribal Assistance Solicitation (CTAS) in Fiscal Year 2010 in direct response to concerns raised by tribal leaders about the Department’s grant process that did not provide the flexibility tribes needed to address their criminal justice and public safety needs. In Fiscal Year 2017 the department awarded 212 CTAS grants to 125 American Indian tribes, Alaska Native villages, tribal consortia and tribal designees. The grants will provide more than $101 million to enhance law enforcement practices and sustain crime prevention and intervention efforts in nine purpose areas including public safety and community policing; justice systems planning; alcohol and substance abuse; corrections and correctional alternatives; violence against women; juvenile justice; and tribal youth programs. FY 2017 grants included awards to eight tribes who previously had not received CTAS funding.

FY 2018 CTAS Fact Sheet

Through CTAS, federally-recognized tribes and tribal consortia were able – for the first time ever – to submit a single application for most of the Justice Department’s tribal grant programs. The Department of Justice designed this comprehensive approach to save time and resources and allow tribes and the Department to gain a better understanding of the Tribes’ overall public safety needs. The first coordinated Tribal grant process launched in Fiscal Year 2010, through the collaborative efforts across many department components, bureaus and offices including
CTAS

INCLUDED:

• Tribal-Specific grants within the
  • Office of Community Oriented Policing Services (COPS)
  • Bureau of Justice Assistance (BJA)
  • Office on Violence Against Women (OVW)
  • Office for Victims of Crime (OVC)
  • Office of Juvenile Justice and Delinquency Prevention (OJJDP)

NOT INCLUDED:

• OVW Tribal Coalitions
• OVW Tribal Sexual Assault Services Program
• T/TA Programs
• BJA Tribal Civil and Criminal Legal Assistance
• Non-Tribal Government-specific grants
CTAS Pros

- Tribal specific RFP/funding source
- Specific references to Healing to Wellness Courts in Purpose Areas #3, and #8
- Peer reviewers will have tribal court specific knowledge
- Peer reviewers will have some drug court knowledge (Purpose Area #8)
- More flexibility to design Wellness Court in PA #3
- No match requirement
- Healing to Wellness Court objectives can be better incorporated into an overall tribal plan through CTAS
- Most tribes are already planning to submit CTAS proposal
CTAS CONS

• PA # 3 has large scope and lots of competition
• PA #3 is not Wellness Court-specific
• PA #8 is narrow and limited
• Complex CTAS application process with many moving parts requiring substantial intra-tribal coordination
• Limited page and formatting requirements
### FY 2017 CTAS PURPOSE AREAS

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<thead>
<tr>
<th>Number</th>
<th>Topic</th>
<th>Agency(s)</th>
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<tr>
<td>1.</td>
<td>Public Safety and Community Policing (COPS Office Tribal Resources Grant Program—Hiring and Equipment/Technology)</td>
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<td>2.</td>
<td>Comprehensive Tribal Justice System Strategic Planning (BJA, COPS, OJJDP, OVW, and OVC)</td>
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<td>3.</td>
<td>Justice Systems, and Alcohol and Substance Abuse (BJA Tribal Courts Assistance Program and Indian Alcohol and Substance Abuse Prevention Program)</td>
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<td>4.</td>
<td>Corrections and Correctional Alternatives (BJA Tribal Justice Systems Infrastructure Program)</td>
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<td>5.</td>
<td>Violence Against Women Tribal Governments Program (OVW)</td>
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<td>6.</td>
<td>Children’s Justice Act Partnerships for Indian Communities (OVC)</td>
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<td>7.</td>
<td>Comprehensive Tribal Victim Assistance Program (OVC)</td>
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<td>8.</td>
<td>Juvenile Healing to Wellness Courts (OJJDP)</td>
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<tr>
<td>9.</td>
<td>Tribal Youth Program (OJJDP)</td>
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### Wellness Court Purpose Areas

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<tr>
<th>PA 3</th>
<th>PA 8</th>
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<td>$16.8 million estimated</td>
<td>$2.1 million estimated</td>
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<td>20-30 awards</td>
<td>6 awards</td>
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<td>$250,000 - $750,000 each</td>
<td>$350,000 each</td>
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<td>3 years</td>
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FY 2017 CTAS No. of Awards and Applications

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<th>PA 1</th>
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<th>PA 4</th>
<th>PA 5</th>
<th>PA 6</th>
<th>PA 7</th>
<th>PA 8</th>
<th>PA 9</th>
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<tr>
<td>46%</td>
<td>14%</td>
<td>30%</td>
<td>27%</td>
<td>73%</td>
<td>18%</td>
<td>70%</td>
<td>35%</td>
<td>28%</td>
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</table>

- No. of Awards
- No. of Applications
Purpose Area 8

- 2015: $3,747,529.00
- 2016: $3,914,729.00
- 2017: $2,721,029.00
THE APPLICATION
THE APPLICATION

- Application Overview
- Tribal Community and Justice Profile (30%)
- Purpose Area Abstract
- Purpose Area Narratives (50%)
- Project/Program Timelines (5%)
- Budget Workbook (15%)
TRIBAL COMMUNITY AND JUSTICE PROFILE

• 30%; 15 pages
• Readers may not be familiar with community
• Treat the template like a checklist
  • Consider answering each question in order
• Break paragraphs by question prompt
1. Describe the form of Tribal Government
   • Open– consider a historical overview of tribe; context for current programming and services, etc.

2. Describe the Tribal Justice System, including
   1. Prevention or intervention initiatives
   2. Law enforcement, including cross-jurisdictional agreements
   3. Courts
   4. Alternative dispute resolution
   5. Corrections
   6. Services for victims and survivors of crime
   7. Tribal reentry programs
   8. Sex offender registry obligations

   • Where these services are not available within the Tribe, the applicant describes how they are accessed or provided.
TRIBAL COMMUNITY AND JUSTICE PROFILE

3. Describe the significant tribal justice, community safety, juvenile delinquency, and victimization issues facing the tribal nation
   • Detail the issues that you’ll be addressing in your purpose areas
   • If applying for just one purpose area – just identify those issues
   • If applying for multiple purpose areas – address each issue

4. Describe current and future plans to address those issues
   • This is where you summarize your purpose area proposed strategies

5. Other
Strategic Plan? Goals and Objectives?

- No format for how you describe your action items
- Not required to link Purpose Areas or have a Strategic Plan
- Purpose Areas are reviewed separately
- Goals and Objectives provide a solid framework
Goals and Objectives Sample

Goal: Expand the capacity of the Wellness Court to serve an additional fifteen (15) participants per year.

• Objective 1. Hire a case manager
• Objective 2. Conduct drug testing on additional participants

Goal: Enhance the graduation rate of participants by providing additional, culturally-appropriate supports.

• Objective 1. Hire a peer recovery support specialist
• Objective 2. Hire a cultural advisor/provide honorariums to elders who work with participants
• Objective 3. Conduct a trauma-audit on all Wellness Court-serving spaces
5%

Timeline should encompass
- entire federal project period
- indicates objectives and major tasks
- assigns responsibility for each, and
- plots completion of each task (by year and month or quarter)
- Consider the need to report performance measures

Separate timelines for each Purpose Area or one comprehensive Timeline.
SAMPLE TIMELINE

CTAS Sample Timeline
Tribe name:
36-month project/program timeline
Purpose area/s:

<table>
<thead>
<tr>
<th>Months</th>
<th>Purpose area/project goals</th>
<th>Related objectives</th>
<th>Activities</th>
<th>Expected completion date</th>
<th>Person responsible</th>
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</thead>
</table>
OTHER POSSIBLE ATTACHMENTS

- Letters of Support
- Resumes of Key Personnel
- Job Descriptions of Requested Positions
- MOUS with Proposed Partners
PURPOSE AREA NARRATIVES

- Separate narrative for each Purpose Area.

- Template questions:
  - Problem identification and problem solving strategy
  - Project/program design and implementation
  - Capabilities and competencies
  - Impact/outcomes and evaluation/plan for collecting data for performance measures

- Each Purpose Area has a unique template

- 15 pages
PURPOSE AREA 3 – TRIBAL JUSTICE SYSTEMS
To develop, enhance, and continue **tribal justice**

To respond to and prevent alcohol- and substance abuse-related crime, including … **healing to wellness courts**

Projects can focus on tribal justice systems, alcohol or substance abuse, or both

**Purpose**

**Area #3: Justice Systems and Alcohol and Substance Abuse (BJA)**
<table>
<thead>
<tr>
<th>Purpose Area #3</th>
<th>Narrative - Problem Statement</th>
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<tbody>
<tr>
<td>1. Identify one or more of the crime and public safety problems <em>described in the Justice Profile</em></td>
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<tr>
<td>• Describe with as much additional detail, <em>including data</em></td>
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<tr>
<td>2. Describe current or previous efforts</td>
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<tr>
<td>3. Describe <em>any current gaps</em> in services related to the problems</td>
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<tr>
<td>4. Explain how your tribe identified and prioritized the problems</td>
<td></td>
</tr>
</tbody>
</table>
Purpose Area #3 Narrative – Program Design

5. Describe how the proposed program will address the problems.

6. For each problem, identify the specific
   • Goals
   • Objectives
   • Tasks and Activities
Purpose Area #3 Narrative – Capabilities and Competencies

7. Management structure/capacity. Describe existing resources that will help make the project a success.

8. Describe the roles of each identified partner. Identify current initiatives that will be supportive.
Purpose Area #3 Narrative – Data Collection and Evaluations

9. Describe how success will be determined and measured.
   i. What will be measured?
   ii. How will data be collected?
   iii. Who is responsible for collecting the data?
   iv. How is success defined?

10. Describe sustainability plan.

11. Describe need for financial assistance.

12. If funding from another PA necessary?
STRUCTURING THE NARRATIVE

• Consider BJA’s Adult Drug Court RFP for guidance
  • Describe how the court will identify, assess, and prioritize high risk/high need
  • What is the Court’s capacity?
  • Describe phases
  • Describe case management structure
  • Describe supervision structure, including randomized drug testing
  • Describe the frequency of judicial hearings
  • Describe how you will ensure a perception of procedural fairness
  • Describe your evidenced-based treatment and recovery support
  • Describe how the community has been engaged in the planning process
Purpose Area 8 – Juvenile Healing to Wellness Courts
PA 8: Juvenile HTWCs

- Develop a new Juvenile Healing to Wellness Court
- To respond to alcohol and substance use issues (including opioid use)
- Of juveniles and young adults under the age of 21
PA 8: SPECIFIC OBJECTIVES

• Year 1
  • Establish a multi-disciplinary steering committee
  • Conduct a community strengths and needs assessment
  • Obtain partner agency and tribal leadership support
  • Begin drafting Policies and Procedures
  • Hire needed staff
  • Participate in technical assistance and strategic planning
PA 8: SPECIFIC OBJECTIVES

• Year 2
  • Complete Policies and Procedures
  • Develop other written tools
  • Implement strategic plan
  • Develop written data collection protocol
  • Develop data collection system

• Years 3 and 4
  • Refer, screen, and accept youth into Court
  • Collect relevant data
  • Conduct process evaluation
1. Identify alcohol or other drug abuse problems (including opioids)
   • Describe with as much additional detail, including data
2. Describe current or previous efforts
3. Describe any current gaps in services related to the problems
4. Explain how your tribe identified and prioritized the problems
   • Must describe tribe’s current court system and how the tribe currently handles juvenile cases involving substance abuse
Purpose Area #8 Narrative – Program Design (*Same*)

5. Describe how the proposed program will address the problems. For each problem, identify the specific

• Goals
• Objectives
• Tasks and Activities
Purpose Area #8 Narrative – Capabilities and Competencies (*Same*)

6. **Management structure/capacity.** Describe existing resources that will help make the project a success.

7. **Describe the roles of each identified partner.** Identify current initiatives that will be supportive.
8. Describe how success will be determined and measured.
   i. What will be measured?
   ii. How will data be collected?
   iii. Who is responsible for collected the data?
   iv. How is success defined?


10. If funding from another PA necessary?
• 15%

• The Budget Detail Workbook includes the
  • Demographic Form;
  • The Budget Detail Worksheet(s); and
  • The Budget Narrative(s)

• Only one workbook per completed application

• Download fillable version from GMS
# Demographic Form

Please fill out this form in its entirety. Note that each subsection has individual instructions. Please read them carefully before filling out this form. If you are applying as a consortium please aggregate the data for all the Tribes represented in your application.

## 1. Tribe Information

1. The name of each federally-recognized Indian Tribe that will be served by the proposed project(s):

   **Name(s)**: The name(s) of your tribe and represented tribes.

   **BIA Region(s)**: Your BIA Region(s)

2. What is the Tribe's current enrollment?

3. Of your current enrollment, what is the Tribe's total number of unemployed 18 years and over?

4. Of your current enrollment, what is the Tribe's total number of under-employed (below the poverty line) 18 years and over?

5. Of your current enrollment, what is the Tribe's total number of employed 18 years and over?

6. What is the current local population base?

7. Please enter the approximate square mileage of the reservation/jurisdiction to be served: (sq. miles)

8. Please check the crime victim population area(s) that best describe the services the Tribe typically supports.

   - [ ] Abused or neglected children
   - [ ] Stalking
   - [ ] Domestic Violence
   - [ ] Survivors of homicide
   - [ ] Elder Abuse
   - [ ] Survivors of sexual assault and/or sexual abuse

## Data Entry Fields

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<th>Field</th>
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<td>Name(s)</td>
<td>The name(s) of your tribe and represented tribes.</td>
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<td>BIA Region(s)</td>
<td>Your BIA Region(s)</td>
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<td>Enrollment</td>
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<td>Unemployed 18+</td>
<td>Of your current enrollment, the total number unemployed 18 years and over.</td>
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<tr>
<td>Under-employed 18+</td>
<td>Of your current enrollment, the total number under-employed (below the poverty line) 18 years and over.</td>
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<td>Population Base</td>
<td>The current local population base.</td>
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<td>Square Mileage</td>
<td>The approximate square mileage of the reservation/jurisdiction to be served.</td>
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<td>Crime Victim Population Area(s)</td>
<td>The areas that best describe the services the Tribe typically supports.</td>
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# Budget Summary

**Note:** Any errors detected on this page should be fixed on the Purpose Area specific tab.

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COMMON MISTAKES

- Not responding to all of the requested information
- Failed to draw connection between problems in the “tribal community and justice profile” and programmatic activities
- Not providing the requested information in relevant section where peer reviewers could easily locate it
- Failed to draw the connection between the proposed budget and the programmatic activities
- Inconsistencies within proposal – different writers by section
- Did not provide specific measurable targets for performance measures
TIPS

1. Read the Solicitation and FAQs.
2. Check all of the resources available through the CTAS website.
3. Use scoring criteria as a general guide for how many pages you devote to each section.
4. Don’t wait until the deadline to apply!
5. Follow all format directions and use all of the allotted pages.
6. Go back and review the “tribal community and justice profile” after completing the purpose area narratives.
7. Go back and review the budget after completing the purpose area narratives.
8. Focus on attachments (tribal resolutions, letters of supports, etc.)
9. PDF everything!
IF UNSUCCESSFUL

- Always ask Agency to provide comments
- Ask Agency what other services can be provided such as:
  - Training and Technical Assistance (T/TA)
  - Capacity Building
  - Scholarships for Conferences/Trainings
- Ask Agency for copies of successful applications
- Serve as peer reviewer
TRIBAL LAW AND POLICY INSTITUTE
20 years of serving American Indian/Alaska Native communities

A Native American operated non-profit:
Dedicated to providing free publication resources, comprehensive training, and technical assistance for Native nations and tribal justice systems in pursuit of our vision to empower Native communities to create and control their own institutions for the benefit of all community members, now, and for future generations.

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