

# **Development of Special and Advanced Laboratory Apparatus and Applications in Testing the Behaviour of Geo-materials**

by

**Jian-Hua Yin 殷建華**

**(Chair Professor of Soil Mechanics)**

**The Department of Civil and Environmental Engineering  
The Hong Kong Polytechnic University, Hong Kong, China**



DEPARTMENT OF  
CIVIL AND ENVIRONMENTAL ENGINEERING  
土木及環境工程學系

# 1. Geotechnical Laboratories

- Soil Mechanics Laboratory  
- JH Yin
- Rock Mechanics Laboratory
- Geology Laboratory

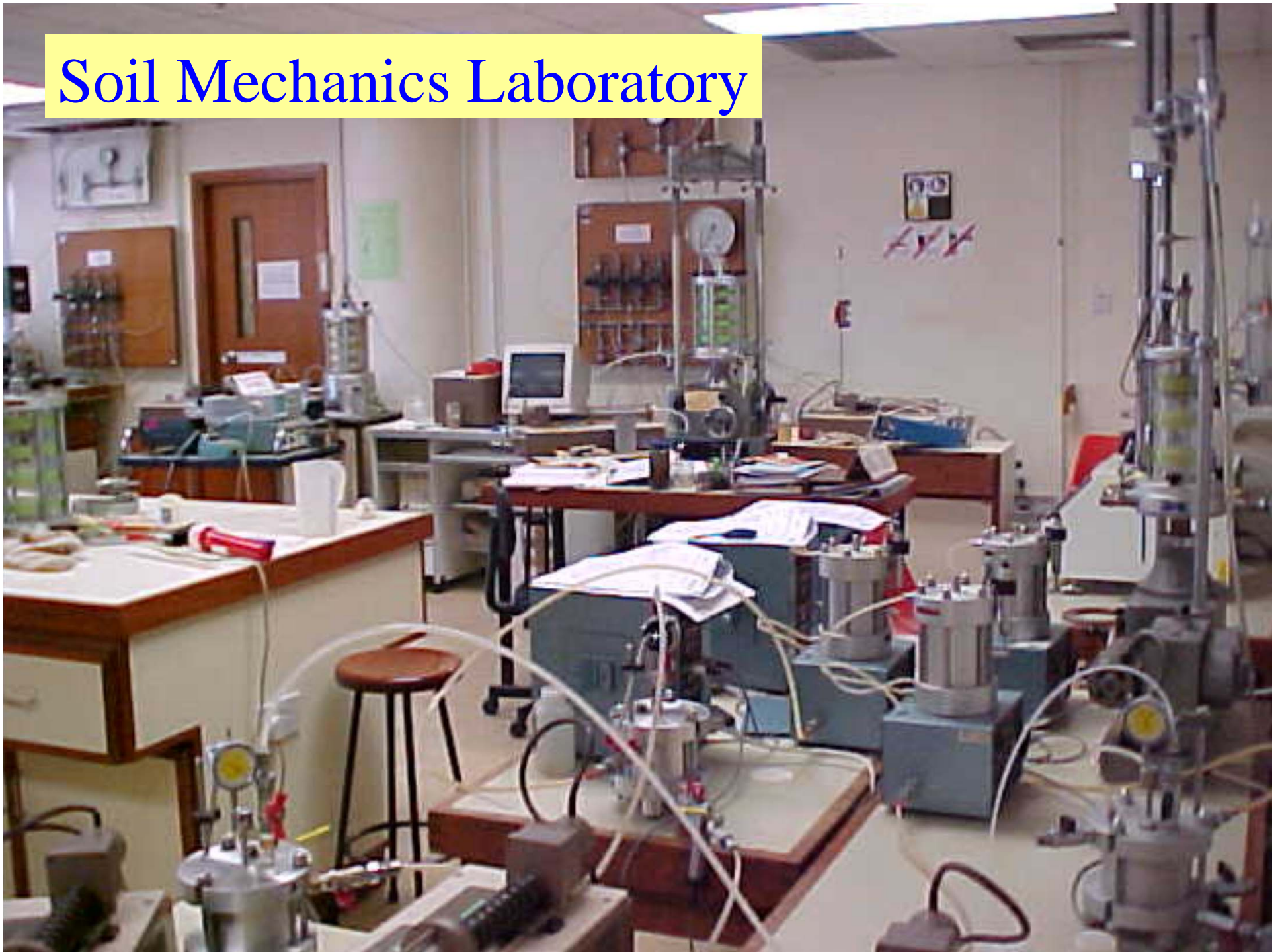
## 2. Rock and Geology Labs

- Rock Mechanics Laboratory
  - (a) Large Size Rock Direct Shear Box
  - (b) Acoustic Emission Apparatus
  - (c) More ...
- Geology Laboratory
  - Geology info/models and samples
  - Best collection of Hong Kong rocks
  - Small-scale physical models

### 3. Soil Mechanics Laboratory

- (a) **GDS 2Hz Dynamic Triaxial Apparatus:** stress-path control and bender elements (added by PolyU) for shear wave velocity measurement
- (b) **A large-size direct shear box with PolyU modification:** 304mm wide x 304mm (or 450mm) long x 204mm high
- (c) **A new double cell triaxial system:** continuous measurement of total volume changes of unsaturated soils in triaxial testing
- (d) **A Hollow Cylinder Apparatus:** control of 4 independent parameters, simulation of pure shearing, the principal stress rotation, ...
- (e) **A Truly Triaxial System with PolyU's new sliding loading plates:** control of 3 principal stresses, study of middle principal influence ...
- (f) **An innovative soil nail pullout box:** for studying the interface shear strength between nail and soils under various controlled conditions
- (g) **A direct shear box for testing both saturated and unsaturated soils and interface with PolyU modification:** 100mm wide x 100mm long x 40mm high
- (h) **Physical models ...**

# Soil Mechanics Laboratory



## Why triaxial tests and cyclic?



GDS 2Hz Dynamic Triaxial Apparatus – stress-path control and **bender elements** for shear wave velocity measurement

$$\tau_f = c' + \sigma'_f \tan \phi'$$

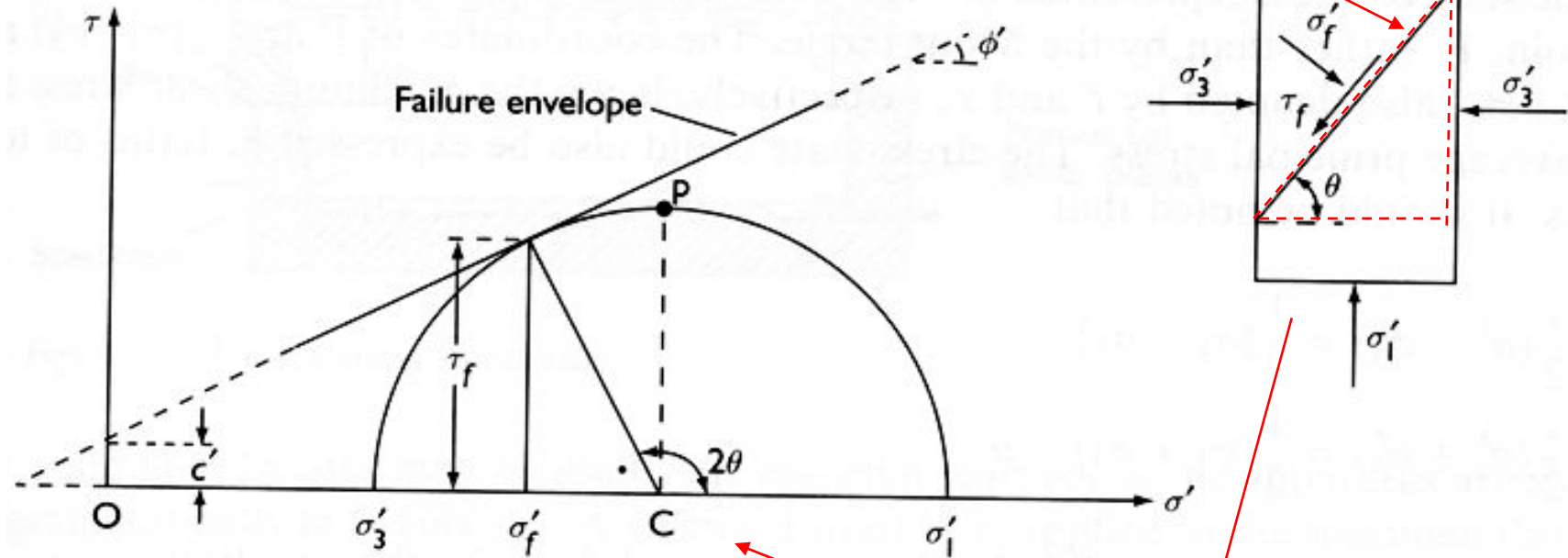


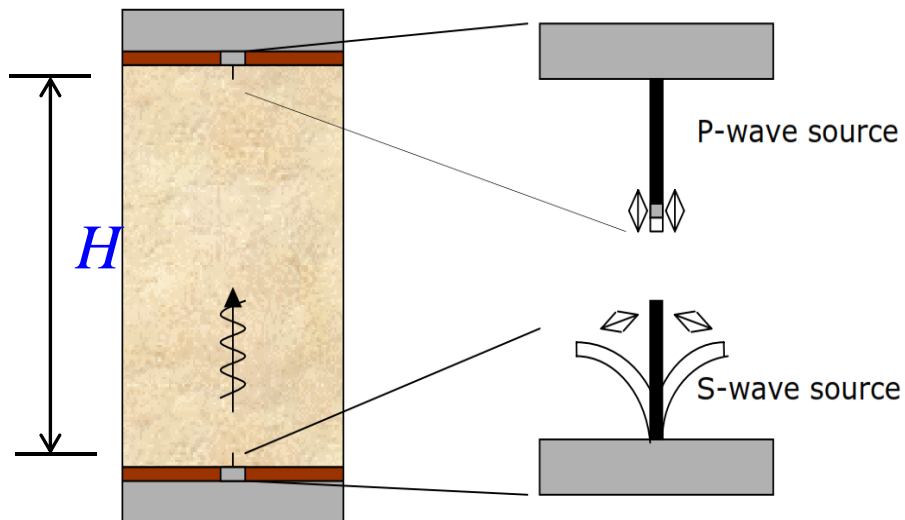
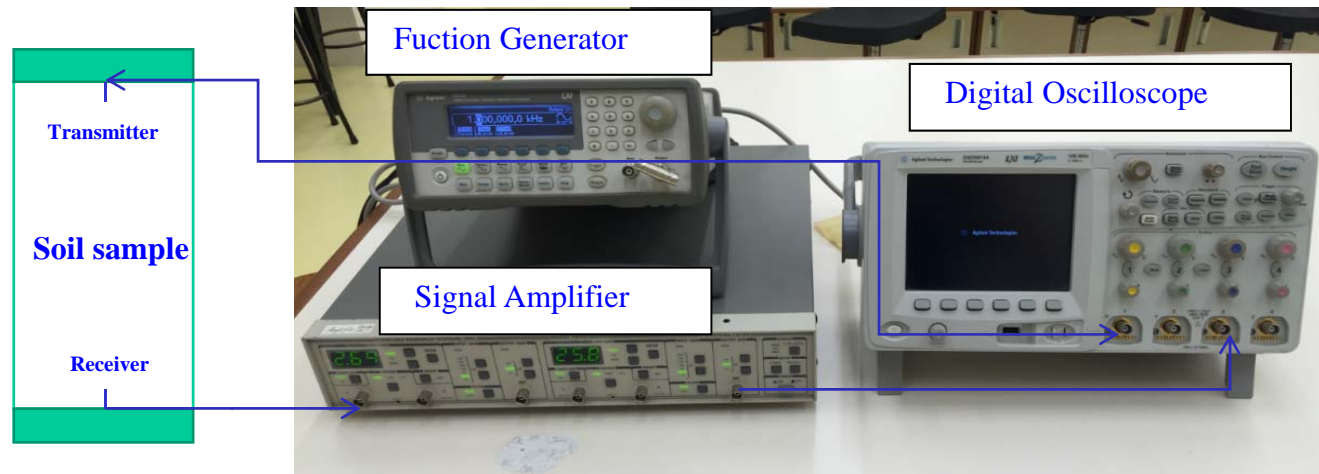
Figure 4.2 Mohr-Coulomb failure criterion.

$$\tau_f = \frac{1}{2}(\sigma'_1 - \sigma'_3) \sin 2\theta; \quad \sigma'_f = \frac{1}{2}(\sigma'_1 + \sigma'_3) + \frac{1}{2}(\sigma'_1 - \sigma'_3) \cos 2\theta$$

$\theta$  is the angle between major principal plane and the failure plane

$$\therefore 2\theta = 90^\circ + \phi'; \quad \therefore \theta = 45^\circ + \frac{\phi'}{2}$$

# Methodologies ◆ Bender element measurement system



$$V_s = \frac{H}{\Delta t_s} \quad V_p = \frac{H}{\Delta t_p}$$

$$\nu = \frac{1}{2} \frac{\left( \frac{V_p}{V_s} \right)^2 - 2}{\left( \frac{V_p}{V_s} \right)^2 - 1}$$

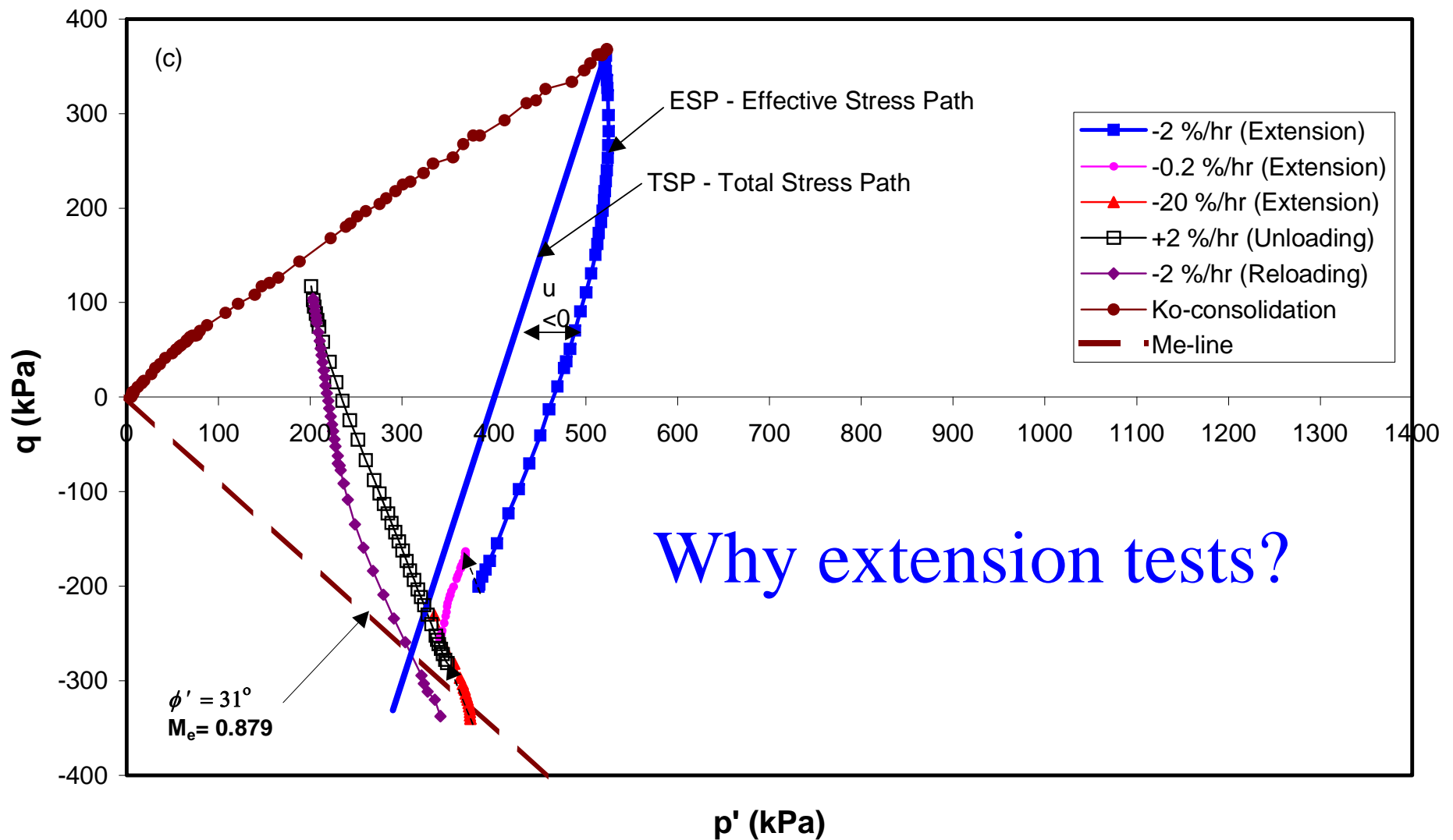
$$G_{\max} = \rho V_s^2 \quad E_{\max} = 2G_{\max} (1 + \nu)$$

Why bender element tests?



Failure of specimen C400 after  $K_0$ -consolidated compression testing and (c) failure of specimen E400 after  $K_0$ -consolidated extension testing

Why extension test?



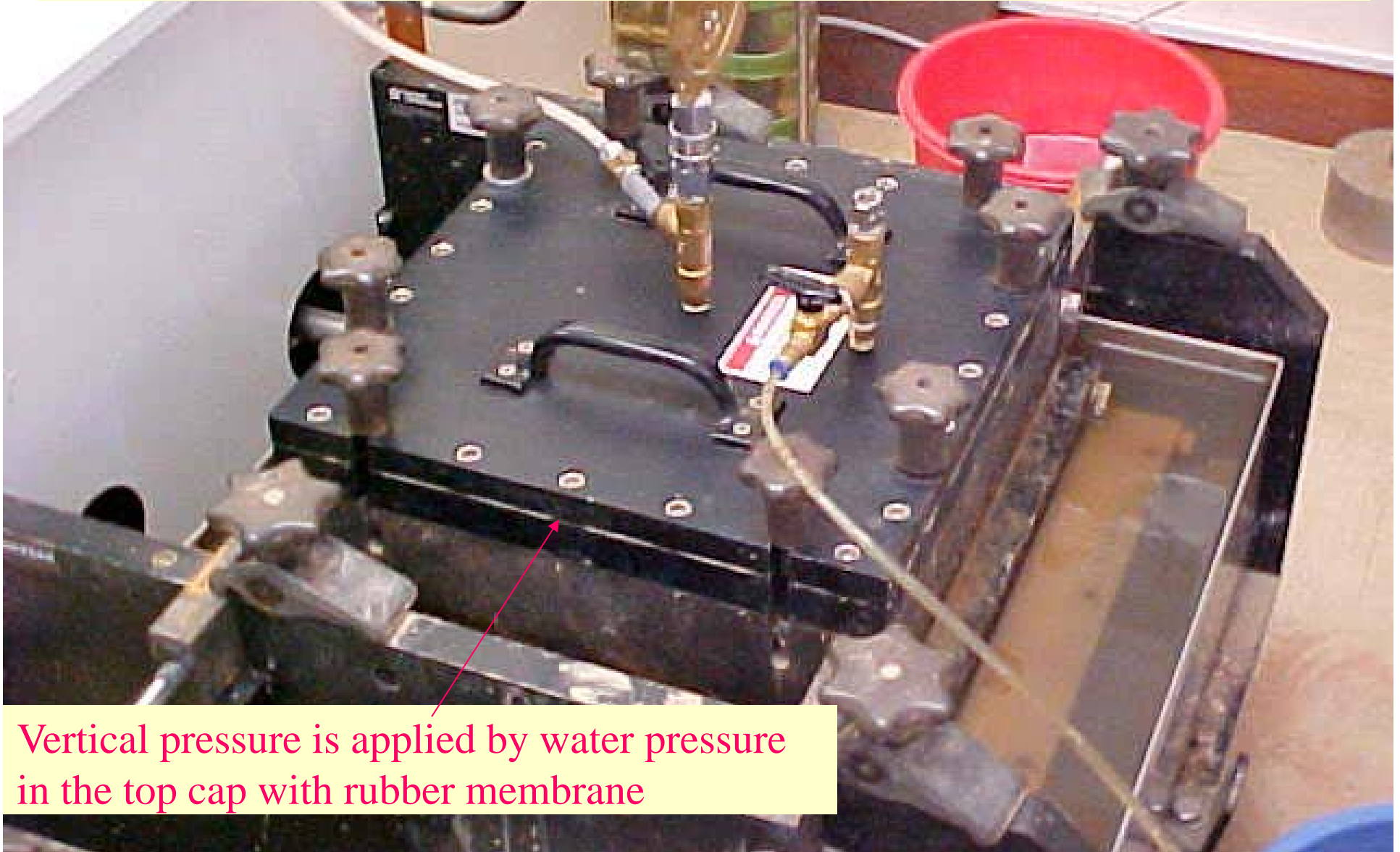
Effective stress paths for test E400 –  $K_o$ -consolidation, step-changed axial extension and unloading/reloading

## **4. Large-size Direct Shear Box Tests on Interfaces and Findings**

Why large size shear box?

- (a) Between metallic strips and fill
- (b) Between geo-synthetics and fill
- (c) Between pre-cast cement grout and soil
- (d) Between cement grout and soil

Large-size direct shear box : 304mm wide x 304mm (or 450mm) long x 204mm high - (a) increase horizontal load capacity using a hydraulic jack, (b) increase vertical pressure to 700 kPa



Vertical pressure is applied by water pressure in the top cap with rubber membrane



Between ribbed  
metallic strips  
and fill

After Test

$$\sigma_h = 300 \text{ kPa}$$

(a) Between ribbed metallic strips and fill

Between  
geotextile  
and fill



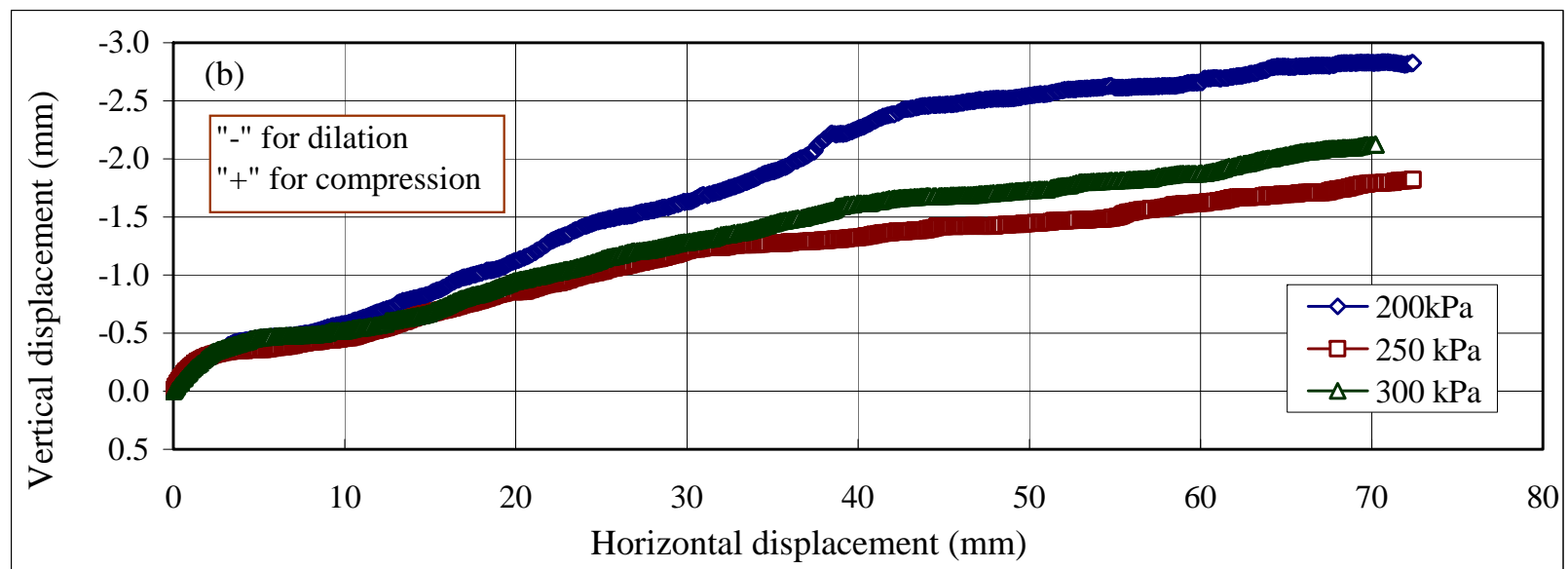
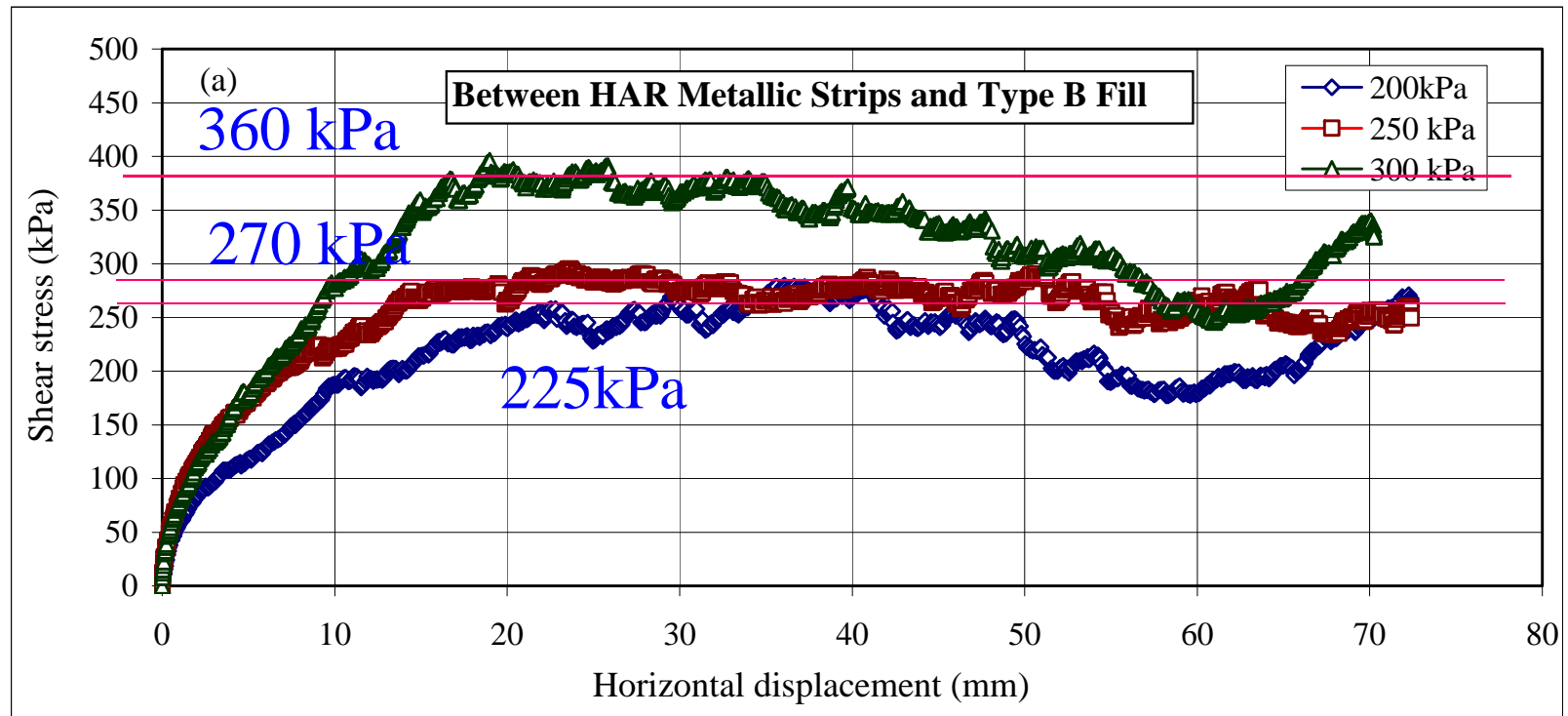


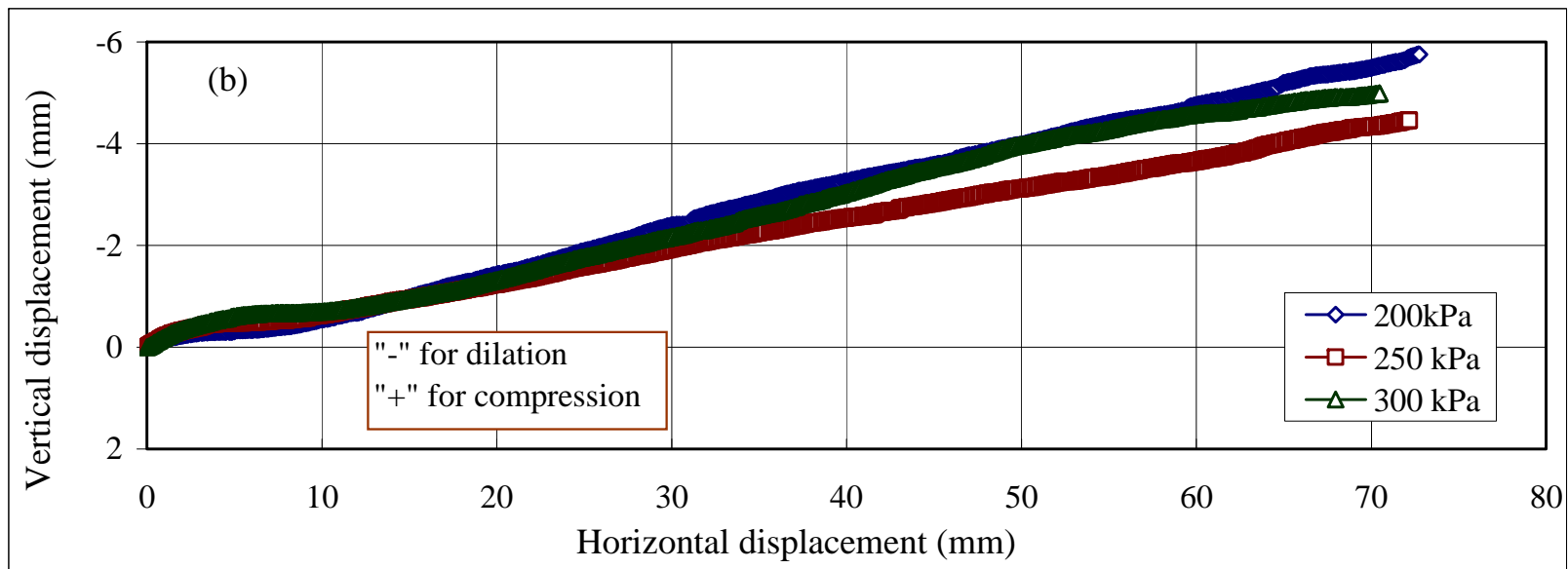
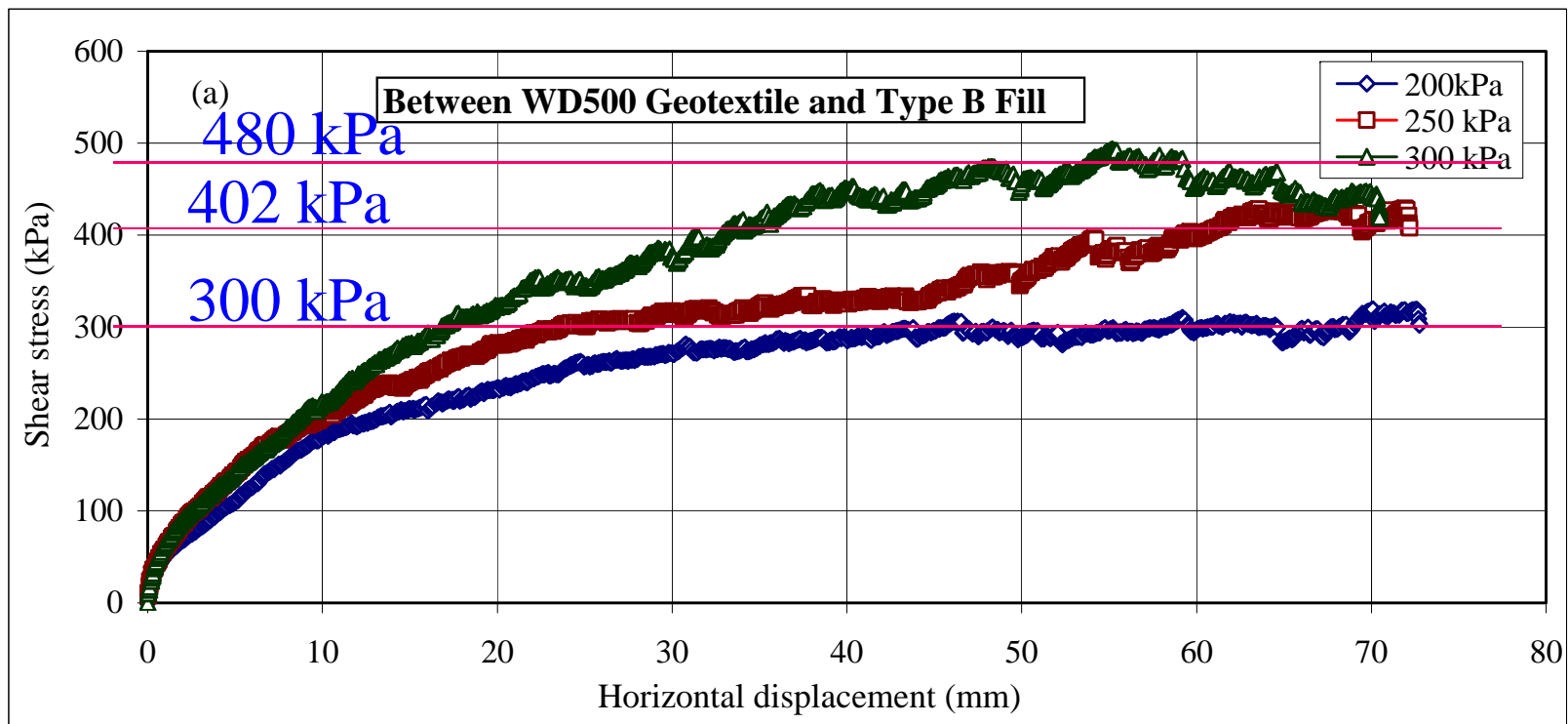
After Test

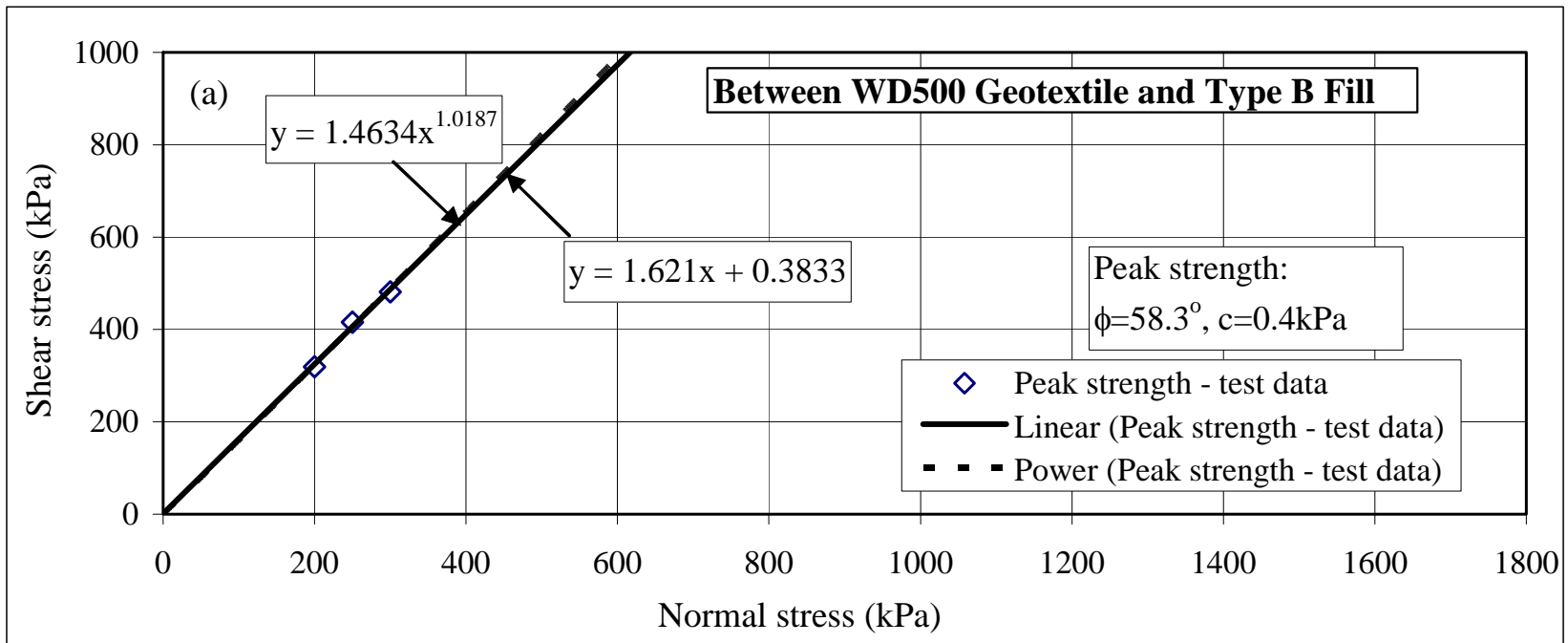
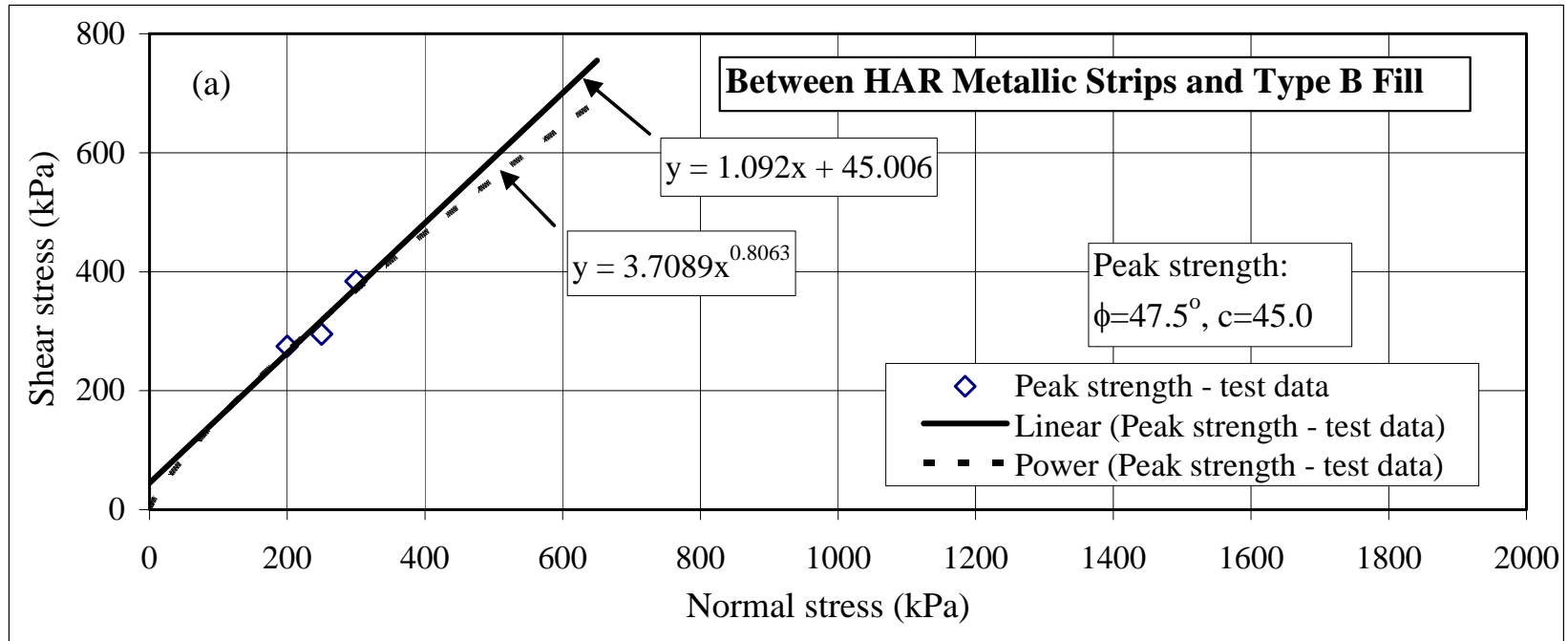
$$\sigma_h = 300 \text{ kPa}$$

**Resistance/friction angle between**  
**(a) geotextile-soil and**  
**(b) ribbed metallic strips and soil**  
**— which one is bigger?**

Geotextile in the bottom box after having removed fill in the upper box



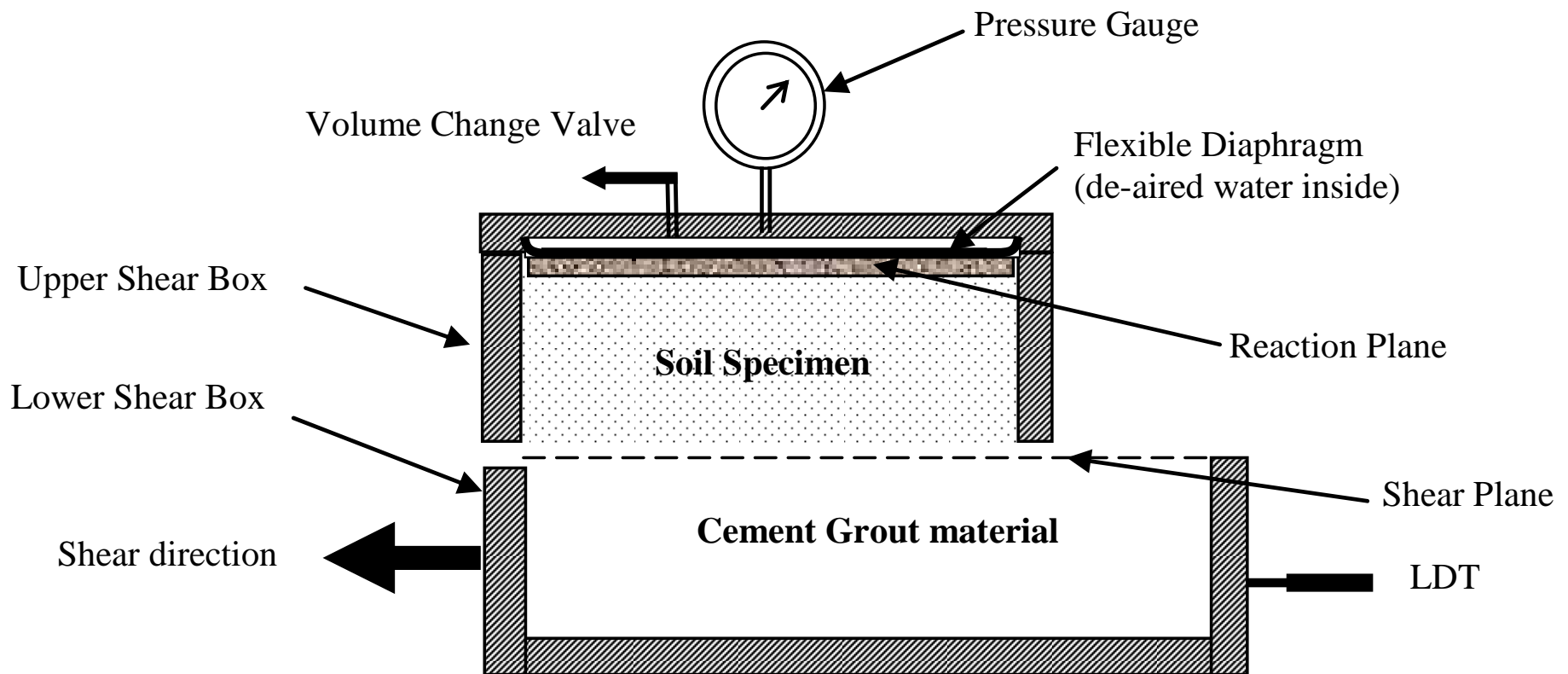




## Comparison and Why:

- (a) Between ribbed metallic strips and fill – smaller friction angle due to **sliding** on the metallic strips
- (b) Between geo-textile and fill – higher frictional angle – **interlocking** of particles which penetrate through the geo-textile
- (c) Test results give you the fact !

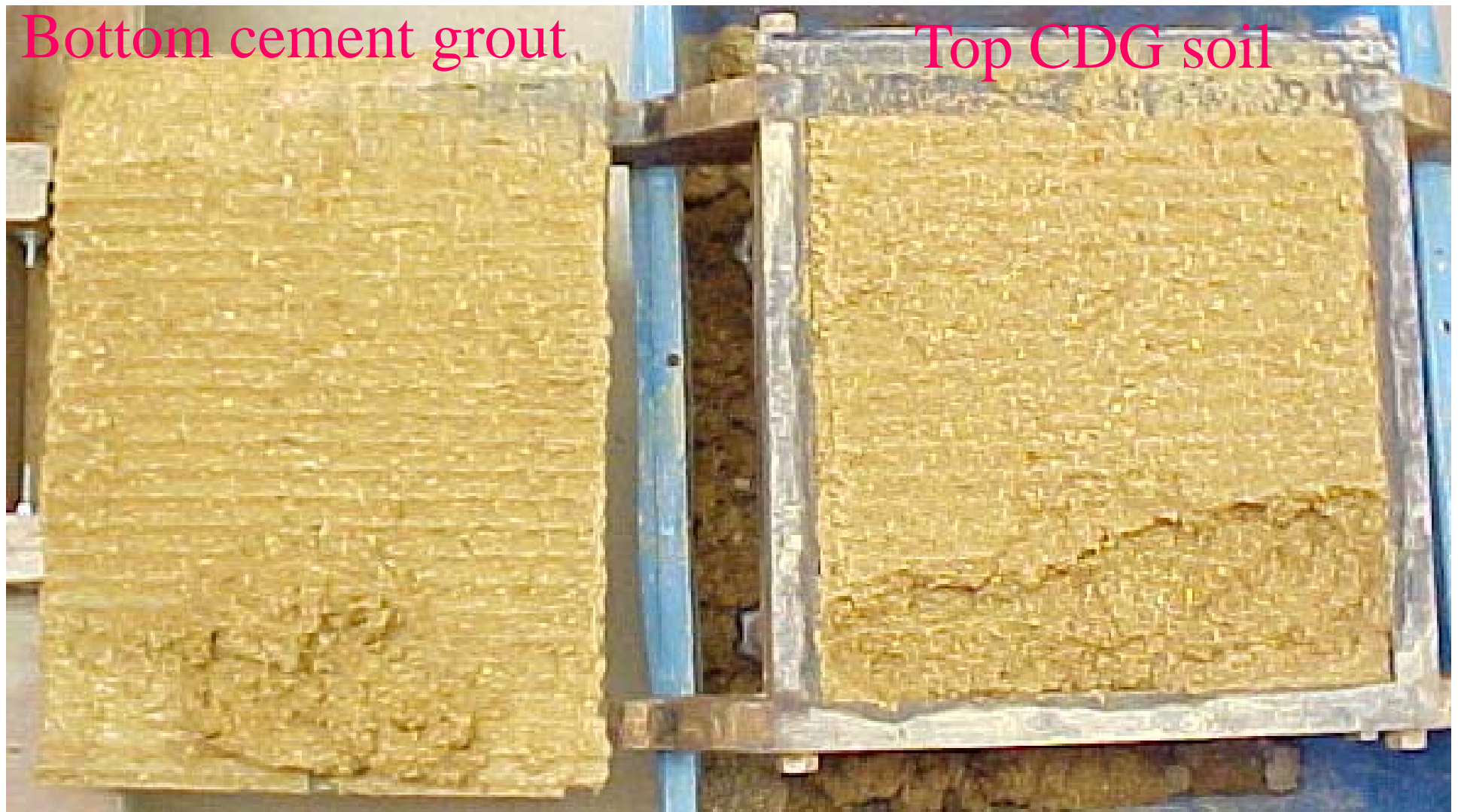
(c) Between cement grout and soil –  
grouted/cast-in-place pile/nail and soil interface

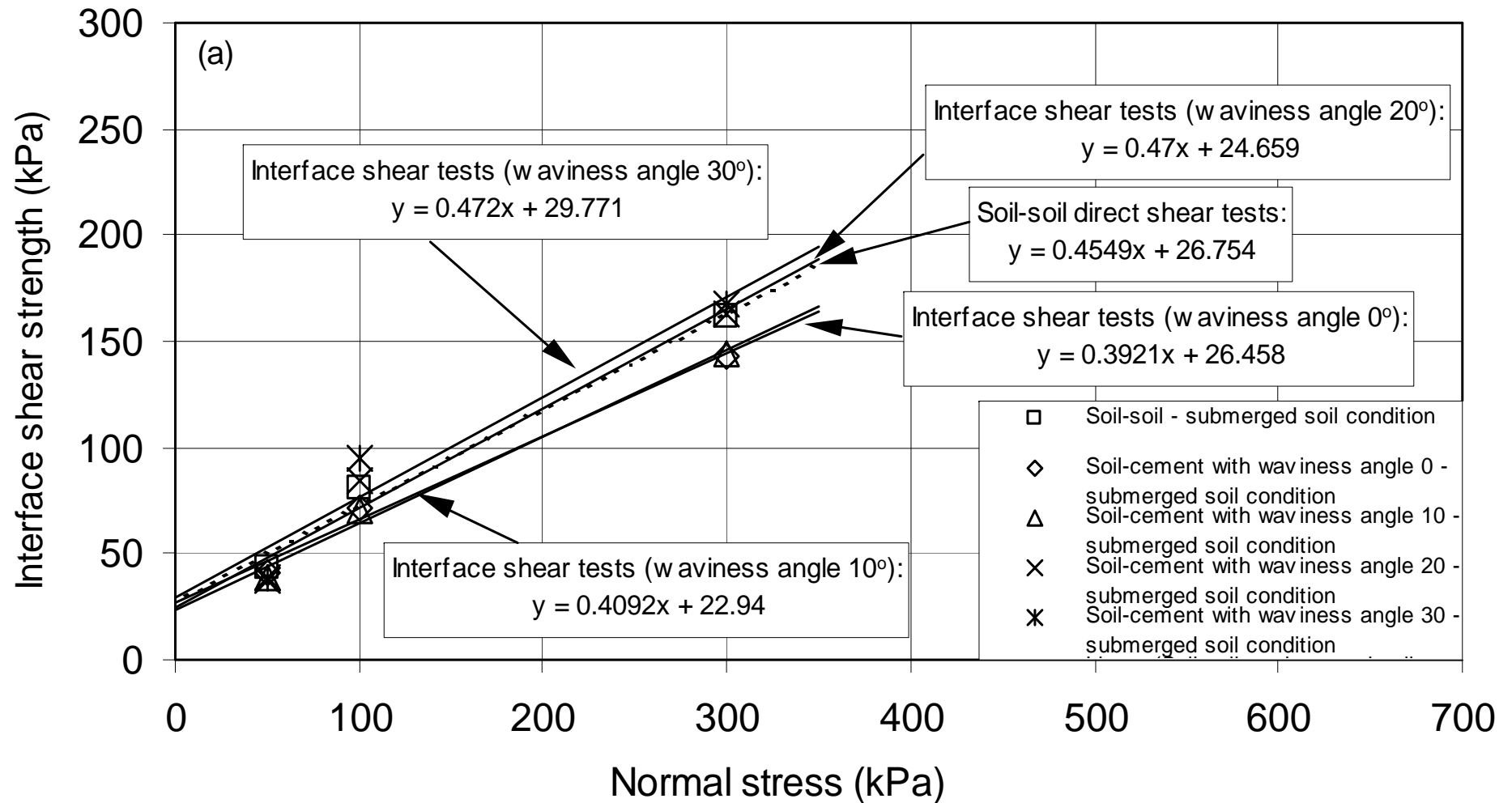


(c) Between pre-cast cement grout and soil  
- existing pile/nail/retaining wall and soil interface



(c) Between cement grout and soil –  
grouted/cast-in-place pile/nail and soil interface





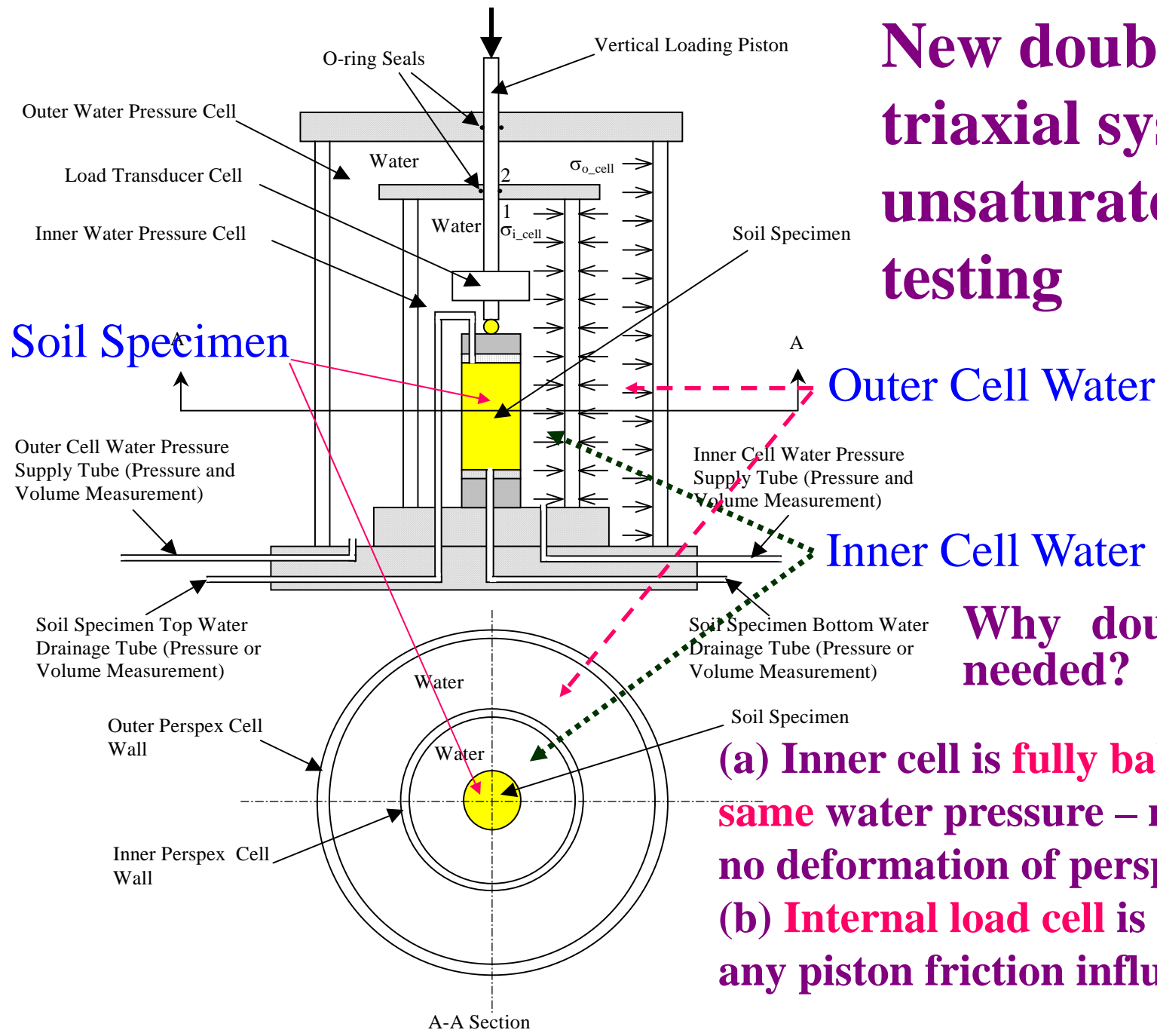
Comparison of shear strength parameters between the soil-soil direct shear tests and the soil-grout interface shear tests of different waviness angles in the submerged condition – peak shear strength and

## 5. A New Double Cell Triaxial System

Why double cell triaxial tests?

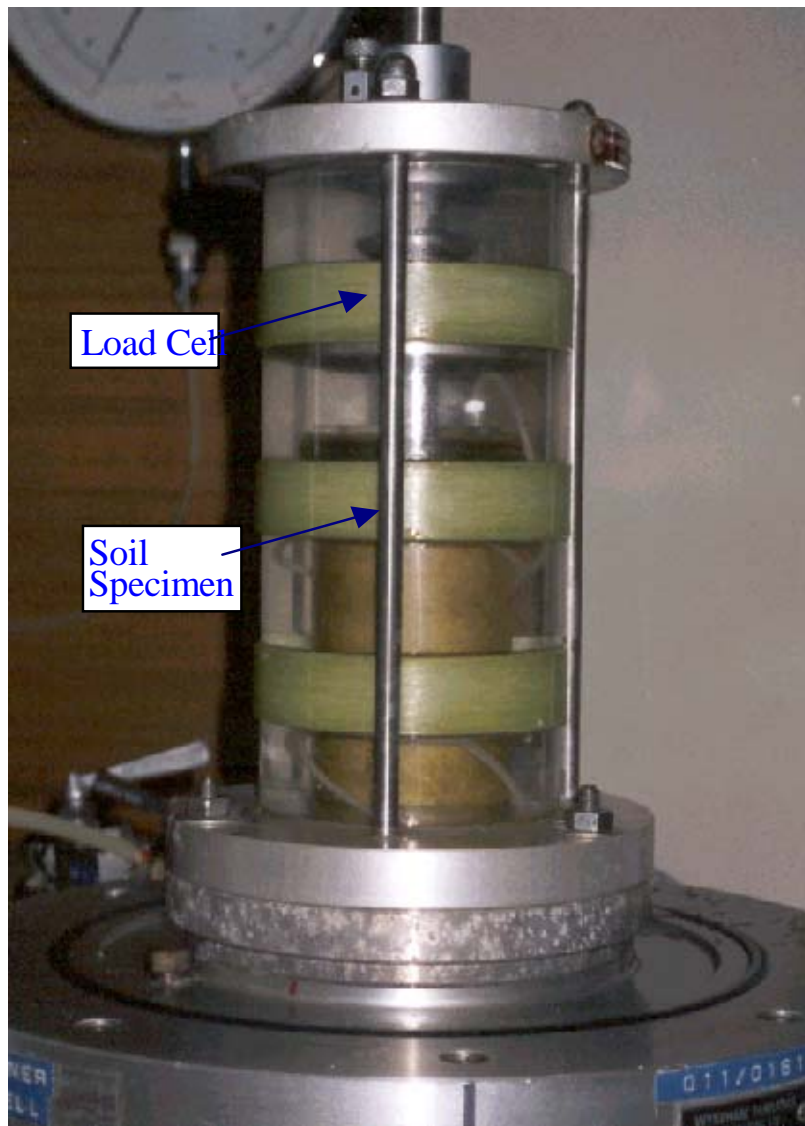
- (a) Continuous measurement of total volume changes of unsaturated soils in triaxial testing – PolyU's contribution
- (b) Calibrations: How ? Results?
- (c) Applications to test CDG soils

# New double cell triaxial system for unsaturated soil testing

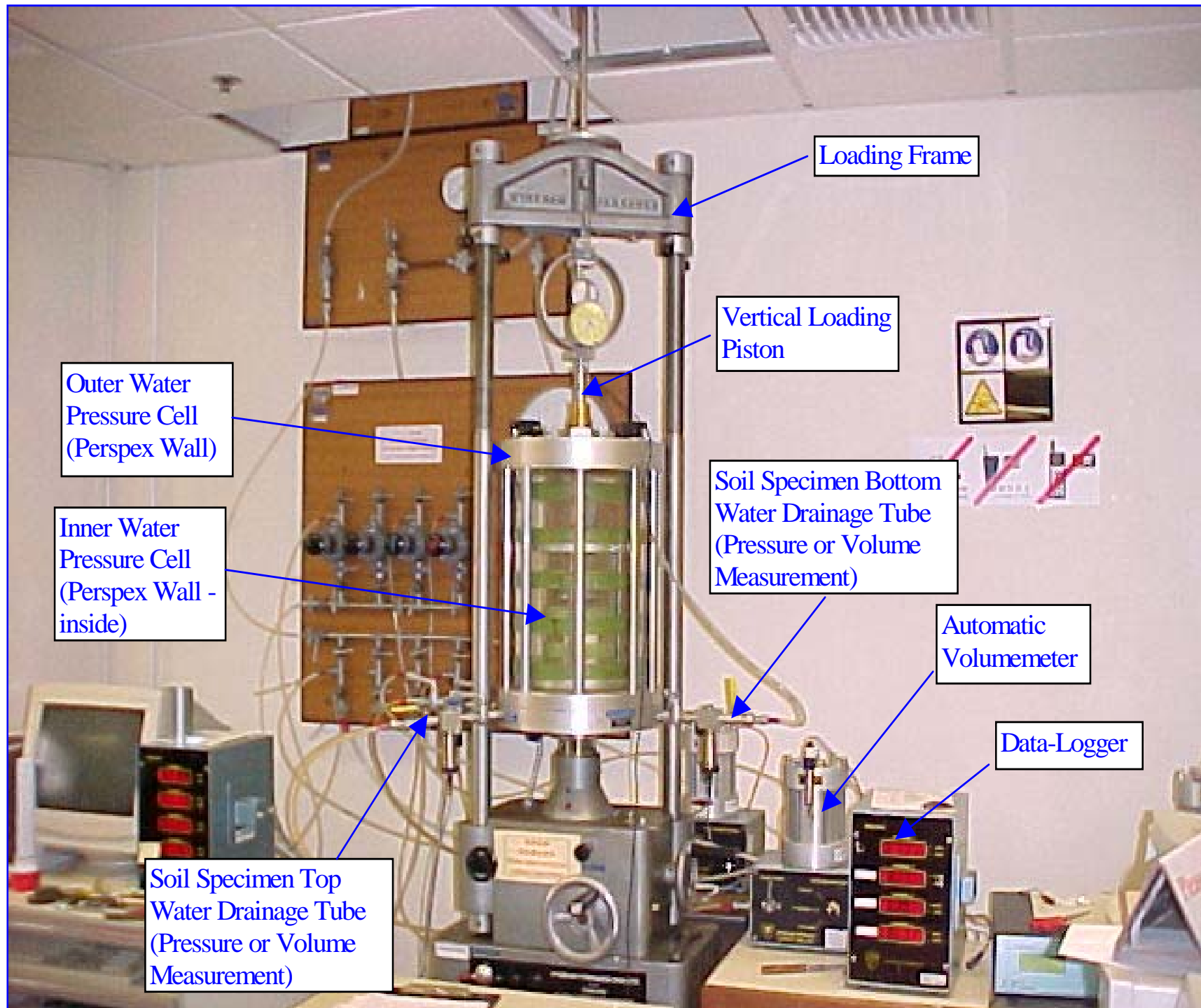


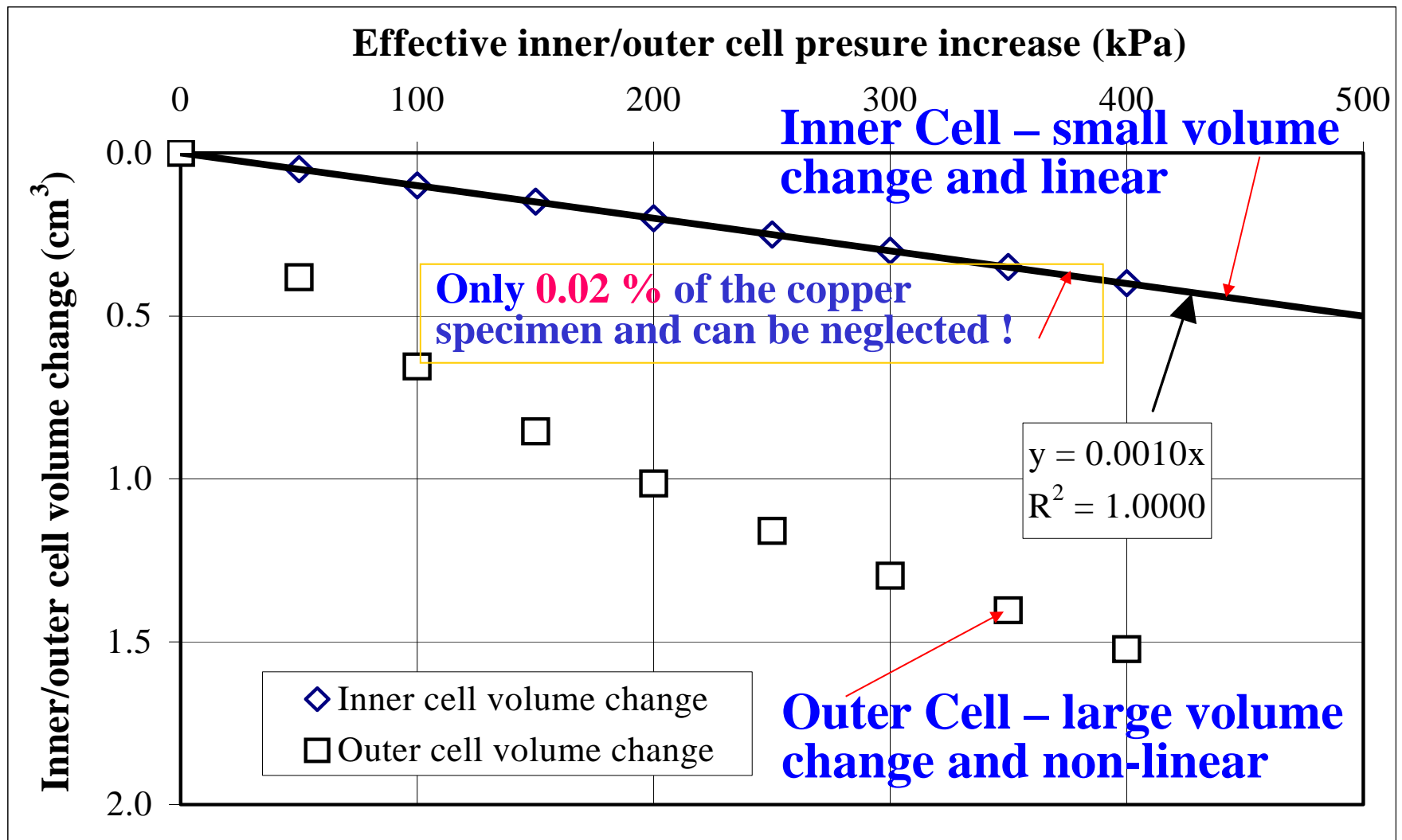
Why double cell is needed?

- (a) Inner cell is **fully balanced** by the **same** water pressure – no leaking and no deformation of perspex cell wall
- (b) **Internal load cell** is used to avoid any piston friction influence

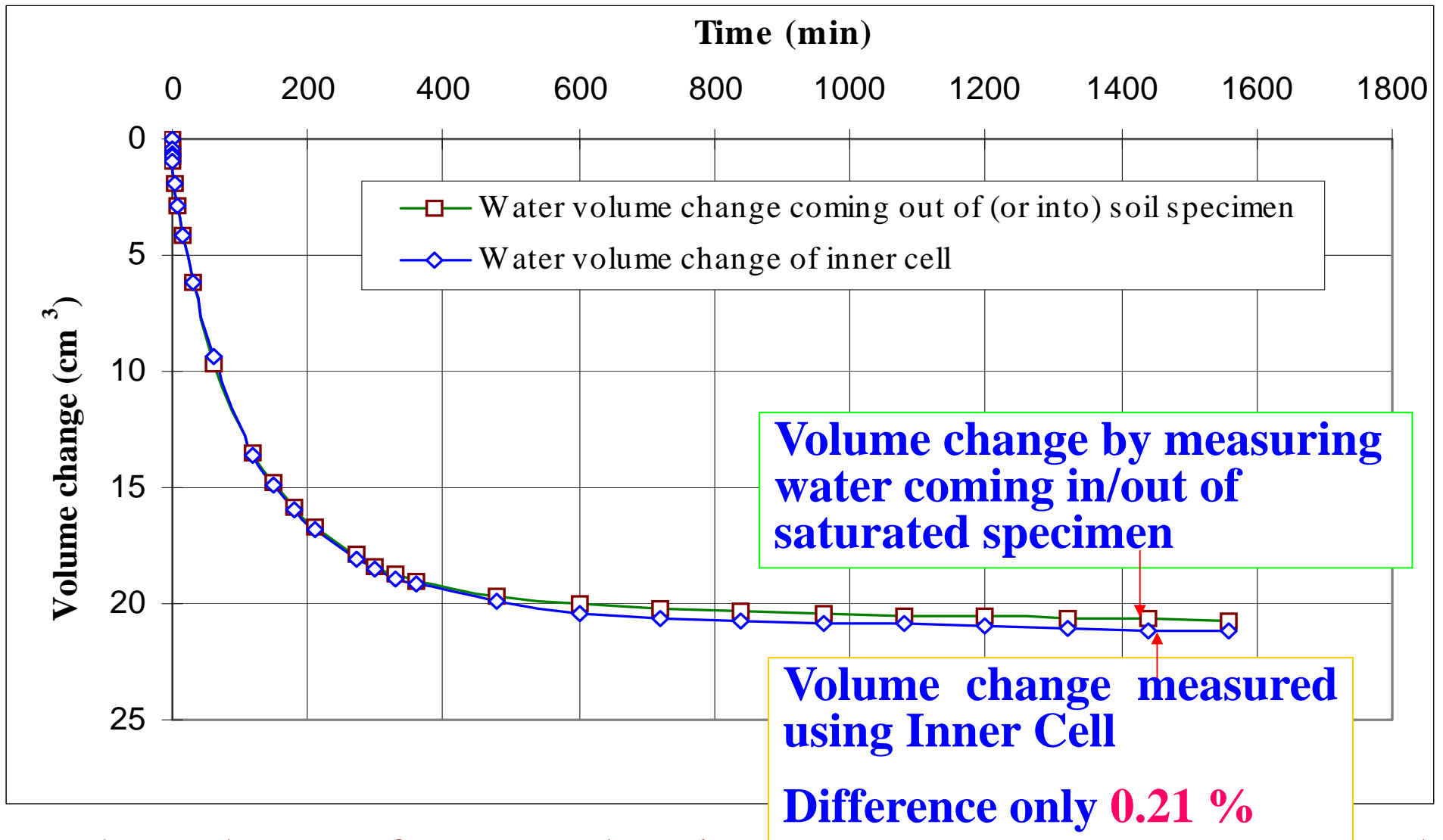


(a) Left photo - a close-up view of the inner cell (left) and  
(b) right photo - a close-up view of the outer cell (right)

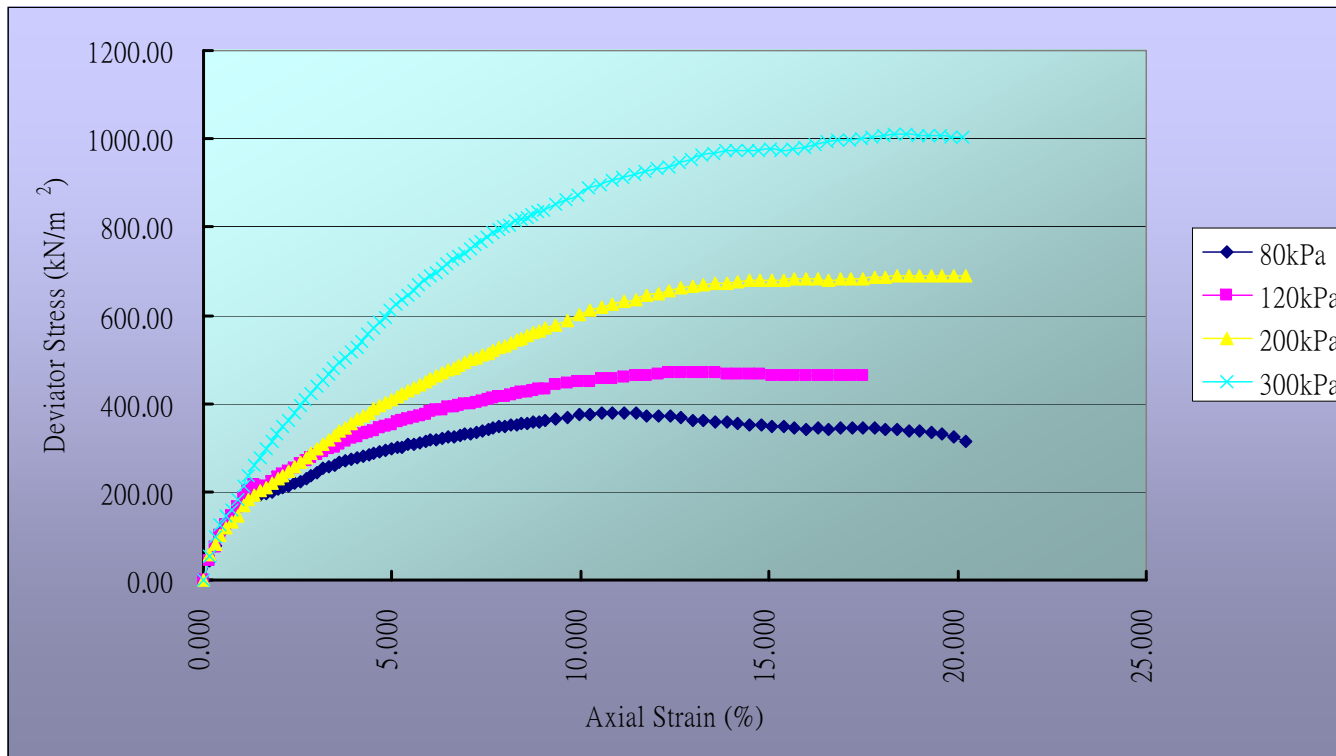




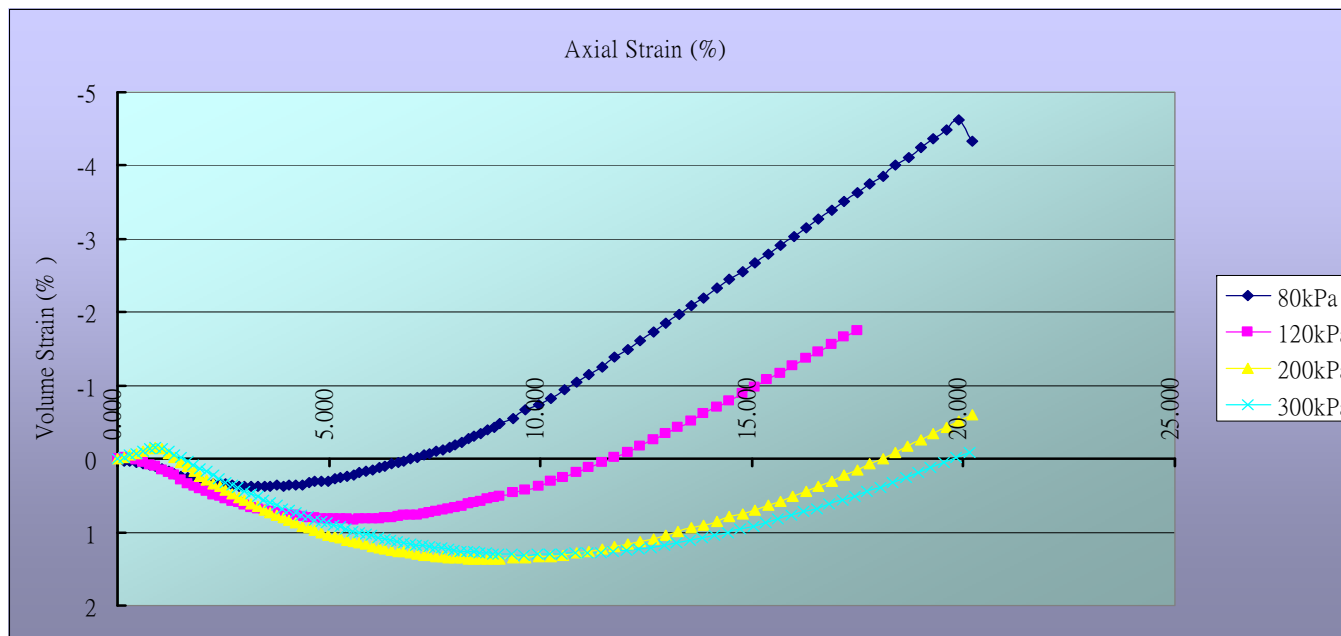
Volume changes of a **copper specimen** under isotropic compression measured by the changes of (a) water volume of the inner cell and (b) the water volume of the outer cell with back pressure equal to 100kPa and the specimen drainage valve closed (Yin 2002a,b)



Volume changes of a saturated marine clay during consolidation measured by the changes of (a) the water coming out of (or into) the clay specimen and (b) water volume of the inner cell (Yin 2002a,b)



**Double Cell  
Triaxial  
compression  
tests on a  
CDG soil with  
initial degree  
of saturation  
of 35%**



## 6. A Hollow Cylinder Apparatus (HCA) for Soil Testing

### Why HCA?

For measuring the behaviour of a hollow soil specimen (100mm height by 100mm external diameter by 50mm inner diameter) under conditions of

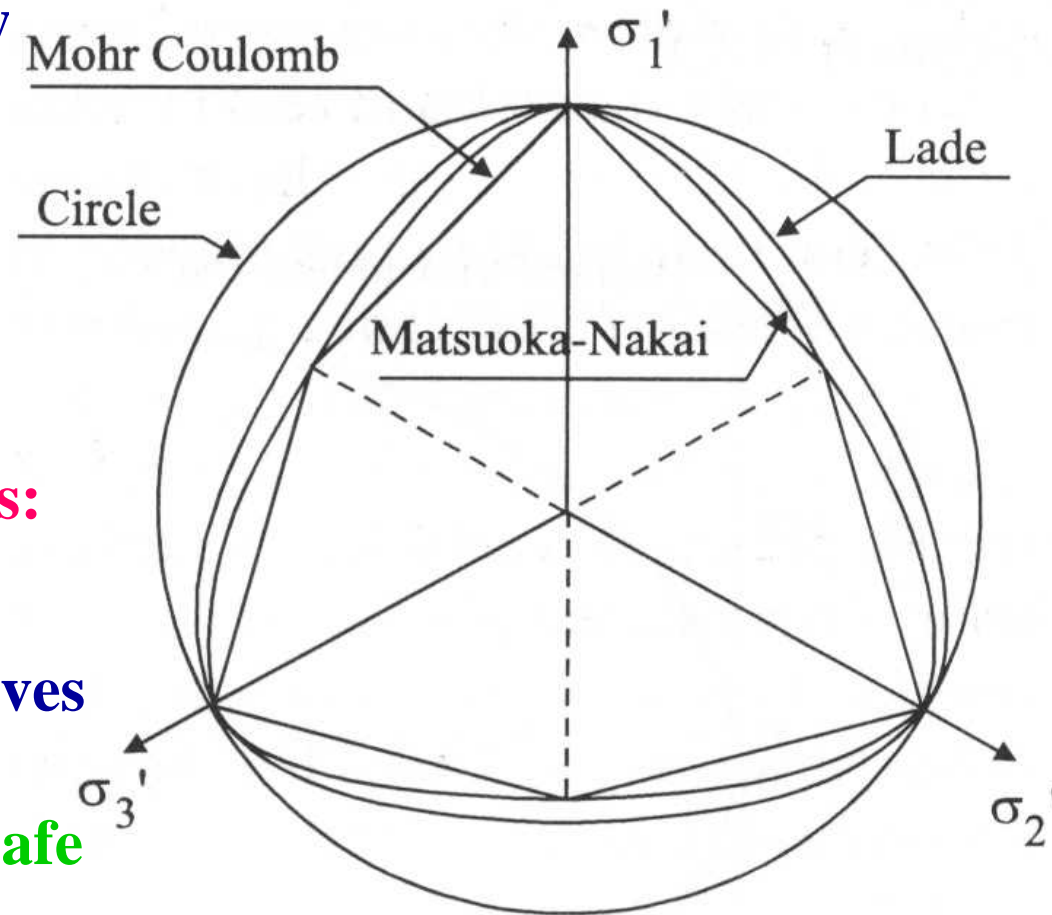
- (a) pure shearing
- (b) plane strain
- (c) rotation of the principal stress
- (d) influence of the middle principal stress
- (e) ...

# Development of failure criteria and constitutive models

Extension to/study  
in a general stress  
space: **advanced  
lab facilities are  
needed**

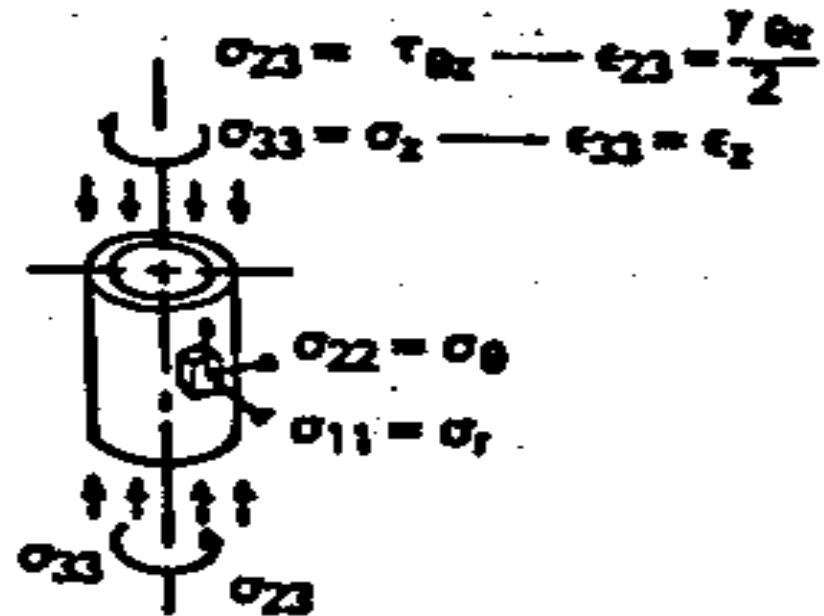
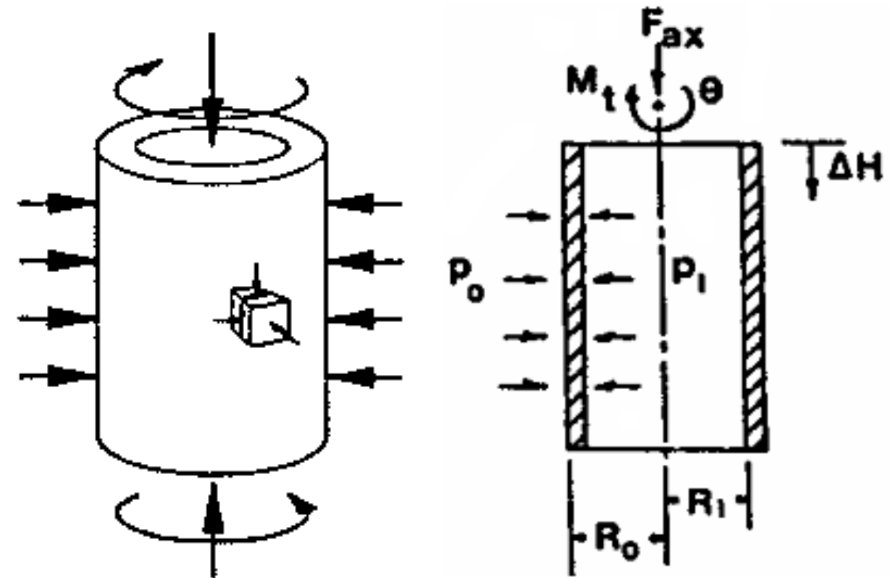
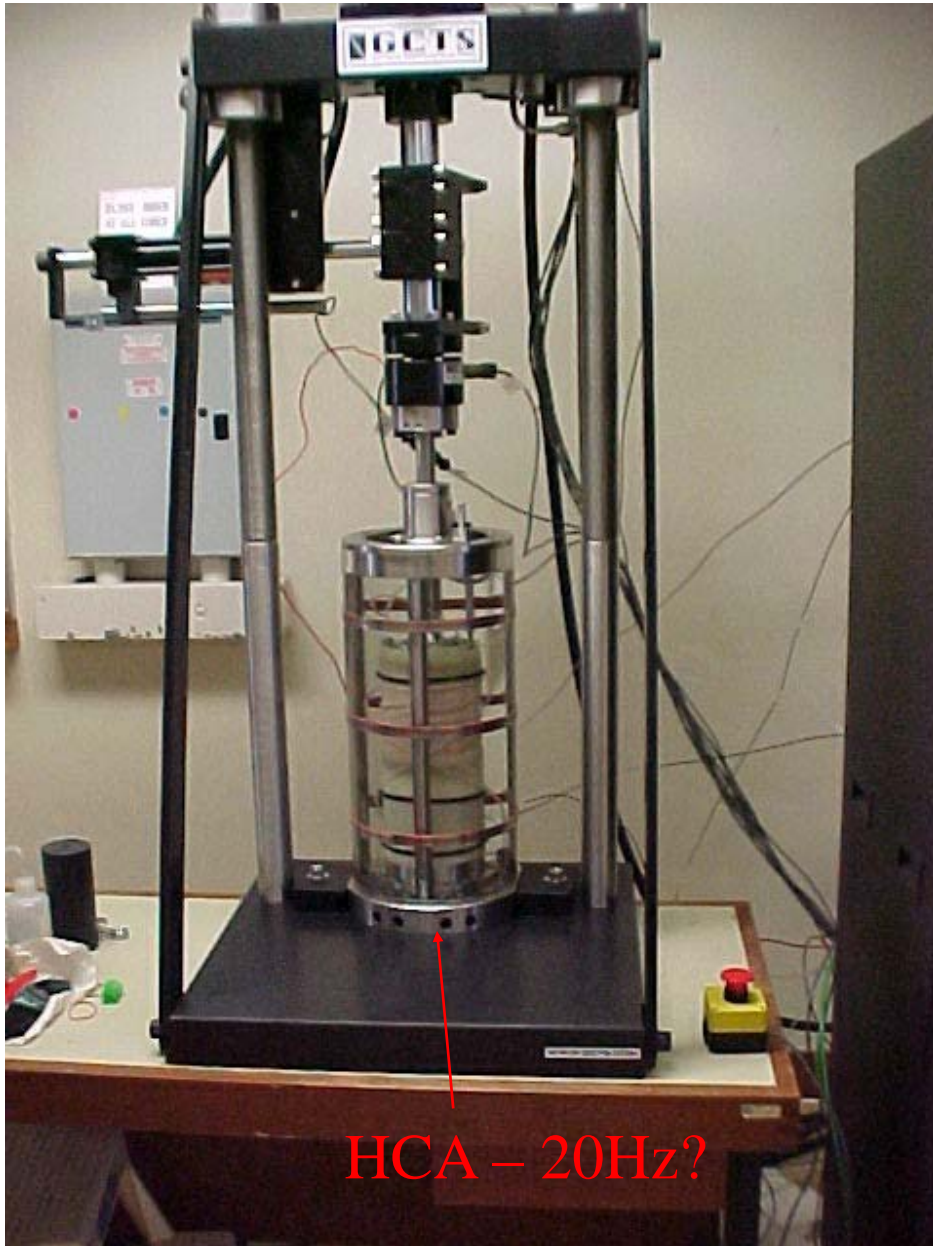
Useful to engineers:

Mohr-Coulomb  
failure criterion gives  
more conservative  
strength – **on the safe  
side !**



Failure surfaces in  $\pi$ -plane

## Hollow Cylinder Apparatus (HCA): control of 4 independent parameters





Hong Kong Marine Clay  
Hollow Cylinder Test

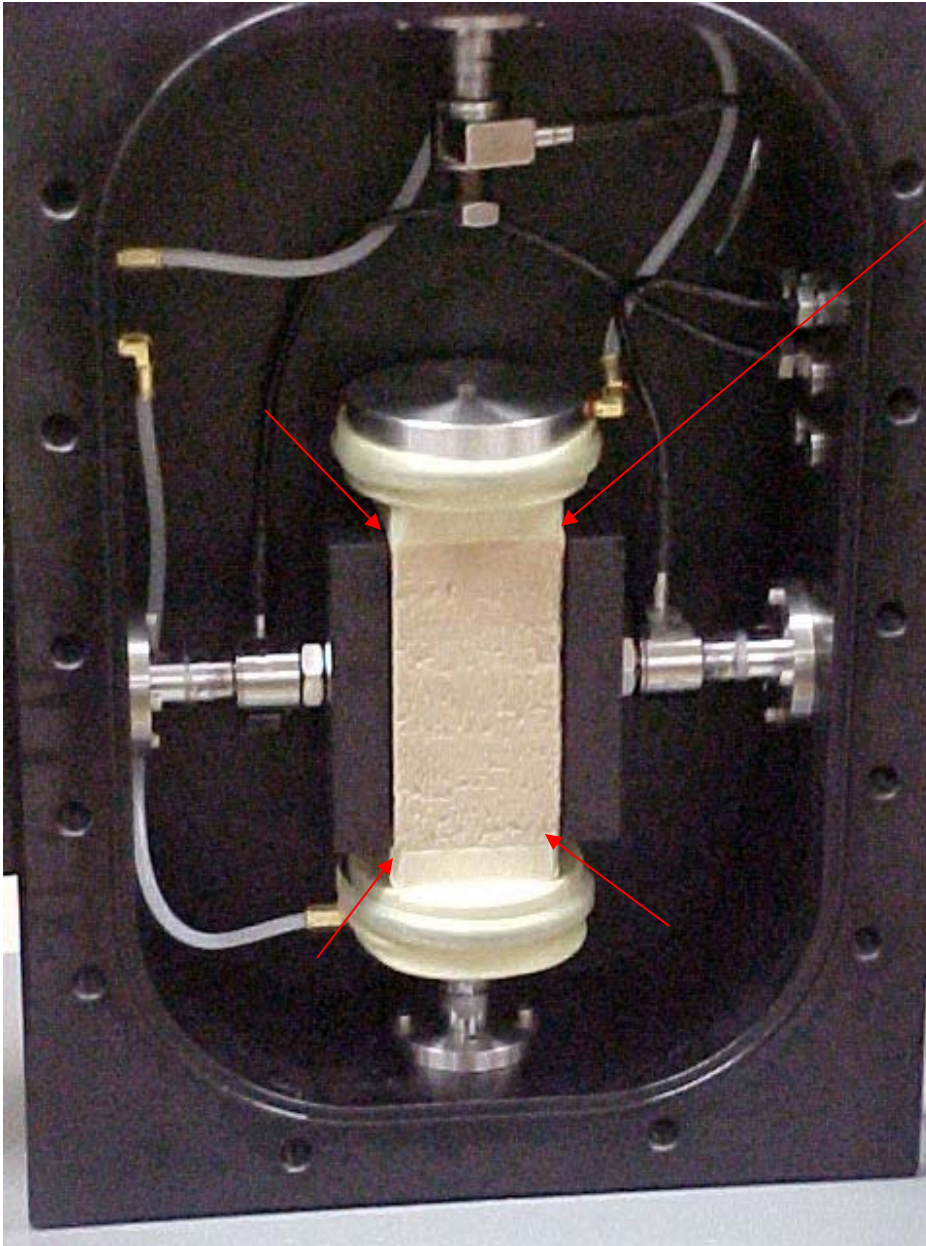
## **7. A Truly Triaxial System (TTS) for Soil Testing**

For measuring the behaviour of a “brick” soil specimen (70mm by 70mm by 140mm) under conditions of

- (a) plane strain,
- (b) influence of the middle principal stress
- (c) ...

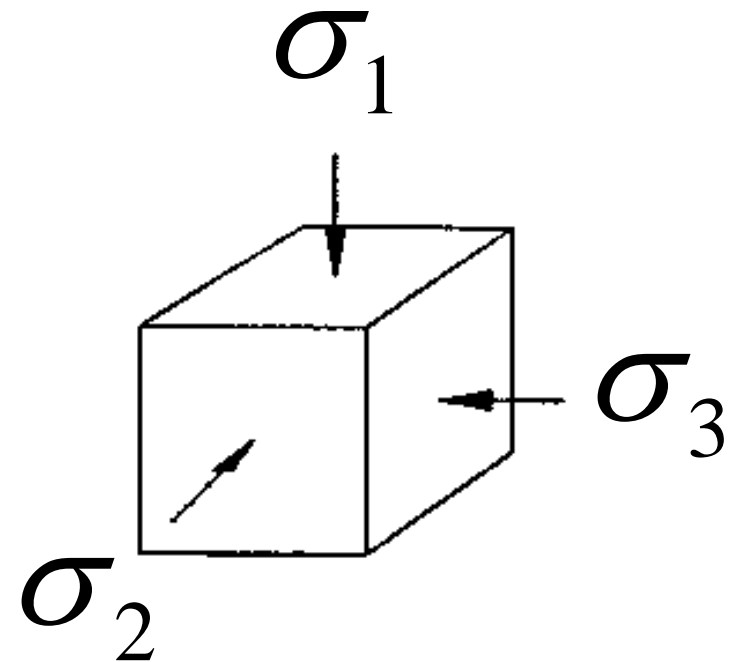
**Development of new sliding loading plates and setup**

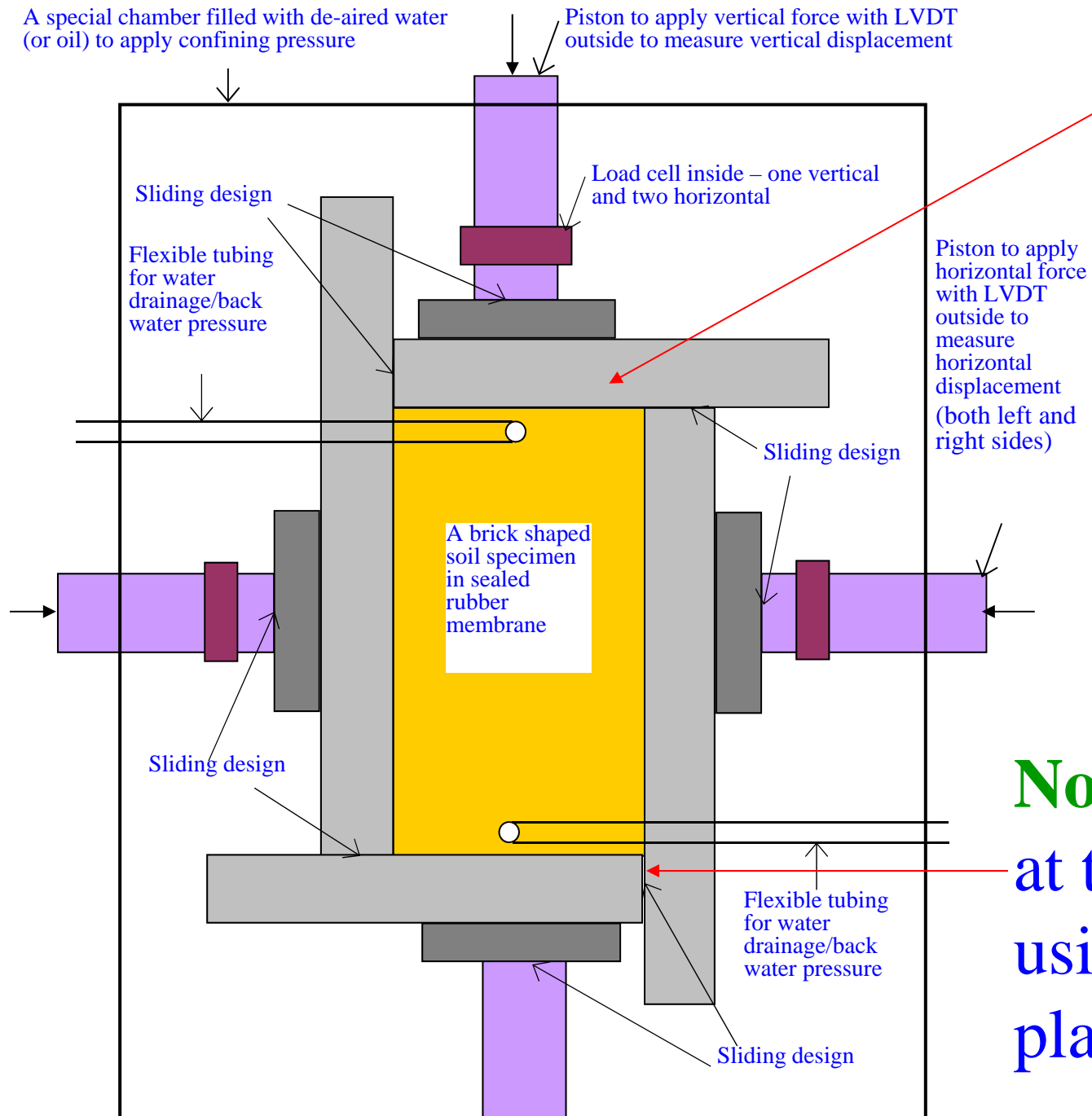
## Truly Triaxial System (TTS): control of **3** independent parameters



### Problems:

- Interference at the corners
- (a) non-uniform stresses
- (b) small compression





**New sliding loading plates and setup**

**创新的设计**

**No Interference at the corners using sliding plates**



**New sliding  
loading  
plates and  
setup**

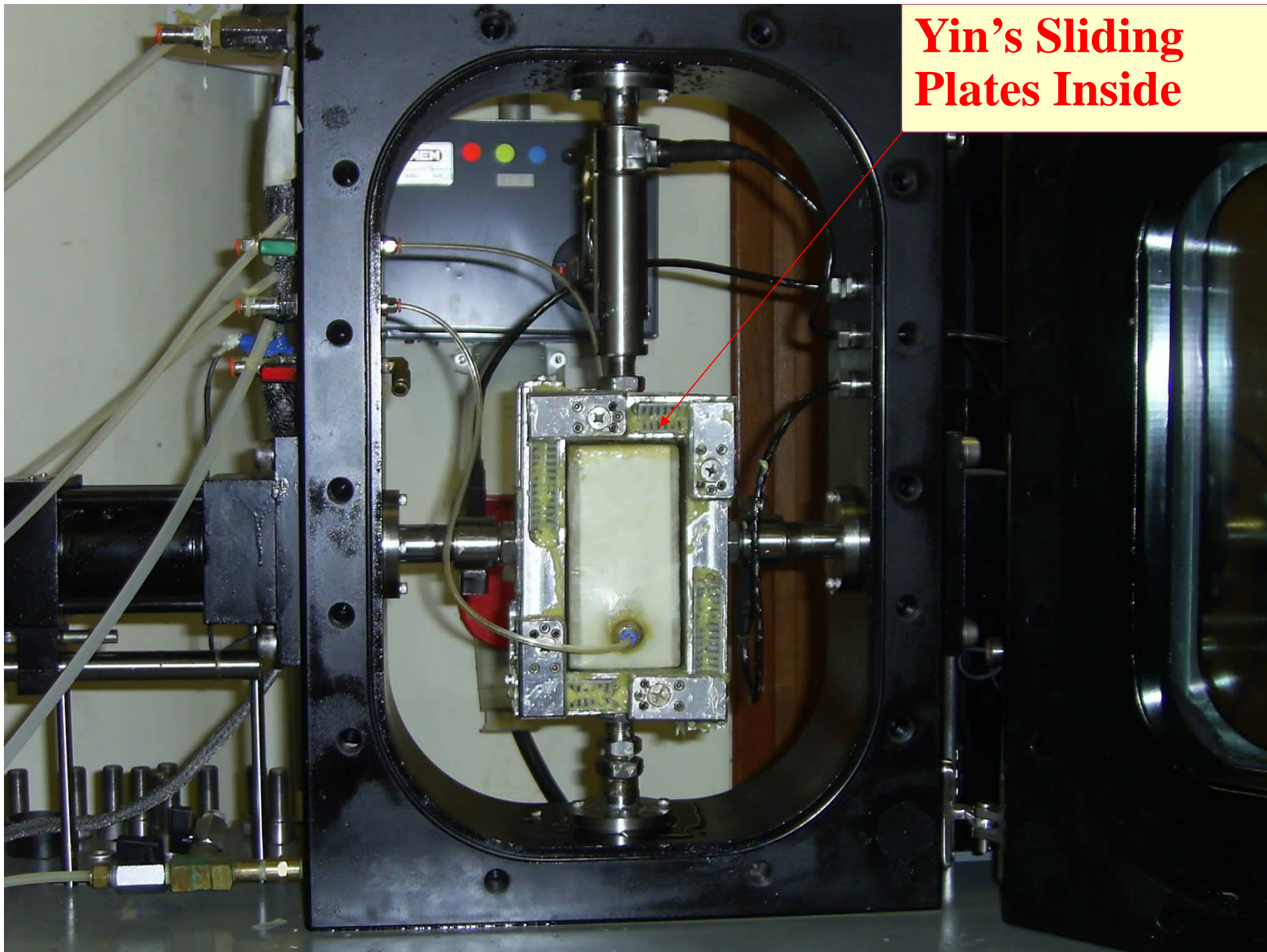
**The best in  
the World?**

**世界上最佳?**

**由你评价!**

**No Interference at the  
corners using sliding plates**

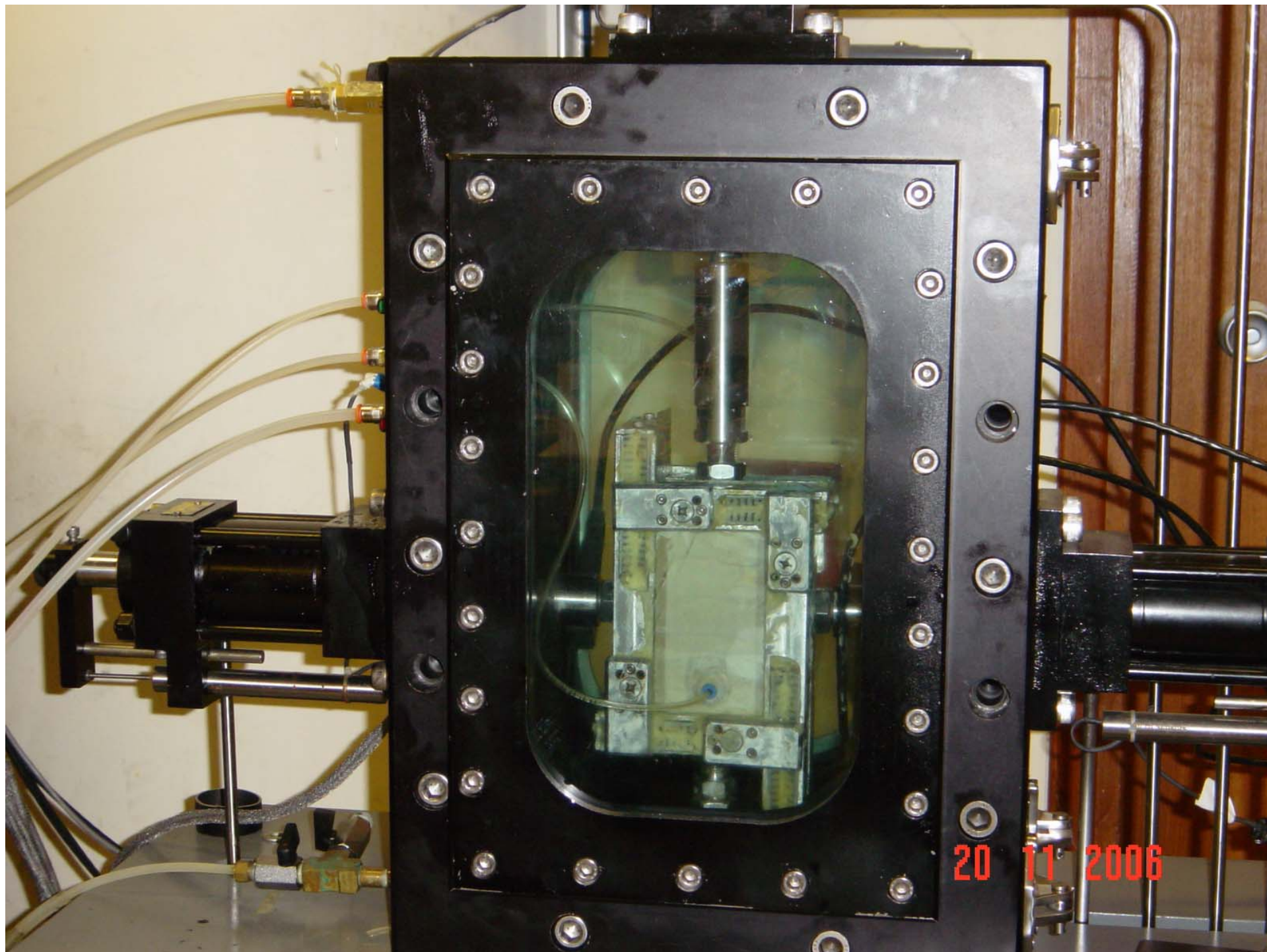
**Yin's Sliding  
Plates Inside**

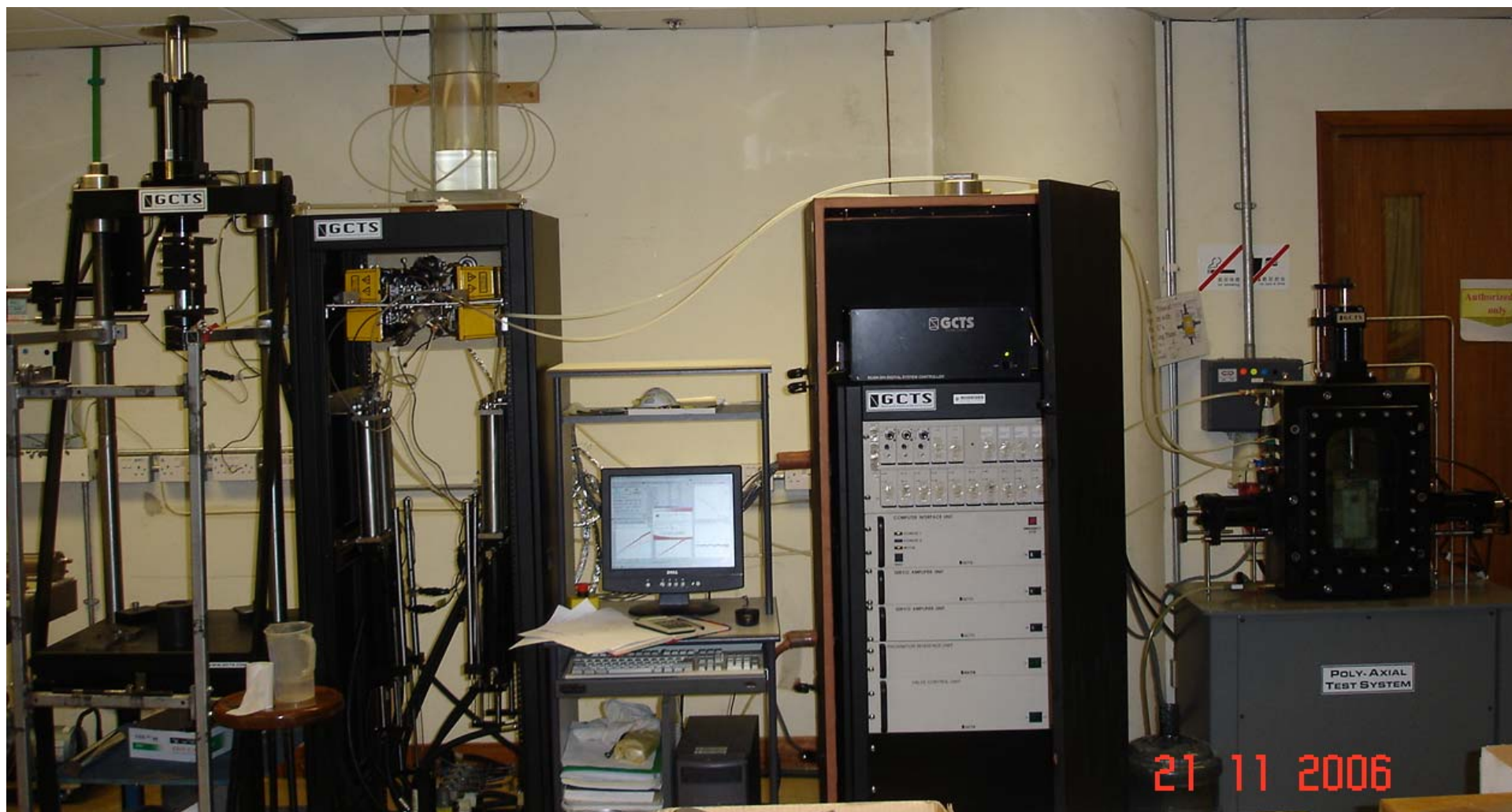


**Chamber is  
filled with  
water and  
closed**

**Yin's Sliding  
Plates Inside**







电子控制仪器、油压系统、软件、  
静与动态(20Hz) 试验等

# 香港风化花岗岩土



21 11 2006





## 8. An Innovative Soil Nail Pullout Box with Instrumentation

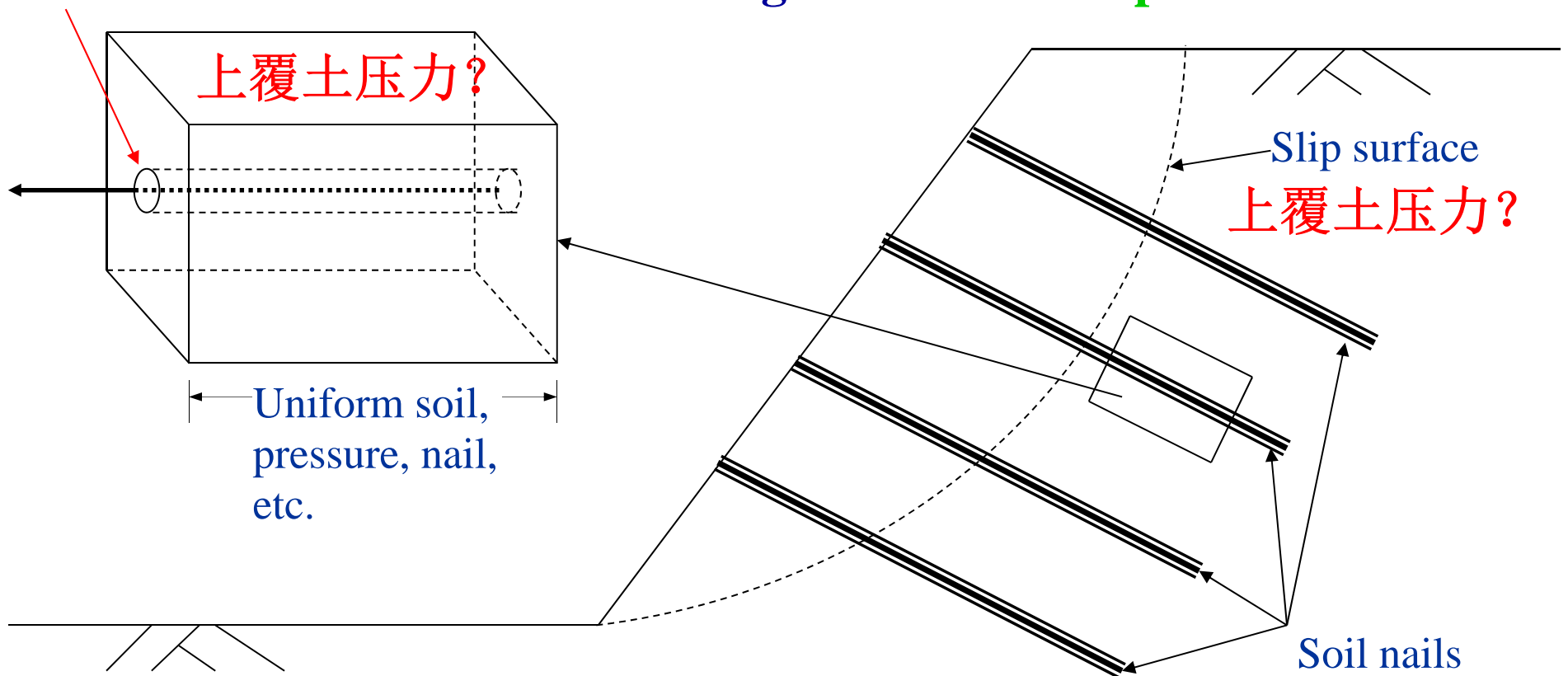
- For studying the interface shear strength of soil nail and soil under various controlled conditions
- Saturated or unsaturated soils, stress release, grouting pressure, *etc.*  
(**simulating real construction process**)

# New soil nail pullout box testing – simulating a segment of a soil nail in a slope

**Useful to engineers:**

**Know the influences of a few key factors and  
make better designs and safer slopes !**

**Non-uniform**

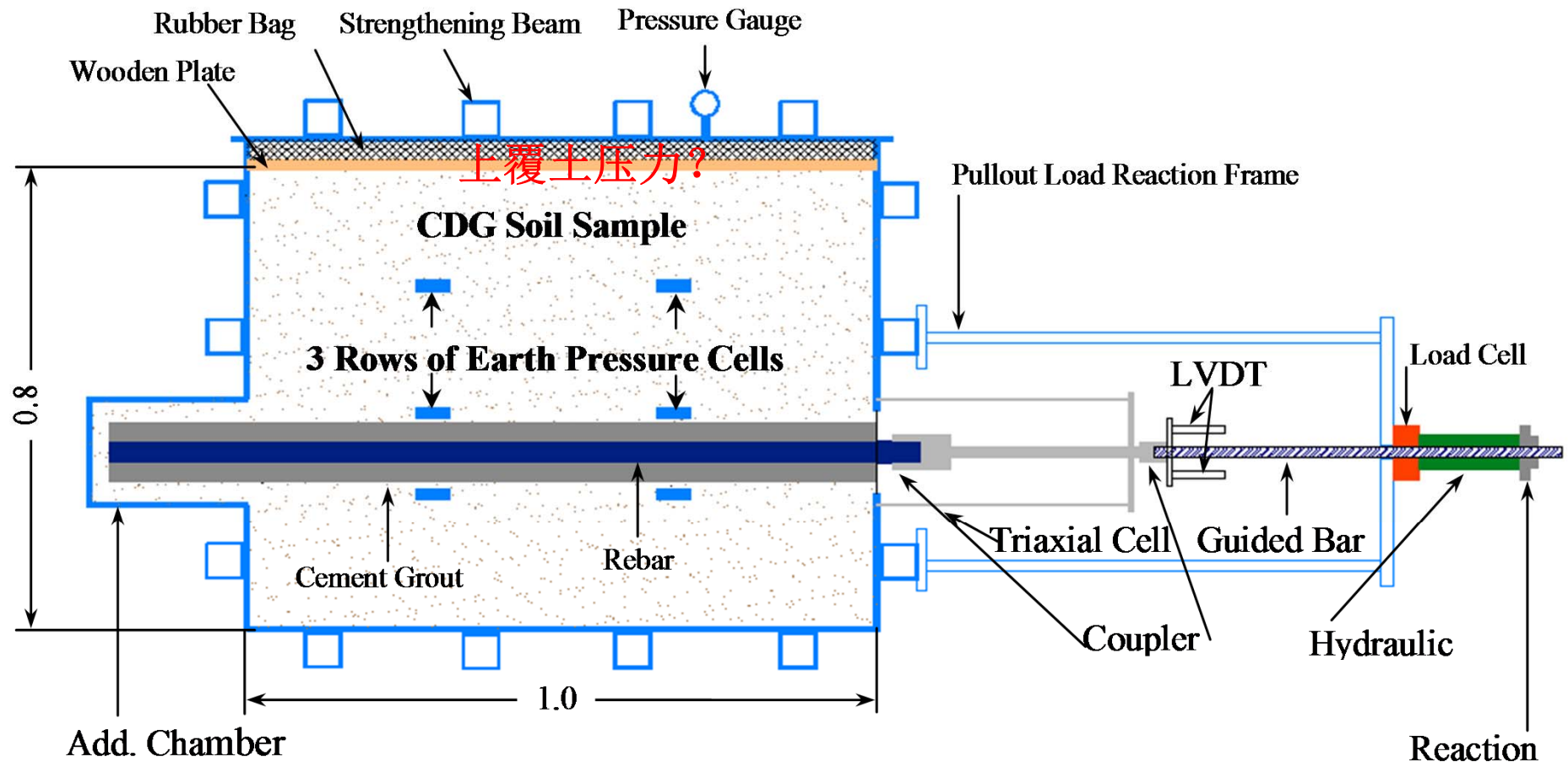


# Soil nail pullout test studies on:

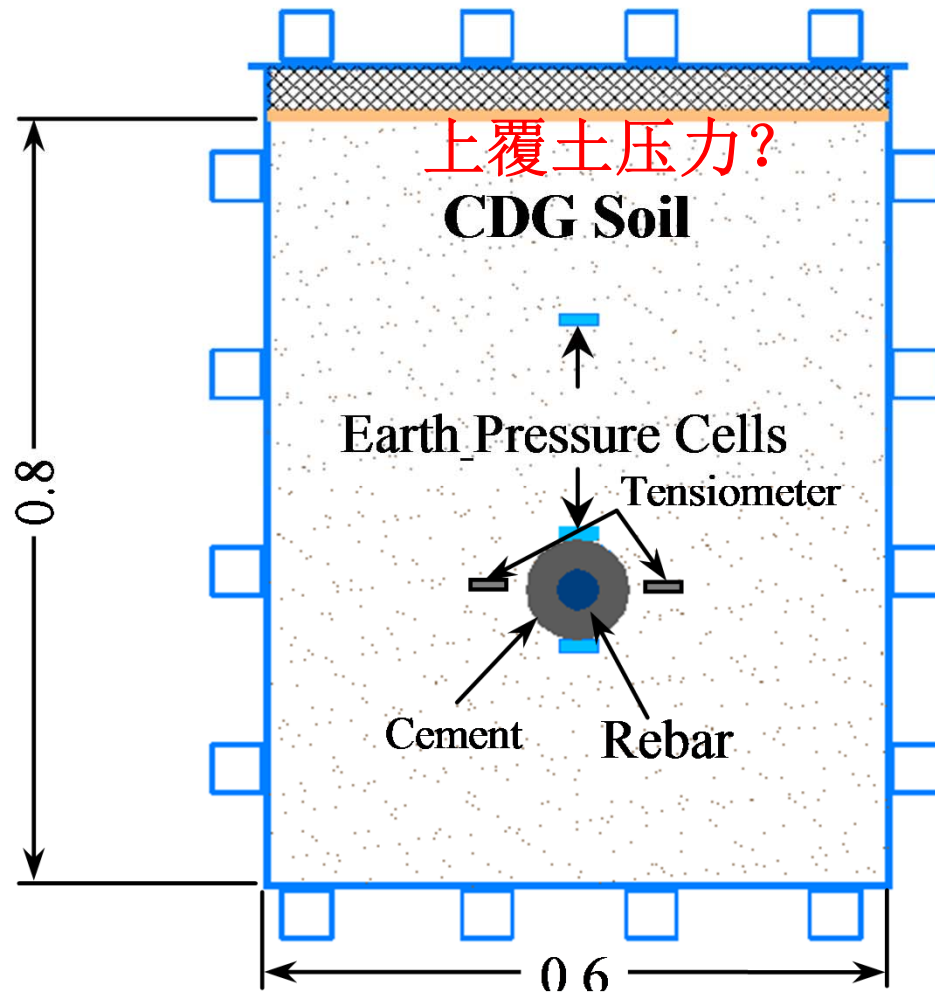
- (a) **Overburden pressure? 上覆土压力?**
- (b) **Water saturation/suction/water table rising?**
- (c) **Cement slurry grouting pressure?**
- (d) **Drill hole roughness/dilation?**
- (e) **Different soil types?**
- (f) **Different nail materials (fibre/carbon-glass)?**
- (g) **Block soil samples vs compacted samples?**
- (h) **Comparison of lab pullout test results with field pullout test results?**

...

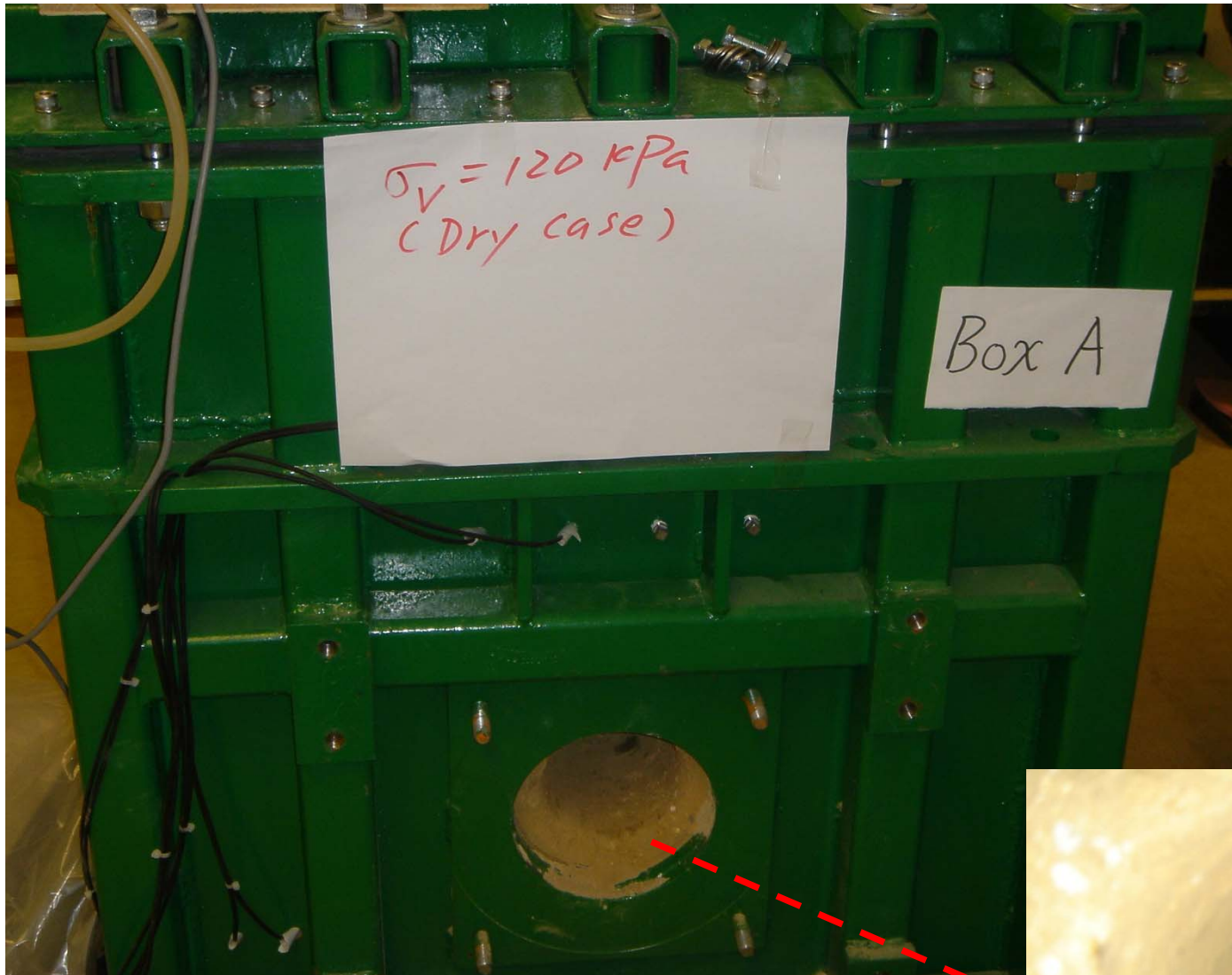
Studies in (a), (b) and (c) have been done.



- (i) Six earth pressure cells for measuring total earth pressures
- (ii) Four strain gauges on the re-bar for measuring nail axial strains
- (iii) Pressure gauges and volume change meter in the top cover cap for water pressure control and vertical soil movement
- (iv) Two LVDTs and one load cell for measuring pullout displacement and load.



- (v) Four miniature tensiometers for measuring suctions and small pressure transducers for measuring positive pore water pressures

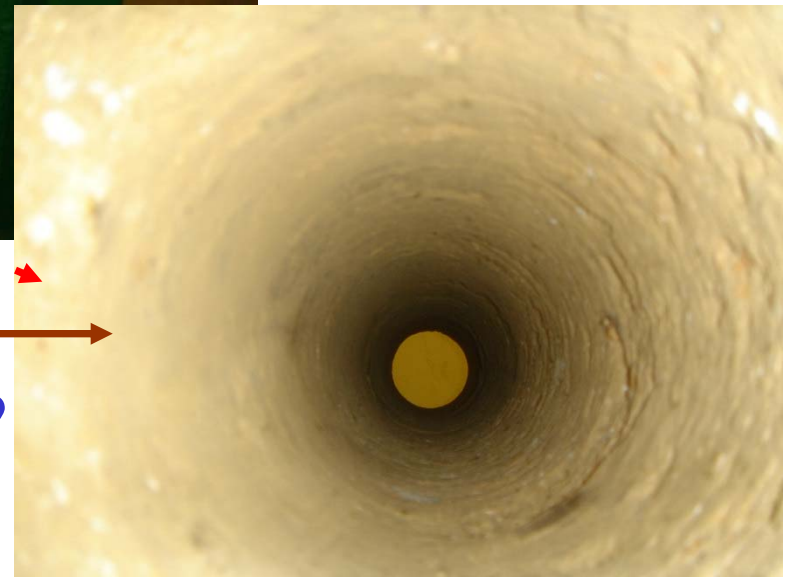


上覆土压力?

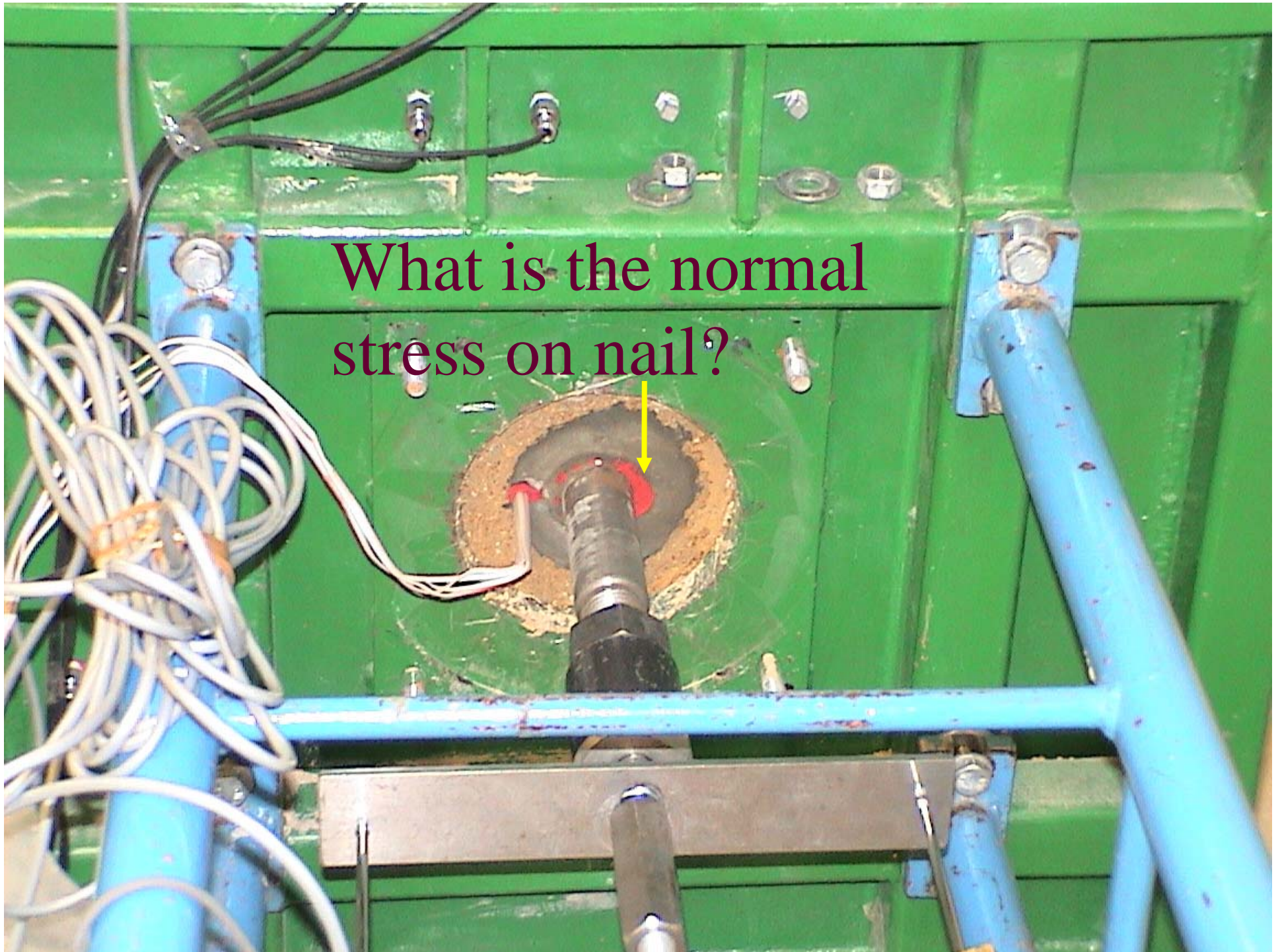
应力释放了

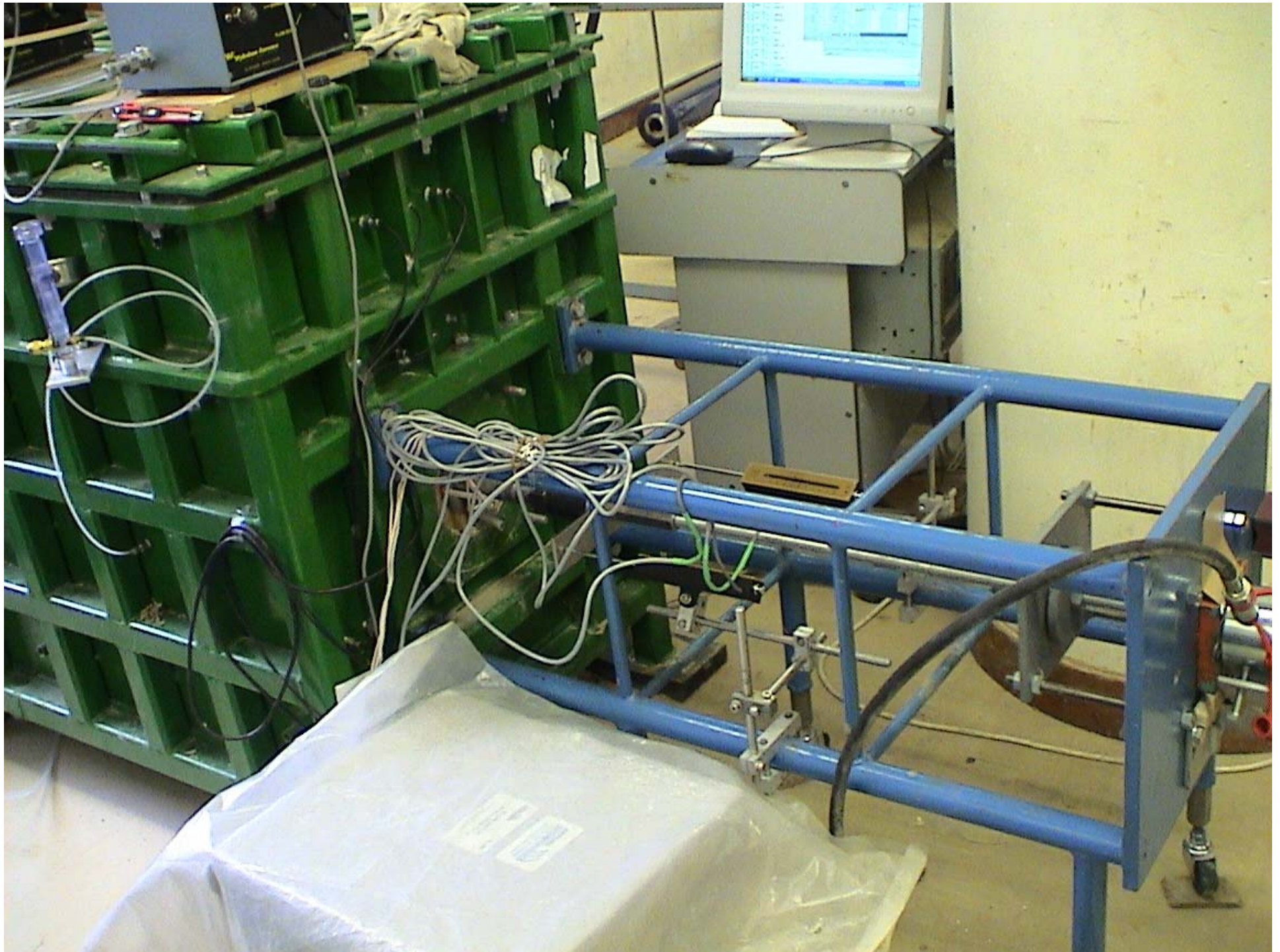
土钉上的应力  
为零

Stress is released (to zero). —————→  
What is the normal stress on nail?



What is the normal stress on nail?





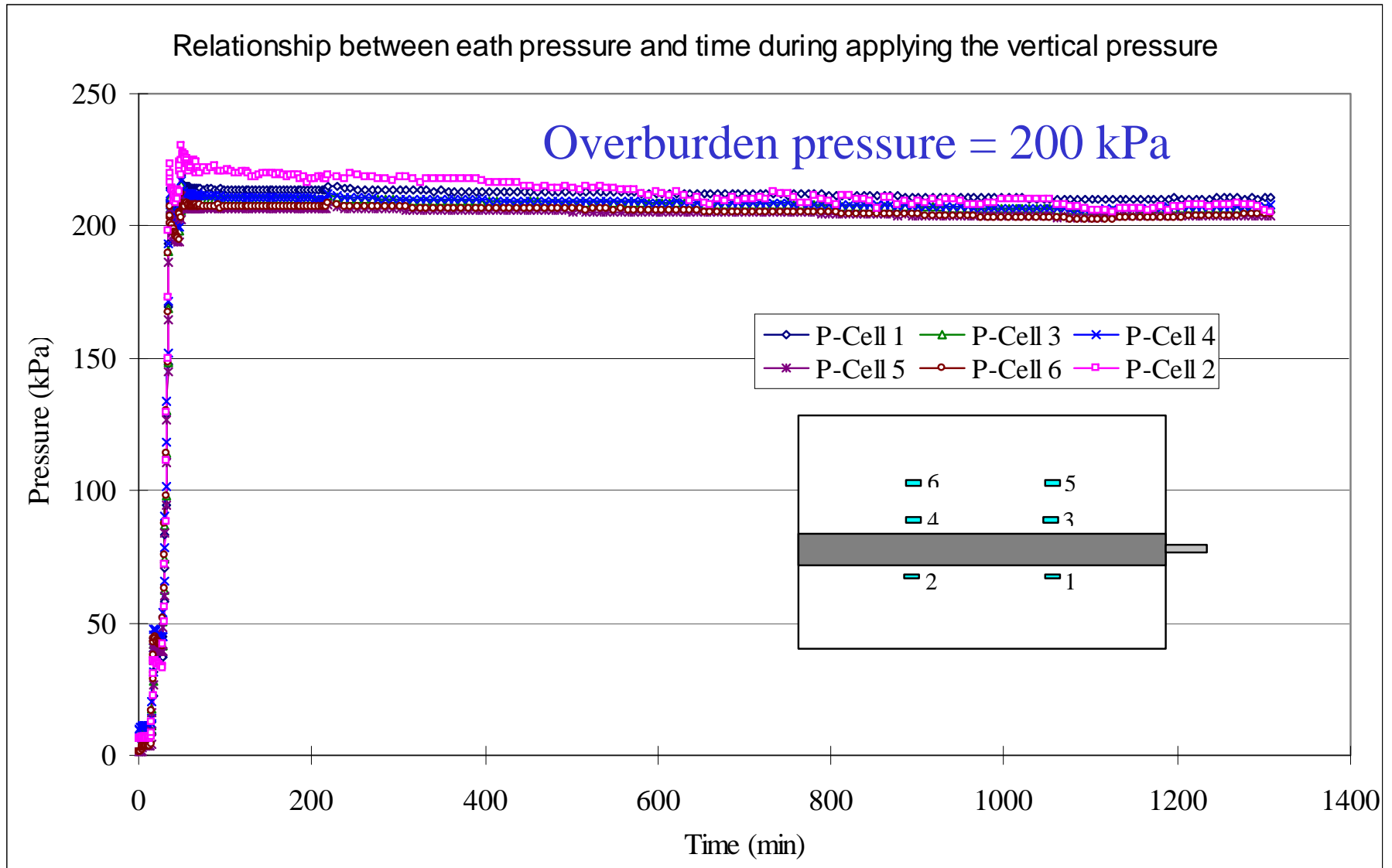
# **Example data from a pressure grouted soil nail pullout test :**

**Vertical Pressure (VP) = 200 kPa**

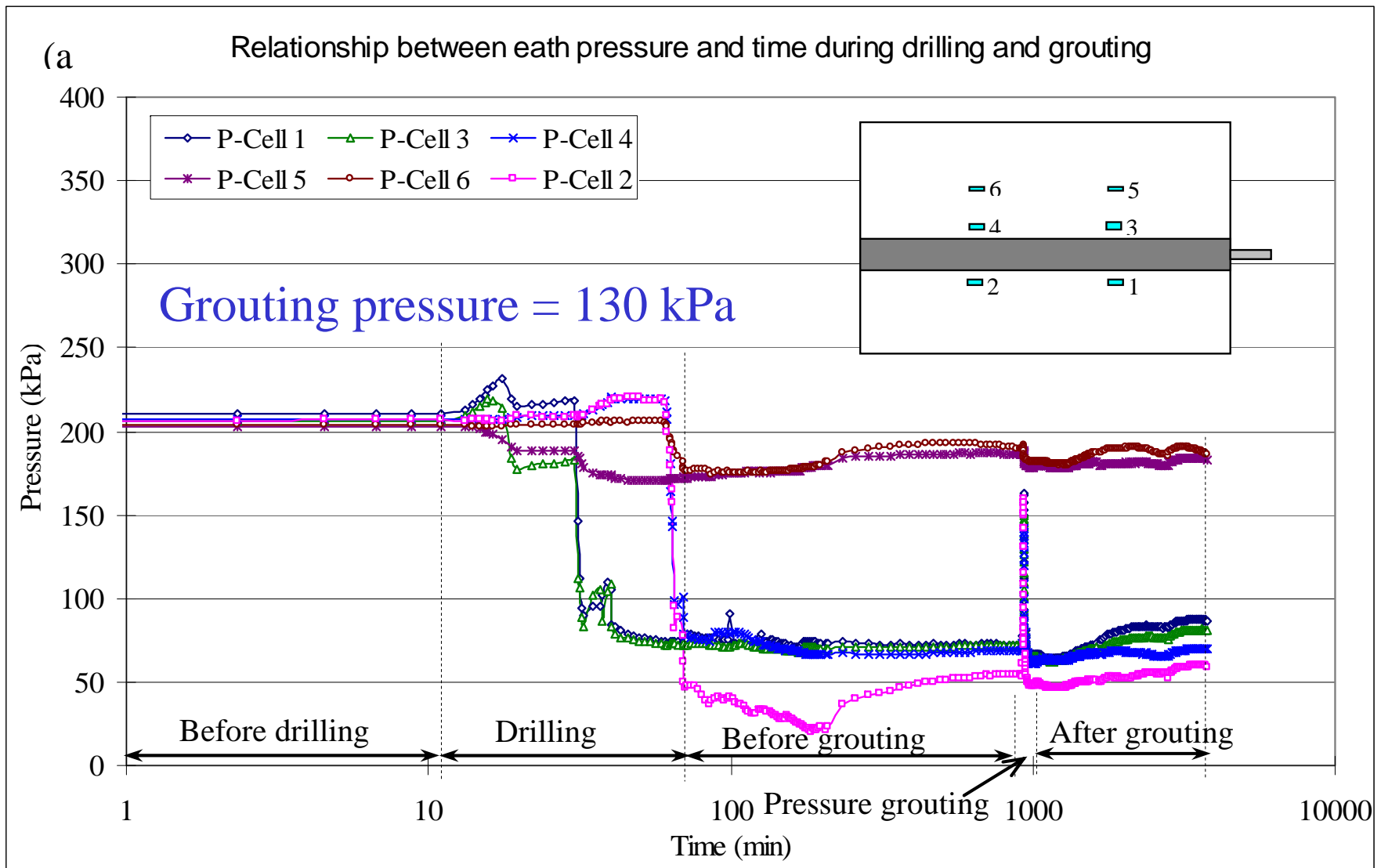
**Degree of saturation  $S_r = 50\%$**

**Cement grouting pressure = 130 kPa**

# Step 1: Establishment of field overburden pressure

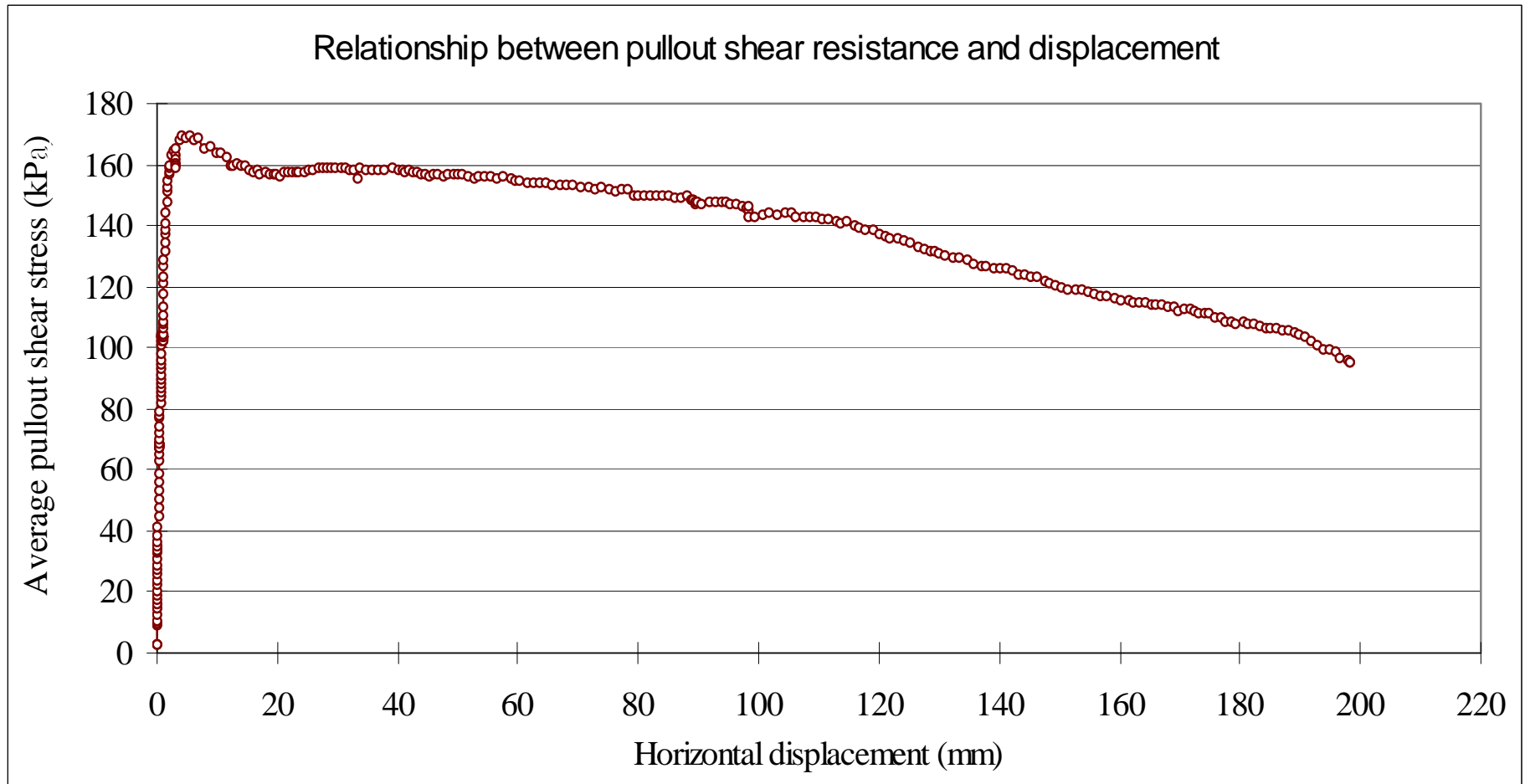


## Step 2: Drilling and nail installation with cement slurry pressure grouting



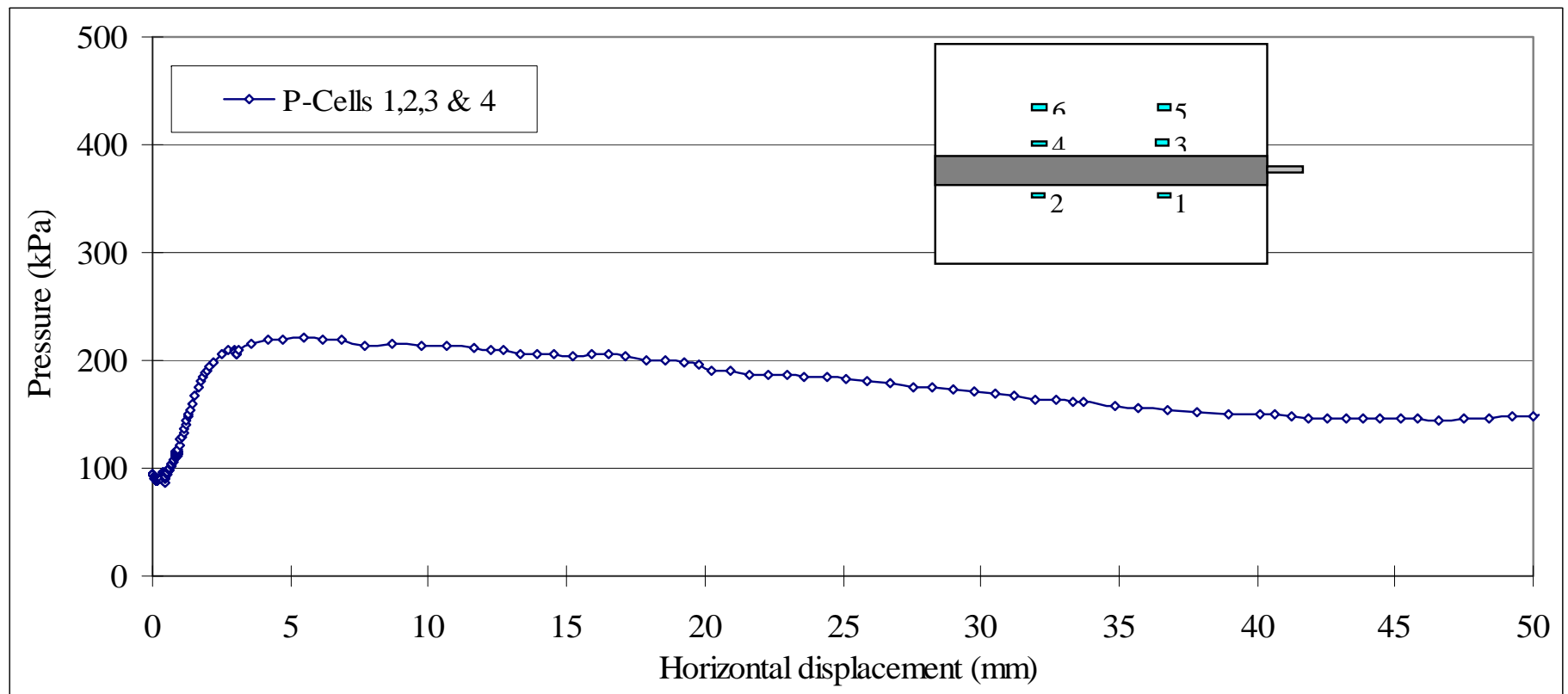
## Step 3: Soil nail pull-out with full monitoring

(i) Average pullout shear stress (or load) vs horizontal displacement



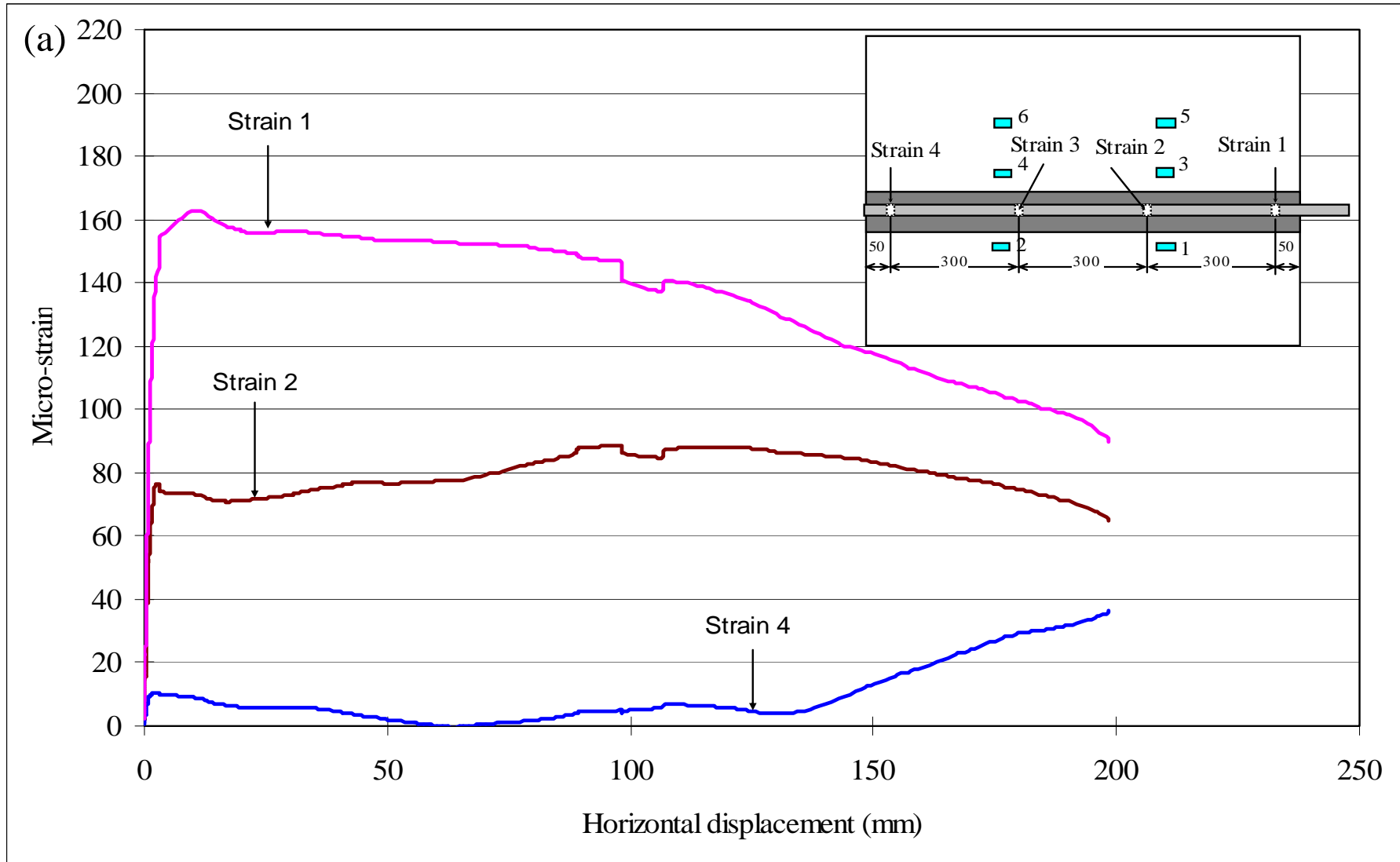
## Step 3: Soil nail pull-out with full monitoring

(ii) Average earth pressure on the nail surface vs horizontal displacement



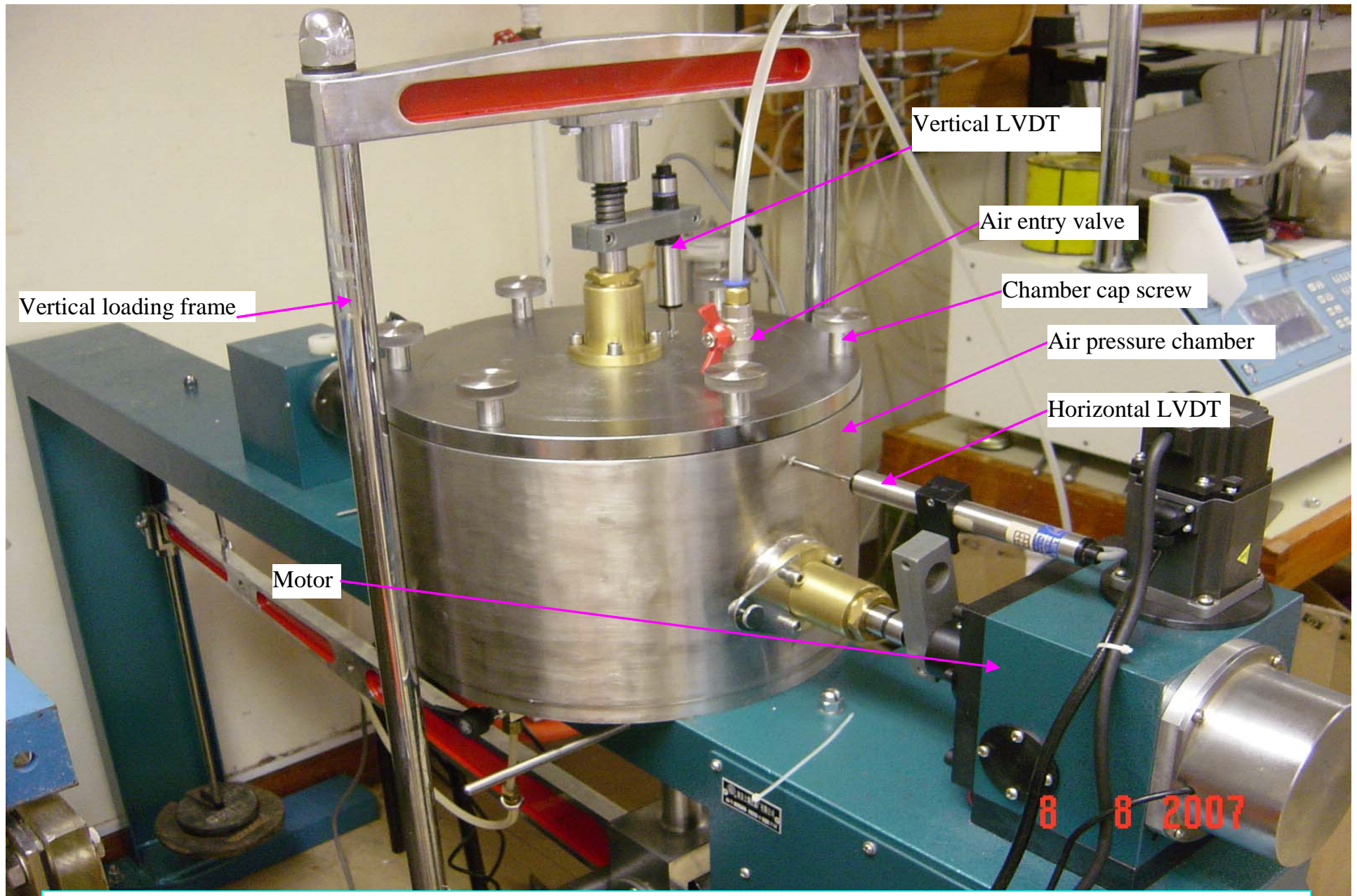
## Step 3: Soil nail pull-out with full monitoring

### (iii) Strains on re-bar vs horizontal displacement



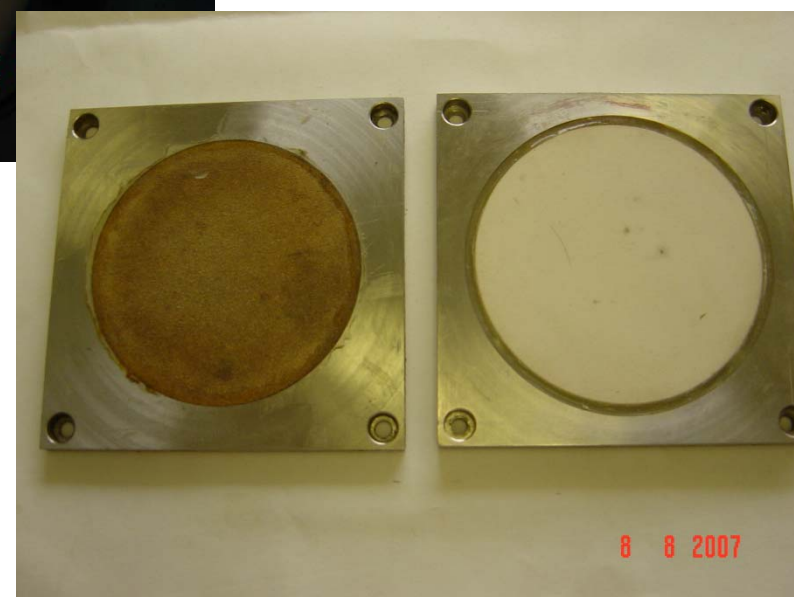
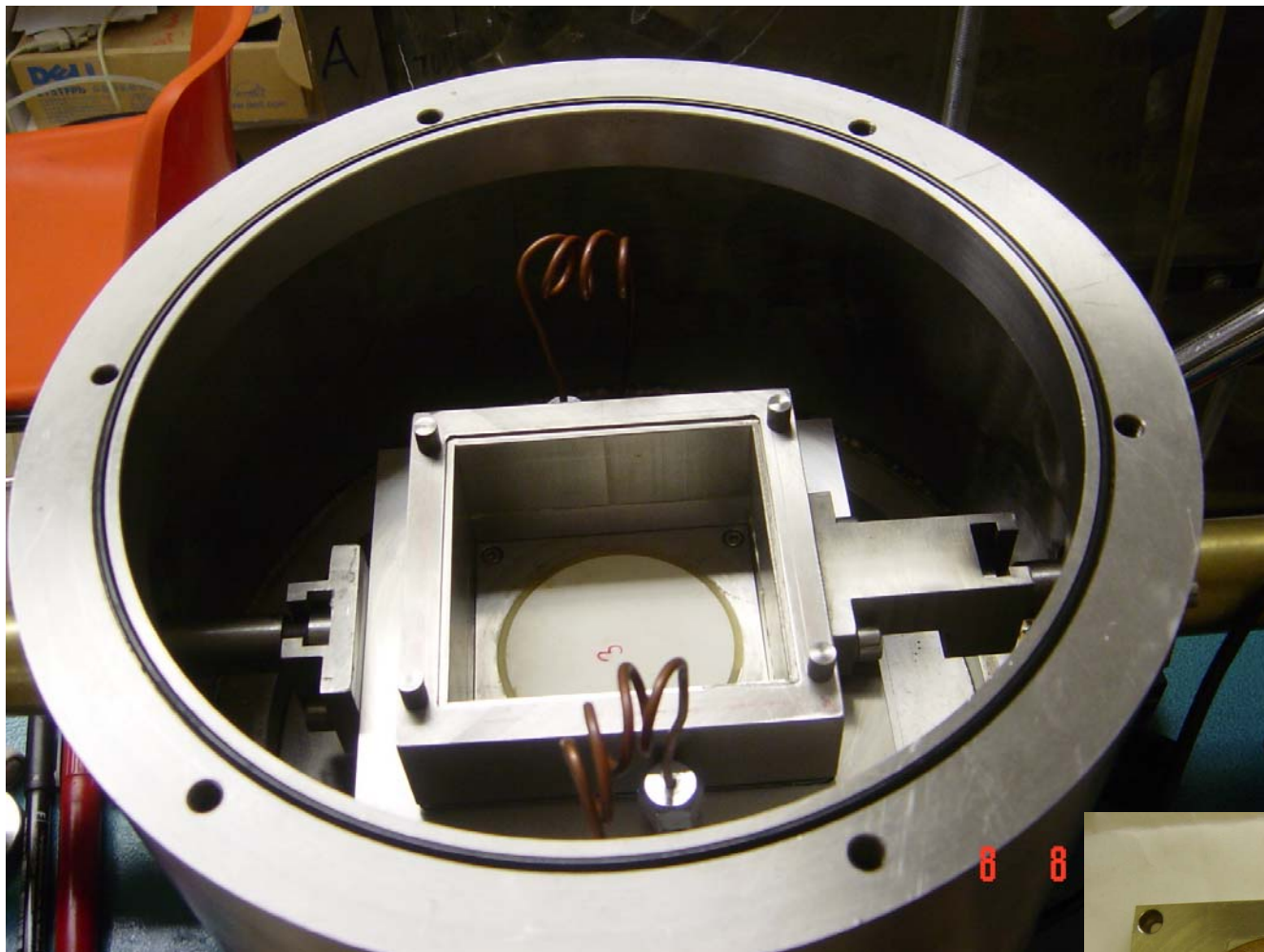
## **9. A direct shear box for testing both saturated and unsaturated soils and interface**

- For studying shear strength of pure soil or the interface with soil in unsaturated (or saturated) state
- Size: 100mm wide x 100mm long x 40mm



A direct shear box for testing pure soil or structure-soil interface in a saturated or unsaturated state with suction control and measurement (modified from Gan 1986, Gan *et al.* 1988, and Gan and Fredlund, 1992)





# 10. Study of Debris Flow Impact on Flexible Barrier Using a Large-scale Physical Model

## Background of Proposal and Motivation

- **Geo-hazards:** Landslides, debris flows, rock falls, failure of retaining walls and seawalls, collapse of dams/dikes, large ground subsidence, *etc.*
- **Problems:** Geo-hazards have caused the loss of human life and property in Hong Kong, Chinese Mainland, and many other regions or countries.
- **Examples** in Hong Kong, Chinese Mainland, and the world:
  - (i) Landslides of Sau Mau Ping of Hong Kong: 1972 landslide caused 71 casualties and in 1976 another one caused 16 casualties
  - (ii) On 8 August 2010, the large debris flow in Zhouqu, Gansu Province of Chinese Mainland caused death of 1471 persons and 294 persons missing

## Examples in Chinese Mainland and the World:

(i) Debris flow, 16 May 2008 (**273 dead**) after Wenchuan Earthquake, 12 May 2008, 8.0 Richter magnitude scale (69227 dead and 18923 missing) - photos by Yin and videos in Korea



Debris flow video in Korea



(ii) (a) Left below - debris flow of Fully, Switzerland, 2000 and (b) during the 1980 eruption of Mount St. Helens, a debris flow traveled about 14 miles down the valley of the North Fork Toutle River. It destroyed nine highway bridges, many miles of highways and roads, and about **200 homes** on the flood plain of the Toutle River (Photo: D. Crandell, USGS).





A large debris flow in Zhouqu, Gansu Province of Chinese Mainland caused 1471 casualties and 294 persons missing in on 8 August 2010



# Why a Large-scale Multi-function Facility?

Iverson (1997) and Iverson *et. al.* (2010): physical model tests (95m flume and with debris volume of  $10\text{m}^3 \sim 20\text{m}^3$ ) (USA: USGS ) (not for the impact of debris flow on flexible barriers) (right)

Volkwein *et al.* (2006), Bugnion *et. al.* (2012), and Wendeler *et. al.* (2006, 2008, 2012) (below)



Figure 1: Debris flow deposit directly in front of a building and a filled flexible barrier system.

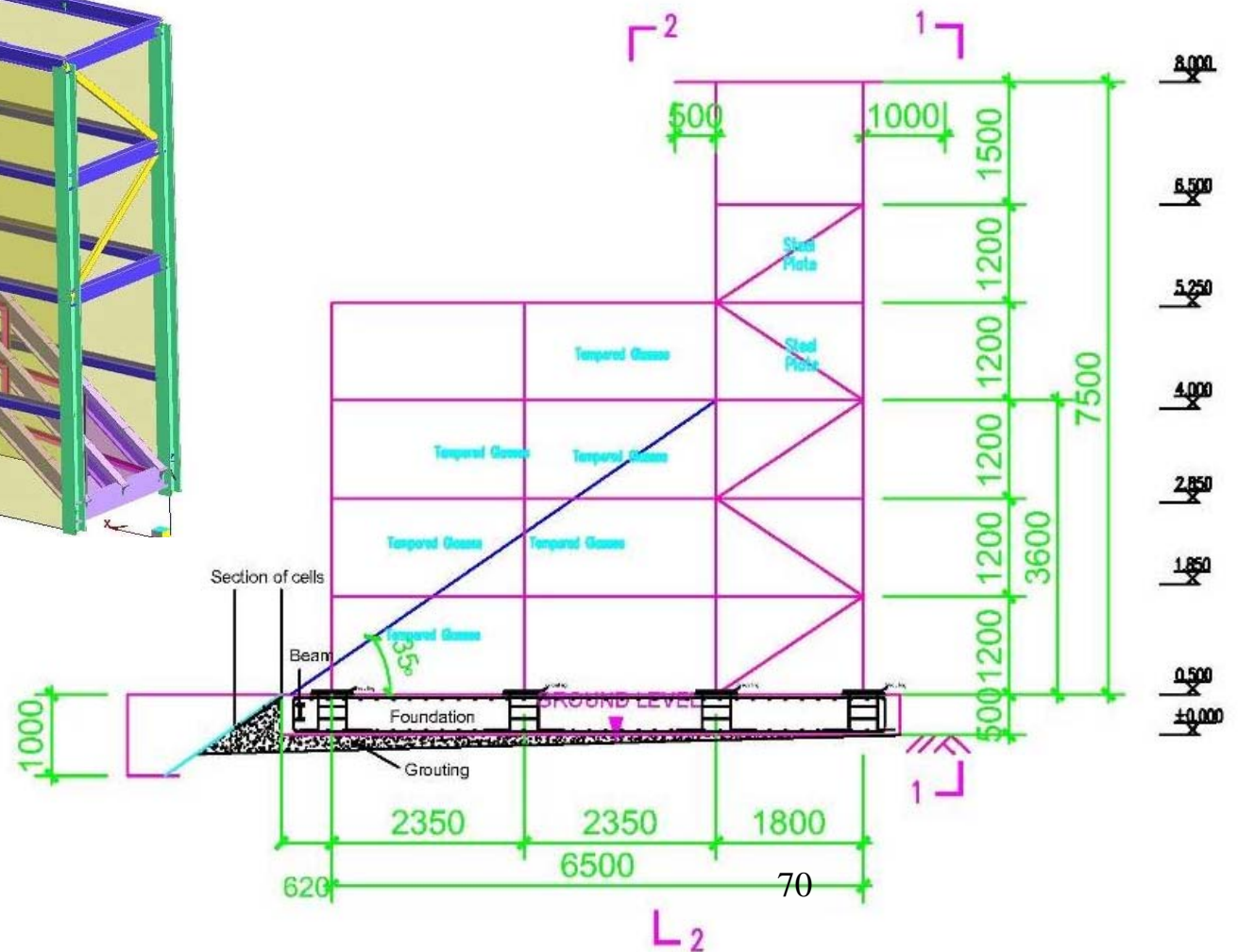


## Why:

- The behavior of debris is highly non-linear, gravity driven, and stress-level dependent
- The volume of debris in HK design -  $150\text{m}^3$
- Small-scale model: scale effects; field tests: problems of repeatability,



## A 3D perspective view of a multi-level industrial structure, likely a storage rack or staircase, featuring green vertical supports, blue horizontal beams, and yellow diagonal bracing. The structure has multiple levels with platforms and railings, and a central staircase area.



A dense background of green leaves and clusters of small, bright pink flowers, likely bougainvillea, filling the entire frame. The text 'Thank You' is centered over this background.

**Thank You**