# **EXHIBIT A**

Redacted Version of Document Proposed to be Filed Under Seal Pursuant to Fed. R. Civ. P. 26(a)(3)(A), Local Rule 16–5, this Court's August 1, 2019 Order Advancing Trial and Pretrial Conference (Dkt. 220), and the Court's standing Order Regarding Settlement Procedures, Pretrial Conference and Trial, Plaintiff and Counter-Defendant ChromaDex, Inc. ("ChromaDex"), and Elysium Health, Inc. ("Elysium") and Mark Morris (collectively "Defendants") hereby submit their respective trial witness lists jointly.

The parties present the following list of trial witnesses and time estimates for their respective direct cases, to the best of the parties' knowledge at this time. In preparing this document, the parties have contemplated a nine or ten day trial that includes opening statements and witness testimony (divided evenly between the parties), as well as a bench trial to the Court on Elysium's counterclaims for patent misuse and unjust enrichment, as well as the parties' equitable defenses.

## CHROMADEX'S WITNESSES<sup>1</sup>

ChromaDex reserves the right to identify additional witnesses, withdraw any witness, modify the order in which its witnesses will appear, and to modify the summary of testimony or summary time for examination of each witness, based on the issues presented at trial and subsequent rulings of the Court. Due to the early stage of the case, including the currently outstanding motions, and because ChromaDex is still evaluating the availability of witnesses for trial testimony, ChromaDex has listed its witnesses in alphabetical order.

# I. WITNESSES CHROMADEX EXPECTS TO CALL AT TRIAL<sup>2</sup>

ChromaDex expects to call one or more of the witnesses below to testify at trial.

COOLEY LLP ATTORNEYS AT LAW SAN DIEGO

<sup>&</sup>lt;sup>1</sup> Pursuant to Local Rule 16-5, an asterisk is "placed next to the names of those witnesses whom the party may call only if the need arises."

<sup>&</sup>lt;sup>2</sup> Elysium objects to ChromaDex calling as witnesses at trial Jeremy Harrington, James Lee, and Dr. Elizabeth Roth-Johnson. ChromaDex identified none of these persons in its initial disclosures or supplements thereto as persons it believes have relevant knowledge, and thus prevented Elysium from taking discovery into the potential subjects of their trial testimony. ChromaDex's failure to timely identify these witnesses is neither substantially justified nor harmless, and their testimony should be excluded from trial. *See, e.g., Ginger Root Office Associates, LLC v. Advanced Products & Packaging Co.*, No. CV 07–05568 MMM (PJWx), 2009 WL 10673918, at \*4 (C.D.

1 ChromaDex also reserves the right to call additional witnesses, if necessary, to rebut 2 any unanticipated exhibit or testimony offered by Defendants. 3 **Daniel Alminana** Α. c/o Baker & Hostetler LLP, 45 Rockefeller Plaza, New York, N.Y. 10111 4 5 (212) 589-4200 6 Summary of Testimony 7 Mr. Alminana is Elysium's Chief Operating Officer. Mr. Alminana is expected 8 to testify about Elysium's founding, the company's relationship with ChromaDex, its 9 recruitment and hiring of Mr. Morris, its development of strategies with Mr. Morris to 10 destroy or otherwise harm ChromaDex, its nonpayment for large ingredient orders it placed with ChromaDex on June 30, 2016 ("the June 30 Orders"), and its efforts to 11 misappropriate ChromaDex trade secrets and confidential information to harm 12 13 ChromaDex and advance Elysium. 14 Summary Time For Examination: On direct: 0.75-1 hours 15 16 On cross: 0.75 hours 17 On re-direct: 0.25 hours 18 On re-cross: 0.25 hours 19

#### В. Will Black

c/o Cooley LLP, 4401 Eastgate Mall, San Diego, CA 92121

(858) 550-6000

**Brief Summary of Testimony** 

Mr. Black is the former Vice President of Sales and Marketing at ChromaDex.

Cal. Feb. 18, 2009).

[ChromaDex's Response]: ChromaDex believes that it is procedurally improper for Elysium to request exclusionary sanctions in a footnote to the Joint Trial Witness List. Aside from depriving ChromaDex the opportunity to fully respond, the timing of Elysium's "objection"—September 10, 2019, one day before the filing of this Joint Witness List—prejudices ChromaDex. This is especially true where the parties exchanged proposed trial witness lists two-and-half weeks ago, on August 23, 2019. The Court should not entertain Elysium's belated footnote objection.

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	Mr. Black is expected to testify regarding the June 30 Orders, ChromaDex's compliance			
	with its contractual obligations to Elysium, ChromaDex's trade secrets and confidential			
	information, and certain facts regarding Elysium's allegations regarding ChromaDex's			
	trademarks and exclusivity under the terms of the parties' supply agreement.			
	Summary Time For Examination:			
	On direct: 0.5-0.75 hours			
	On cross: 0.75 hours			
	On re-direct: 0.25 hours			
	On re-cross: 0.25 hours			
	C. Dr. Amy Boileau*			
	c/o Cooley LLP, 4401 Eastgate Mall, San Diego, CA 92121			
	(858) 550-6000			
	Brief Summary of Testimony			
	Dr. Boileau is the former Director of Scientific Affairs at ChromaDex. If			
	necessary, Dr. Boileau is expected to testify regarding the testing that ChromaDex			
	conducted in 2017 on its NR for acetamide and its continuing efforts to ensure that its			
	products are safe for human consumption.			
	Summary Time For Examination:			
	On direct: 0.25-0.5 hours			
	On cross: 0.5 hours			
	On re-direct: 0.25 hours			
	On re-cross: 0.25 hours			
	D. Elysium's Custodian of Records			
	c/o Baker & Hostetler LLP, 45 Rockefeller Plaza, New York, N.Y. 10111			
	(212) 589-4200			
	Brief Summary of Testimony			
	Elysium has not yet identified its custodian of records. Through his or her			
	testimony, ChromaDex expects Elysium's custodian of records to authenticate			

1 Elysium's business records and to testify to Elysium's maintenance of such records. 2 Summary Time For Examination: On direct: 0.25-0.5 hours 3 On cross: 0.25 hours 4 On re-direct: 0.25 hours 5 6 On re-cross: 0.1 hours 7 E. **Aron Erickson** c/o ChromaDex, 10900 Wilshire Blvd., Suite 650, Los Angeles, CA 90024 8 (310) 388-6706 9 10 **Brief Summary of Testimony** Mr. Erickson is the Director of Technology at ChromaDex. Mr. Erickson is 11 expected to testify regarding ChromaDex's investment into its NR product, NIAGEN®, 12 its research and development efforts into NR, and the patents, trade secrets, and other 13 confidential and proprietary information the company owns or has developed related to 14 15 NR. He may also testify regarding the testing that ChromaDex conducted in 2017 on 16 its NR for acetamide and its continuing efforts to ensure that its products are safe for 17 human consumption. 18 Summary Time For Examination: 19 On direct: 1-1.25 hours 20 On cross: 1 hour 21 On re-direct: 0.25 hours 22 On re-cross: 0.25 hours 23 F. **Rob Fried** 24 c/o ChromaDex, 10900 Wilshire Blvd., Suite 650, Los Angeles, CA 90024 25 (310) 388-6706 26 **Brief Summary of Testimony** 27 Mr. Fried is the Chief Executive Officer of ChromaDex. Mr. Fried is expected 28 to testify regarding ChromaDex's current business and the harm that Defendants have

c/o Cooley LLP, 4401 Eastgate Mall, San Diego, CA 92121

(858) 550-6000

# **Brief Summary of Testimony**

Mr. Harrington is the former Systems Architect at ChromaDex. Mr. Harrington is expected to testify regarding the information technology policies, practices, and procedures guarding ChromaDex's trade secrets and confidential information.

# Summary Time For Examination:

On direct: 0.25-0.5 hours

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On cross: 0.5 hours 1 2 On re-direct: 0.25 hours 3 On re-cross: 0.25 hours I. Dr. Randal Heeb 4 5 c/o Bates White Economic Consulting, 2001 K Street NW, North Building, Suite 6 500, Washington, DC 20006 7 (202) 747-5968 Brief Summary of Testimony 8 Dr. Heeb is a Partner in the economic consulting firm of Bates While LLC, where 9 he leads the firm's Intellectual Property Practice and co-leads the Antitrust and 10 Competition Practice. Dr. Heeb is expected to testify as a rebuttal witness regarding 11 12 Elysium's patent misuse counterclaim. 13 Summary Time For Examination: 14 On direct: 1-1.5 hours On cross: 1 hour 15 On re-direct: 0.5 hours 16 17 On re-cross: 0.25 hours 18 J. Frank Jaksch c/o ChromaDex, 10900 Wilshire Blvd., Suite 650, Los Angeles, CA 90024 19 20 (310) 388-6706 21 **Brief Summary of Testimony** 22 Mr. Jaksch is the Co-Founder of ChromaDex and the Executive Chairman of the ChromaDex Board. Mr. Jaksch is expected to testify regarding the beginning of 23 24 ChromaDex's relationship with Elysium, the history of events between Elysium and 25 ChromaDex from 2013 through the events at issue in this litigation, and the negotiation 26 and provisions of the contracts between Elysium and ChromaDex. He is also expected

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to testify regarding ChromaDex's employment of Mr. Morris and his duties to the

company as a corporate officer. Mr. Jaksch is also expected to testify regarding

1 ChromaDex's intellectual property and policies and practices related to licensing its 2 trademarks. 3 Summary Time For Examination: On direct: 1-1.25 hours 4 On cross: 1-1.25 hours 5 6 On re-direct: 0.25 hours 7 On re-cross: 0.25 hours 8 K. Dr. Carla Kagel 9 c/o K Prime, Inc., 3621 Westwind Blvd., Santa Rose, CA 95403 10 (707) 527-7574 **Brief Summary of Testimony** 11 12 Dr. Kagel is the President and Chief Executive Officer of K Prime, Inc., a testing laboratory specializing in analysis of air, soil, water, and consumer and nutraceutical 13 products. Dr. Kagel is expected to testify as an expert witness regarding the deficiencies 14 15 in Elysium's acetamide testing method, the testing it conducted using that method in late 2016 and 2017, and the results of that testing. She is also expected to testify about 16 17 ChromaDex's validated testing method and testing results showing that ChromaDex's 18 NIAGEN never contained elevated levels of acetamide as alleged by Elysium. Summary Time For Examination: 19 On direct: 0.25-0.5 hours 20 21 On cross: 0.5 hours 22 On re-direct: 0.25 hours 23 On re-cross: 0.25 hours 24 L. James Lee 25 c/o ChromaDex, 10900 Wilshire Blvd., Suite 650, Los Angeles, CA 90024 26 (310) 388-6706 27 **Brief Summary of Testimony** 28 Mr. Lee is the Controller for ChromaDex. Mr. Lee is expected to testify

1	regarding ChromaDex's financial condition and the financial effect of Defendants'			
2	actions on ChromaDex.			
3	Summary Time For Examination:			
4	On direct: 0.25-0.5 hours			
5	On cross: 0.5 hours			
6	On re-direct: 0.25 hours			
7	On re-cross: 0.25 hours			
8	M. Eric Marcotulli			
9	c/o Baker & Hostetler LLP, 45 Rockefeller Plaza, New York, N.Y. 10111			
10	(212) 589-4200			
11	Summary of Testimony			
12	Mr. Marcotulli is the Chief Executive Officer of Elysium. Mr. Marcotulli is			
13	expected to testify about Elysium's founding, the company's relationship and contracts			
14	with ChromaDex, its recruitment and hiring of Mr. Morris, its development of strategies			
15	with Mr. Morris to destroy or otherwise harm ChromaDex, and its acquisition and use			
16	of ChromaDex trade secrets and confidential information to harm ChromaDex and			
ا 17	advance Elysium.			
18	Summary Time For Examination:			
19	On direct: 2.5-3 hours			
20	On cross: 1-1.5 hours			
21	On re-direct: 0.5 hours			
22	On re-cross: 0.25 hours			
23	N. Matthew Moreno*			
24	c/o Cooley LLP, 4401 Eastgate Mall, San Diego, CA 92121			
25	(858) 550-6000			
26	Summary of Testimony			
27	Mr. Moreno is the former Director of Quality Assurance at ChromaDex. If			
28	necessary, Mr. Moreno is expected to testify regarding ChromaDex's efforts to ensure			

its products are safe for human consumption and the cGMP standards maintained by 1 ChromaDex's NR manufacturer. 2 3 Summary Time For Examination: On direct: 0.25-0.5 hours 4 On cross: 0.25-0.5 hours 5 6 On re-direct: 0.25 hours 7 On re-cross: 0.25 hours 8 0. **Mark Morris** 9 c/o Baker & Hostetler LLP, 45 Rockefeller Plaza, New York, N.Y. 10111 10 (212) 589-4200 **Summary of Testimony** 11 12 Mr. Morris is currently the Vice President, Research and Development at Elysium and was previously employed as a corporate officer by ChromaDex. 13 14 Mr. Morris is expected to testify regarding his duties at ChromaDex, the confidentiality 15 agreements he executed with ChromaDex in February and July 2016, and his disclosure of ChromaDex trade secrets and confidential information to Elysium. Mr. Morris is 16 17 also expected to testify about his efforts and the strategies he created in cooperation 18 with Elysium to destroy or otherwise harm ChromaDex while still employed by the company. Mr. Morris is also expected to testify about his work for Elysium, including 19 20 his and Elysium's use of ChromaDex documents and information to obtain financing 21 for Elysium and develop Elysium's new supply of NR. 22 Summary Time For Examination: 23 On direct: 2.5-3 hours 24 On cross: 1-1.5 hours 25 On re-direct: 0.5 hours 26 On re-cross: 0.25 hours 27 Ρ. **Troy Rhonemus** 

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c/o Cooley LLP, 4401 Eastgate Mall, San Diego, CA 92121

(858) 550-6000

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## **Brief Summary of Testimony**

Mr. Rhonemus is the former Chief Operating Officer at ChromaDex. Mr. Rhonemus is expected to testify regarding Mr. Morris's duties and actions while employed by ChromaDex, ChromaDex's supply chain, and the negotiations and contract provision related to exclusivity for Elysium. Mr. Rhonemus may also testify about ChromaDex's efforts to ensure its products are safe for human consumption and the cGMP standards maintained by ChromaDex's NR manufacturer.

#### Summary Time For Examination:

On direct: 0.75-1 hours

On cross: 0.75 hours

On re-direct: 0.5 hours

On re-cross: 0.25 hours

#### Q. Jenny Robles

c/o ChromaDex, 10900 Wilshire Blvd., Suite 650, Los Angeles, CA 90024 (310) 388-6706

# **Brief Summary of Testimony**

Ms. Robles is the Human Resources Manager at ChromaDex. Ms. Robles is expected to testify regarding the human resource policies, practices, and procedures guarding ChromaDex's trade secrets and confidential information. Ms. Robles is also expected to testify about the confidentiality agreements Mr. Morris executed with ChromaDex in February and July 2016 and his employment at ChromaDex.

# **Summary Time For Examination:**

On direct: 0.5-0.75 hours

On cross: 0.5 hours

On re-direct: 0.25 hours

On re-cross: 0.25 hours

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R. Dr. Elizabeth Roth-Johnson 1 2 c/o ChromaDex, 10900 Wilshire Blvd., Suite 650, Los Angeles, CA 90024 (310) 388-6706 3 4 **Brief Summary of Testimony** 5 Dr. Roth-Johnson is the Scientific Communications Manager at ChromaDex. Dr. 6 Roth-Johnson is expected to testify about NR, ChromaDex's investment in research and 7 development of NR, and the value created by ChromaDex through its investment. Summary Time For Examination: 8 9 On direct: 0.25-0.5 hours 10 On cross: 0.5 hours On re-direct: 0.25 hours 11 On re-cross: 0.25 hours 12 S. 13 Tom Varvaro c/o Cooley LLP, 4401 Eastgate Mall, San Diego, CA 92121 14 15 (858) 550-6000 **Brief Summary of Testimony** 16 17 Mr. Varvaro is the former Chief Financial Officer at ChromaDex. Mr. Varvaro 18 is expected to testify regarding the negotiations and contract provision related to most 19 favored nation pricing for Elysium, the policies, practices, and procedures implemented by ChromaDex to guard its information, and the value of ChromaDex's intellectual 20 21 property, trade secrets, and other confidential information to the company. 22 Summary Time For Examination: 23 On direct: 0.75-1 hours 24 On cross: 0.5-0.75 hours 25 On re-direct: 0.25 hours 26 On re-cross: 0.25 hours 27 28

#### WITNESSES CHROMADEX'S INTENDS TO CALL BY DEPOSITION II. 1 **TESTIMONY** 2 ChromaDex expects to offer deposition testimony from one or more of the 3 witnesses below at trial. ChromaDex also reserves the right to offer testimony from 4 additional witnesses, if necessary, to rebut any unanticipated exhibit or testimony 5 offered by Defendants. 6 Α. Dr. Ryan Dellinger 7 c/o Baker & Hostetler LLP, 45 Rockefeller Plaza, New York, N.Y. 10111 8 (212) 589-4200 9 Summary of Testimony 10 Dr. Dellinger is the Director of Scientific Affairs at Elysium and was previously 11 the Director of Scientific Affairs at ChromaDex. ChromaDex intends to offer Dr. 12 Dellinger's testimony regarding the maintenance, disclosure, and use of certain 13 ChromaDex confidential information. 14 Summary Time For Examination: 15 On direct: 0.2 hours 16 On cross: 0.2 hours 17 On re-direct: 0 hours 18 On re-cross: 0 hours 19 В. 20 21 22 23 Summary of Testimony 24 is the Director of Business Development at 25 ChromaDex intends to offer testimony regarding 26 relationship with Elysium and Elysium's development of its second supply chain for 27 NR following its relationship with its first alternative manufacturer for NR. 28

1	Summary Time For Examination:				
2	On direct: 0.2 hours				
3	On cross: 0.2 hours				
4	On re-direct: 0 hours				
5	On re-cross: 0 hours				
6	C. Dr. Leonard Guarente				
7	c/o Baker & Hostetler LLP, 45 Rockefeller Plaza, New York, N.Y. 10111				
8	(212) 589-4200				
9	Brief Summary of Testimony				
10	Dr. Guarente is a Co-Founder of Elysium and the company's Chief Scientist.				
11	ChromaDex intends to offer Dr. Guarente's testimony regarding his founding role at				
12	Elysium, the company's consideration of which ingredients to include in Elysium's				
13	consumer product, Basis, and Elysium's disclosure and use of certain ChromaDex				
14	confidential and proprietary information.				
15	Summary Time For Examination:				
16	On direct: 0.2 hours				
17	On cross: 0.2 hours				
18	On re-direct: 0 hours				
19	On re-cross: 0 hours				
20	D. Daniel Magida				
21	c/o Baker & Hostetler LLP, 45 Rockefeller Plaza, New York, N.Y. 10111				
22	(212) 589-4200				
23	Brief Summary of Testimony				
24	Mr. Magida is Elysium's former Supply Chain Manager. ChromaDex intends to				
25	offer Mr. Magida's testimony regarding Elysium's supply chain for NR, its				
26	development of alternative sources for NR, and Elysium's disclosure and use of certain				
7	ChromaDex confidential and trade secret information				

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Case 8:16-cv-02277-CJC-DFM Document 359-1 Filed 09/12/19 Page 18 of 38 Page ID

On cross: 0.2 hours

On re-direct: 0 hours

On re-cross: 0 hours

#### I. Dr. Thomas Wilhelm

c/o Baker & Hostetler LLP, 45 Rockefeller Plaza, New York, N.Y. 10111

(212) 589-4200

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#### **Brief Summary of Testimony**

Dr. Wilhelm is Elysium's General Counsel and testified as Elysium's Rule 30(b)(6) company witness. ChromaDex intends to offer Dr. Wilhelm's testimony regarding Elysium's financial condition and investors, the company's preservation obligations, and certain facts regarding Elysium's interpretation of the contract terms at issue and its relationship with ChromaDex from 2013 to the present.

#### Summary Time For Examination:

On direct: 0.4 hours

On cross: 0.4 hours

On re-direct: 0 hours

On re-cross: 0 hours

# <u>DEFENDANTS' WITNESSES</u><sup>4</sup>

Defendants reserve the right to identify additional witnesses, withdraw any witness, modify the order in which their witnesses will appear, and to modify the summary of testimony or summary time for examination of each witness, based on the issues presented at trial and subsequent rulings of the Court. Due to stage of the litigation, including the currently outstanding motions, and because Defendants are still evaluating the availability of witnesses for trial testimony, they have listed their witnesses in alphabetical order. Additionally, to assist the Court, Defendants have provided below separate witness lists and time estimates in connection with the jury and

<sup>&</sup>lt;sup>4</sup> Pursuant to Local Rule 16-5, an asterisk is "placed next to the names of those witnesses whom the party may call only if the need arises."

non-jury phases of trial.<sup>5</sup>

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#### I. WITNESSES DEFENDANTS EXPECT TO CALL AT JURY TRIAL

Elysium expects to call one or more of the witnesses below to testify at trial, and reserves the right to call additional witnesses, if necessary, to rebut any unanticipated exhibit or testimony offered by ChromaDex.

#### A. Daniel Alminana

c/o Baker & Hostetler LLP, 45 Rockefeller Plaza, New York, N.Y. 10111 (212) 589-4200

#### **Brief Summary of Testimony**

Mr. Alminana is Elysium's Chief Operating Officer. He is expected to testify about certain aspects of the contract negotiations between Elysium and ChromaDex, including misrepresentations made by ChromaDex during those negotiations; ChromaDex's interest in acquiring Elysium; the relationship between Elysium and ChromaDex, including ChromaDex's breaches of the parties' NR Supply Agreement; Elysium's efforts to maintain a supply of NR and its efforts to develop an alternate source of NR once the relationship with ChromaDex soured; the information ChromaDex provided Elysium during the course of the parties' relationship; Elysium's hiring of Mark Morris and Ryan Dellinger; and the harm caused Elysium by ChromaDex's conduct.

# **Summary Time For Examination:**

On direct: 1.5-2 hours

On cross: 1-1.5 hours

On re-direct: .5 hours

On re-cross: 0.5 hours

#### B. Will Black

COOLEY LLP ATTORNEYS AT LAW SAN DIEGO

<sup>&</sup>lt;sup>5</sup> ChromaDex received Defendants' portion of the Joint Witness List at 7:32 PM on September 11, 2019. ChromaDex reserves the right to modify the times it estimates for cross examination in both proposed phases of the case because it is still considering Defendants' proposed witnesses for each phase.

1 c/o Cooley LLP, 4401 Eastgate Mall, San Diego, CA 92121 2 (858) 550-6000 **Brief Summary of Testimony** 3 4 Mr. Black is the former Vice President of Sales and Marketing at ChromaDex. 5 He is expected to testify about information ChromaDex provided to Elysium concerning 6 its other customers and its own business; ChromaDex's breaches of the NR Supply 7 Agreement; and information ChromaDex provided to customers other than Elysium 8 about its business. 9 Summary Time For Examination: 10 On direct: 0.5-0.75 hours On cross: 0.25-0.5 hours 11 On re-direct: 0.25 hours 12 13 On re-cross: 0.1 hours 14 C. **Stephen Block** 15 c/o Cooley LLP, 4401 Eastgate Mall, San Diego, CA 92121 16 (858) 550-6000 17 Summary of Testimony 18 Mr. Block is a member of ChromaDex's Board of Directors. He is expected to 19 testify about ChromaDex's decision to enter the direct-to-consumer ("DTC") NR 20 supplement market and to seek to eliminate Elysium from that market; ChromaDex's 21 negotiation of the acquisition of Healthspan Research LLC ("Healthspan") from its 22 director Rob Fried in pursuit of that DTC strategy; and discussions between ChromaDex and Elysium regarding their disputes under their contracts. 23 24 Summary Time For Examination: 25 On direct: 0.5-0.75 26 On cross: 0.25-0.5 hours 27 On re-direct: 0.25

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On re-cross: 0.1 hours

Dr. Iain Cockburn 1 D. c/o Foley Hoag LLP, 155 Seaport Blvd, Boston, MA 02210 2 3 617-832-1000 4 **Summary of Testimony** Dr. Cockburn is the Richard C. Shipley Professor in Management and Chair of 5 6 the Strategy and Innovation Department at Boston University's Questrom School of 7 Business, where he conducts research on the economics of innovation, including its 8 application to the pharmaceutical industry, and teaches graduate classes on business strategy, competition, innovation, and intellectual property. Dr. Cockburn is expected 9 to testify about the damages Elysium suffered as a result of ChromaDex's wrongful 10 11 conduct. 12 Summary Time For Examination: 13 On direct: .75 hours 14 On cross: 0.5-0.75 hours 15 On re-direct: 0.25 hours On re-cross: 0.25 hours 16 17 Ε. **ChromaDex's Custodian of Records** 18 c/o ChromaDex, 10900 Wilshire Blvd., Suite 650, Los Angeles, CA 90024 19 (310) 388-6706 20 **Brief Summary of Testimony** 21 ChromaDex has not yet identified its custodian of records. Through his or her 22 testimony, Elysium expects ChromaDex's custodian of records to authenticate 23 ChromaDex's business records and to testify to ChromaDex's maintenance of such 24 records. 25 Summary Time For Examination: 26 On direct: 0.25 - 0.5 hours 27 On cross: 0.25 hours

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On re-direct: 0.25 hours

1 On re-cross: 0.1 hours 2 F. **Rob Fried** 3 c/o Cooley LLP, 4401 Eastgate Mall, San Diego, CA 92121 4 (858) 550-6000 **Brief Summary of Testimony** 5 Mr. Fried is the Chief Executive Officer of ChromaDex. He is expected to testify 6 7 concerning ChromaDex's interest in acquiring Elysium; his decision, while a director 8 of ChromaDex, to found Healthspan to compete with Elysium and ChromaDex's other 9 DTC customers; ChromaDex's decision to become a DTC seller of an NR supplement 10 and to eliminate from the market its DTC NR customers like Elysium; his negotiations 11 to sell Healthspan to ChromaDex in furtherance of that strategy; and ChromaDex's 12 breaches of the NR Supply Agreement, including its sales of NR to Healthspan that 13 contributed to its breaches of the MFN Provision. 14 Summary Time For Examination: On direct: 1-1.25 hours 15 On cross: 0.25-0.5 hours 16 17 On re-direct: 0.5 hours 18 On re-cross: 0.25 hours 19 G. Frank Jaksch 20 c/o Cooley LLP, 4401 Eastgate Mall, San Diego, CA 92121 21 (858) 550-6000 **Brief Summary of Testimony** 22 Mr. Jaksch is ChromaDex's former Chief Executive Officer. He is expected to 23 24 testify to negotiations between ChromaDex and Elysium, including misrepresentations 25 he made during those negotiations; the relationship between ChromaDex and Elysium; 26 ChromaDex's breaches of the NR Supply Agreement and attempts to conceal those 27 breaches; information he provided Elysium about ChromaDex's business and its

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customers; information he provided ChromaDex customers and others about

ChromaDex's business and its customers; and ChromaDex's strategy to enter the DTC 1 2 market as a seller of an NR supplement and to eliminate Elysium from that market. Summary Time For Examination: 3 On direct:1 to 2 hours 4 On cross: 0.25-0.5 hours 5 6 On re-direct: 0.5 to 0.75 hours 7 On re-cross: 0.25 hours 8 H. Eric Marcotulli 9 c/o Baker & Hostetler LLP, 45 Rockefeller Plaza, New York, N.Y. 10111 10 (212) 589-4200 **Brief Summary of Testimony** 11 12 Mr. Marcotulli is Elysium's Chief Executive Officer. He is expected to testify concerning Elysium's founding; certain aspects of the negotiations between 13 ChromaDex and Elysium, including misrepresentations made by ChromaDex during 14 15 those negotiations; information ChromaDex provided Elysium concerning its other customers and its business; the relationship between Elysium and ChromaDex; 16 17 ChromaDex's breaches of the NR Supply Agreement; communications between 18 Elysium and potential investors; and the harm caused Elysium by ChromaDex's 19 conduct. Summary Time For Examination: 20 21 On direct: 1-1.5 hours 22 On cross: 1-1.5 hours 23 On re-direct: 0.5 hours 24 On re-cross: 0.5 hours 25 I. **Mark Morris** 26 c/o Baker & Hostetler LLP, 45 Rockefeller Plaza, New York, N.Y. 10111 27 (212) 589-4200 **Brief Summary of Testimony** 28

1	Mr. Morris is a former ChromaDex employee and current Elysium employee. He				
2	is expected to testify about his responsibilities at ChromaDex, including information he				
3	was expected to share with customers concerning ChromaDex's; ChromaDex's				
4	breaches of the NR Supply Agreement; his interactions with Elysium while at				
5	ChromaDex; his decision to leave ChromaDex and join Elysium; and his				
6	responsibilities at Elysium.				
7	Summary Time For Examination:				
8	On direct: $1 - 1.5$ hours				
9	On cross: 1-1.5 hours				
10	On re-direct: 0.5 to .75 hours				
11	On re-cross: 0.5 hours				
12	J. Ramon Padilla*				
13	c/o Baker & Hostetler LLP, 45 Rockefeller Plaza, New York, N.Y. 10111				
14	(212) 589-4200				
15	Brief Summary of Testimony				
16	Mr. Padilla is Elysium's Vice President, Quality and Compliance. He is expected				
17	to testify concerning the presence of acetamide in the NR ChromaDex sold Elysium.				
18	Summary Time For Examination:				
19	On direct: 0.25-0.5 hours				
20	On cross: 0.25 hours				
21	On re-direct: 0.5 hours				
22	On re-cross: 0.1 hours				
23	K. Troy Rhonemus				
24	c/o Cooley LLP, 4401 Eastgate Mall, San Diego, CA 92121				
25	(858) 550-6000				
26	Brief Summary of Testimony				
27	Troy Rhonemus is the former Chief Operating Officer of ChromaDex. He is				
28	expected to testify regarding certain contract negotiations between Elysium and				

1	ChromaDex; the relationship between ChromaDex and Elysium; the presence of			
2	acetamide in ChromaDex's NR; and ChromaDex's breaches of the NR Supply			
3	Agreement.			
4	Summary Time For Examination:			
5	On direct: 0.5-0.75 hours			
6	On cross: 0.25-0.5 hours			
7	On re-direct: 0.25 hours			
8	On re-cross: 0.25 hours			
9	L. Tom Varvaro			
10	c/o Cooley LLP, 4401 Eastgate Mall, San Diego, CA 92121			
11	(858) 550-6000			
12	Brief Summary of Testimony			
13	Tom Varvaro is the former Chief Financial Officer of ChromaDex. He is			
14	expected to testify regarding the relationship between ChromaDex and Elysium;			
15	information provided by ChromaDex to Elysium about ChromaDex's customers and			
16	business; and ChromaDex's breaches of the NR Supply Agreement.			
17	Summary Time For Examination:			
18	On direct: 0.5-0.75 hours			
19	On cross: 0.25-0.5 hours			
20	On re-direct:0.25 hours			
21	On re-cross: 0.25 hours			
22	II. WITNESSES DEFENDANTS EXPECT TO CALL AT JURY TRIAL BY			
23	DEPOSITION TESTIMONY			
24	A. Dr. Amy Boileau			
25	c/o Cooley LLP, 4401 Eastgate Mall, San Diego, CA 92121			
26	(858) 550-6000			
27	Brief Summary of Testimony			
28	Dr. Boileau is the former Director of Scientific Affairs at ChromaDex. Elysium			

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**Summary of Testimony** 

is the Director of Business Development at

1	("""). Elysium intends to offer testimony regarding Elysium's			
2	development of its second supply chain for NR following its relationship with its first			
3	alternative manufacturer for NR.			
4	Summary Time For Examination:			
5	On direct: 0.25 hours			
6	On cross: 0.1 hours			
7	On re-direct: 0 hours			
8	On re-cross: 0 hours			
9	D. Aron Erickson			
10	c/o ChromaDex, 10900 Wilshire Blvd., Suite 650, Los Angeles, CA 90024			
11	(310) 388-6706			
12	Brief Summary of Testimony			
13	Mr. Erickson is the Director of Technology at ChromaDex. Elysium intends to			
14	offer Mr. Erickson's testimony regarding the testing that ChromaDex conducted or			
15	failed to conduct for acetamide in its NR.			
16	Summary Time For Examination:			
17	On direct: 0.75 hours			
18	On cross: 0.1 hours			
19	On re-direct: 0 hours			
20	On re-cross: 0 hours			
21	E. Matthew Moreno			
22	c/o Cooley LLP, 4401 Eastgate Mall, San Diego, CA 92121			
23	(858) 550-6000			
24	Summary of Testimony			
25	Mr. Moreno is the former Director of Quality Assurance at ChromaDex. Elysiun			
26	intends to introduce Mr. Moreno's testimony about cGMP standards maintained by			
27	ChromaDex's NR manufacturer.			
28	Summary Time For Examination:			

## (PATENT MISUSE/UNJUST ENRICHMENT) TRIAL

#### A. Daniel Alminana

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c/o Baker & Hostetler LLP, 45 Rockefeller Plaza, New York, N.Y. 10111 (212) 589-4200

## **Brief Summary of Testimony**

Mr. Alminana is Elysium's Chief Operating Officer. Mr. Alminana is expected to testify about Elysium's business, its efforts to obtain supply of NR, and negotiations with ChromaDex regarding the supply of NR and use of intellectual property. Mr. Alminana will also testify regarding Elysium and ChromaDex's competitors and business strategy. Mr. Alminana will also testify regarding Elysium's relationship with ChromaDex and the effects of ChromaDex's conduct on Elysium.

## **Summary of Time for Examinations**

On direct: 1-1.25 hours

On cross: 0.25-0.5 hours

On re-direct: 0.25

On re-cross: 0.25 hours

#### B. Will Black\*

c/o Cooley LLP, 4401 Eastgate Mall, San Diego, CA 92121 858-550-6000

# **Brief Summary of Testimony**

Mr. Black is the former Vice President of Sales and Marketing at ChromaDex. Mr. Black is expected to testify regarding ChromaDex's negotiations and agreements with its NR supply customers, ChromaDex's business, marketing, and branding strategy with respect to NR, NIAGEN, and TRU NIAGEN, and ChromaDex's transition to the direct to consumer model. Elysium expects to call Mr. Black as a live witness and by deposition.

# **Summary Time for Examinations**

On direct: 0.5 hours 1 2 On cross: 0.25-0.5 hours 3 On re-direct: 0.25 hours On re-cross: 0.25 hours 4 C. Stephen Block\* 5 6 c/o ChromaDex, 10900 Wilshire Blvd., Suite 650, Los Angeles, CA 90024 7 310-388-6706 **Brief Summary of Testimony** 8 Mr. Block is a director of ChromaDex. Mr. Block is expected to testify 9 regarding ChromaDex's marketing of its TRU NIAGEN product and ChromaDex's 10 11 transition to the direct to consumer business model. Mr. Block may also testify regarding ChromaDex and Elysium's relationship. Elysium may call Mr. Block as a 12 live witness and expects to also present his testimony by deposition. 13 **Summary Time for Examinations** 14 15 On direct: 0.25 hours On cross: 0.25 hours 16 17 On re-direct: 0.25 hours 18 On re-cross: 0.1 hours ChromaDex, Inc.'s Custodian of Records 19 D. 20 c/o ChromaDex, 10900 Wilshire Blvd., Suite 650, Los Angeles, CA 90024 21 310-388-6706 22 **Brief Summary of Testimony** ChromaDex has not yet identified its custodian of records. Through his or her 23 24 testimony, Elysium expects that ChromaDex's custodian of records will authenticate ChromaDex's business documents, internal presentations, marketing, and other 25 26 documents. 27 Summary of Time for Examinations On direct: 0.25-0.5 hours 28

On cross: 0.1 hours 1 2 On re-direct: 0 hours 3 On re-cross: 0 hours 4 E. Dr. Iain Cockburn c/o Foley Hoag LLP, 155 Seaport Blvd, Boston, MA 02210 5 6 617-832-1000 7 Brief Summary of Testimony: 8 Dr. Cockburn is the Richard C. Shipley Professor in Management and Chair of 9 the Strategy and Innovation Department at Boston University's Questrom School of 10 Business, where he conducts research on the economics of innovation, including its application to the pharmaceutical industry, and teaches graduate classes on business 11 12 strategy, competition, innovation, and intellectual property. Dr. Cockburn is expected 13 to testify about the market for the supply of NR, ChromaDex's market power within that market, the effects of ChromaDex's conduct, and whether ChromaDex has purged 14 15 its patent misuse. **Summary Time for Examinations** 16 17 On direct: 2-2.5 hours On cross: 2-2.5 hours 18 19 On re-direct: 0.5 hours 20 On re-cross: 0.5 hours 21 F. **Robert Fried\*** c/o ChromaDex, 10900 Wilshire Blvd., Suite 650, Los Angeles, CA 90024 22 23 310-388-6706 24 **Brief Summary of Testimony** 25 Mr. Fried is the current Chief Executive Officer of ChromaDex. Mr. Fried is 26 expected to testify regarding ChromaDex's business model, business strategy, and the 27 market in which ChromaDex operates. Mr. Fried is also expected to testify regarding

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the creation and acquisition of ChromaDex's TRU NIAGEN product. Elysium may

1 call Mr. Fried as a live witness and expects to also present his testimony by 2 deposition. 3 Summary of Time for Examinations On direct: 0.5 hours 4 On cross: 0.25-0.5 hours 5 6 On re-direct: 0.25 7 On re-cross 0.1 hours G. Frank Jaksch 8 9 c/o ChromaDex, 10900 Wilshire Blvd., Suite 650, Los Angeles, CA 90024 310-388-6706 10 **Brief Summary of Testimony** 11 12 Mr. Jaksch is ChromaDex's former Chief Executive Officer. He is expected to testify regarding Elysium and ChromaDex's relationship and the negotiation of the 13 14 trademark license and royalty agreement between ChromaDex and Elysium. He is also 15 expected to testify regarding ChromaDex's agreements with other NR supply customers. Mr. Jaksch is also expected to testify regarding the market in which 16 17 ChromaDex operates, ChromaDex's competition, ChromaDex's market power, and 18 ChromaDex's business strategy with respect to NR, NIAGEN, and TRU NIAGEN. Mr. Jaksch is also expected to testify regarding ChromaDex's use of the NIAGEN 19 trademark. Elysium expects to call Mr. Jaksch as a live witness and by deposition. 20 21 Summary Time for Examination 22 On direct: 1.5-2 hours 23 On cross: 0.25-0.5 hours 24 On re-direct: 0.5 hours 25 On re-cross: 0.25 hours 26 H. Eric Marcotulli\* c/o Baker & Hostetler LLP, 45 Rockefeller Plaza, New York, N.Y. 10111 27 28 (212) 589-4200

# **Brief Summary of Testimony**

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Mr. Marcotulli is the Chief Executive Officer of Elysium. Mr. Marcotulli is expected to testify regarding Elysium's business, its efforts to obtain supply of NR, and negotiations with ChromaDex regarding the supply of NR and use of intellectual property. Mr. Marcotulli will also testify regarding Elysium and ChromaDex's competitors and business strategy. Mr. Marcotulli will also testify regarding Elysium's relationship with ChromaDex and the effects of ChromaDex's conduct on Elysium.

#### Summary Time for Examination

On direct: 0.5 hours

On cross: 0.5 hours

On re-direct: 0.25

On re-cross: 0.1 hours

#### I. Mark Morris\*

c/o Baker & Hostetler LLP, 45 Rockefeller Plaza, New York, N.Y. 10111 (212) 589-4200

# **Brief Summary of Testimony**

Mr. Morris is the Vice President of Research and Development at Elysium. He was formerly employed by ChromaDex. He is expected to testify regarding ChromaDex's business, ChromaDex's supply of NR, and ChromaDex and Elysium's relationship. Mr. Morris is also expected to testify regarding Elysium's efforts to obtain a supply of NR.

## **Summary Time for Examinations**

On direct: 0.5 hours

On cross: 0.5 hours

On re-direct: 0.25 hours

On re-cross: 0.1 hours

#### J. Troy Rhonemus

c/o Cooley LLP, 4401 Eastgate Mall, San Diego, CA 92121

1 858-550-6000

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## **Brief Summary of Testimony**

Mr. Rhonemus is the former Chief Operation Officer at ChromaDex. Mr. Rhonemus is expected to testify regarding ChromaDex's supply chain, channels of distribution, ChromaDex's agreements to supply NR to customers, and ChromaDex's agreements related to the use of the NIAGEN trademark. Mr. Rhonemus also may testify regarding ChromaDex's branding strategy, transition to a direct to consumer model, and the termination of NR supply agreements. Elysium expects to call Mr. Rhonemus as a live witness and by deposition.

#### Summary of Time for Examination

On direct: 0.5-1 hours

On cross: 0.25-0.5 hours

On re-direct: 0.25 hours

On re-cross: 0.25 hours

#### K. Thomas Varvaro

c/o Cooley LLP, 4401 Eastgate Mall, San Diego, CA 92121

858-550-6000

#### **Brief Summary of Testimony**

Mr. Varvaro is the former Chief Financial Officer of ChromaDex. Mr. Varvaro is expected to testify regarding ChromaDex's agreements with NR supply customers. Mr. Varvaro is also expected to testify regarding ChromaDex's financials, the prices and margins for ChromaDex's supply of NR, and ChromaDex's market power. Elysium expects to call Mr. Varvaro as a live witness and by deposition.

# <u>Summary of Time for Examination</u>

On direct: 0.5-1 hour

On cross: 0.25-0.5 hours

On re-direct: 0.25 hours

On re-cross 0.25 hours

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Cas	se 8:16-cv-02277-CJC-DFM Document 359-1 Filed 09/12/19 Page 37 of 38 Page ID #:22543					
1	On re-direct: 0 hours					
$\begin{bmatrix} 1 \\ 2 \end{bmatrix}$						
$\begin{bmatrix} 2 \\ 3 \end{bmatrix}$	On re-cross: 0 hours					
	C.					
4 5						
6	Brief Summary of Testimony					
7	is the Co-Founder, President, and Chief Executive Officer of					
8	Elysium intends to offer his testimony regarding relationship with Elysium and					
9	its work for Elysium in connection with supply of NR.					
10	Summary Time for Examination					
11	On direct: 0.2 hours					
12	On cross: 0.1 hours					
13	On cross: 0.1 hours On re-direct: 0 hours					
14	On re-cross: 0 hours					
15	on to cross, o nours					
16	Data la Cantanal de 11 2010 COOLEVII D					
17	Dated: September 11, 2019 COOLEY LLP MICHAEL A. ATTANASIO (151529)					
18	BARRETT J. ANDERSON (318539)					
19	CRAIG E. TENBROECK (287848) SOPHIA M. RIOS (305801)					
20	JAYME B. STATEN (317034)					
21	/s/ Michael A. Attanasio					
22	Michael A. Attanasio (151529)					
23						
24	Attorneys for Plaintiff and Counter-Defendant					
25	ChromaDex, Inc.					
26	The filer, Michael A. Attanasio, attests that the					
27	other signatory listed on whose hehalf the					
28	content and has authorized the filing.					

Cas	Case 8:16-cv-02277-CJC-DFM Document 359-1 Filed 09/12/19 Page 38 of 38 Page ID #:22544		
1			
2	Dated:	September 11, 2019	BAKER & HOSTETLER LLP MICHAEL R. MATTHIAS (057728)
3			ELIZABETH M. TRECKLER (282432)
4			JOSEPH N. SACCA (admitted pro hac vice) ESTERINA GIULIANI (admitted pro hac vice)
5			BENJAMIN D. PERGAMENT
6			(admitted pro hac vice) KRISTIN L. KERANEN
7			(admitted pro hac vice)
8			
9			/s/ Joseph N. Sacca
10			/s/ Joseph N. Sacca Joseph N. Sacca (admitted pro hac vice)
11			Attorneys for Defendant and Counterclaimant Elysium Health, Inc. and Defendant Mark Morris
12			Defendant Mark Morris
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